

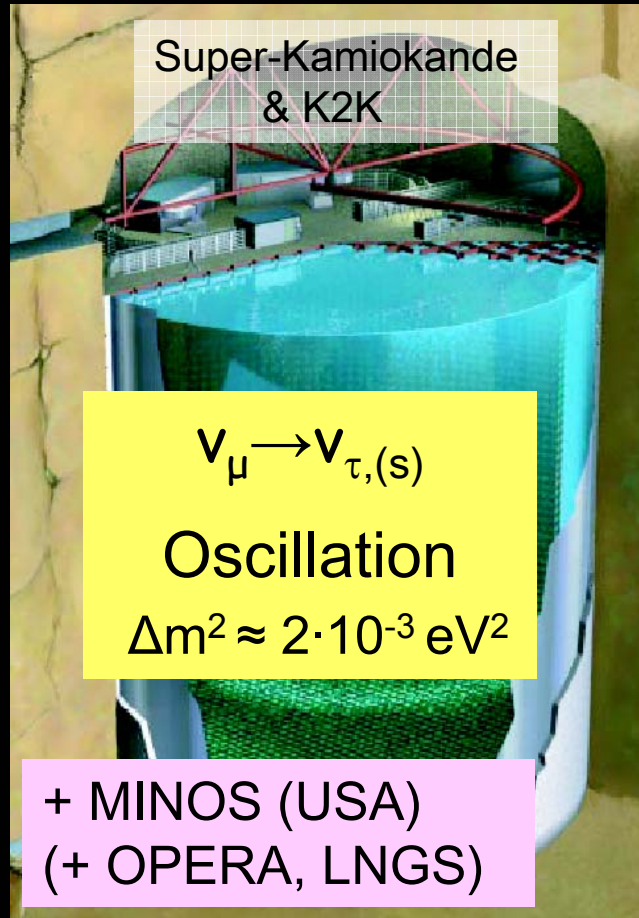
Aspects on Neutrino (Mass-) and Mixing

Caren Hagner, Universität Hamburg

- Introduction: neutrino mass and mixing
- Neutrino Oscillation (I): $\mu - \tau$ mixing
 - atmospheric neutrinos
 - present neutrino beam experiments:
 - MINOS (NuMi beam: Fermilab – Soudan Mine)
 - OPERA (CNGS beam: Cern – LNGS)
- Neutrino Oscillation (II): $e - \mu$ mixing
 - solar neutrino experiments
 - short review on past experiments (SNO)
 - Borexino
 - reactor experiment: KamLand
- Neutrino Oscillation (III): Future prospects (θ_{13} and CPV)
 - reactor experiments: Double Chooz and Daya Bay
 - off-axis (super)beams: T2K and NovA
 - neutrino factory and beta beams
- Neutrino Oscillation (IV): Problems?
 - LSND / MiniBoone
 - GSI anomaly
 - NuTeV anomaly
- Nature of neutrino mass: Majorana or Dirac?
 - Double beta decay

Neutrino Oscillations have been observed → Add Neutrino Mass & Mixing to SM

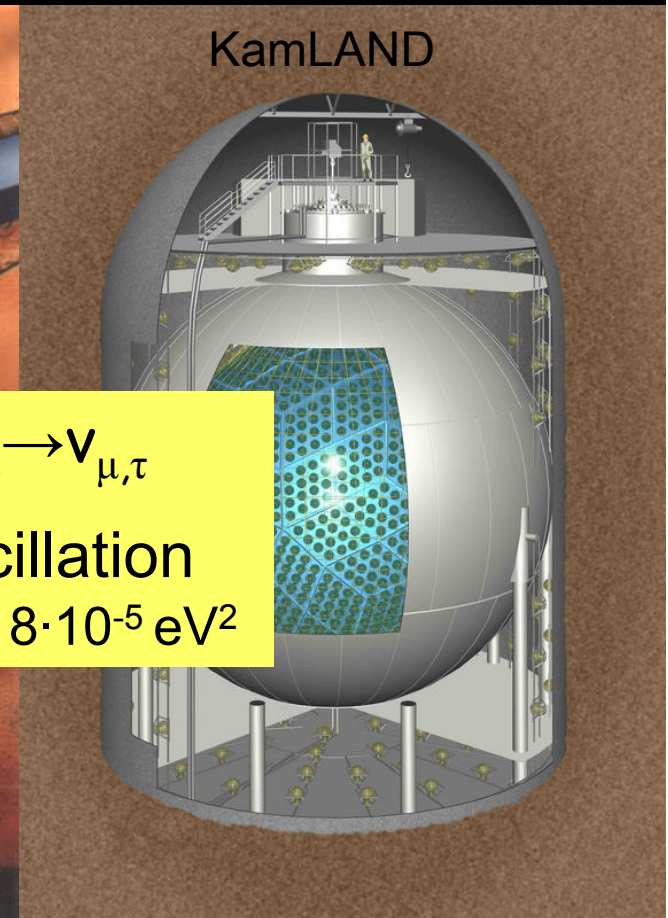
JAPAN



CANADA



JAPAN



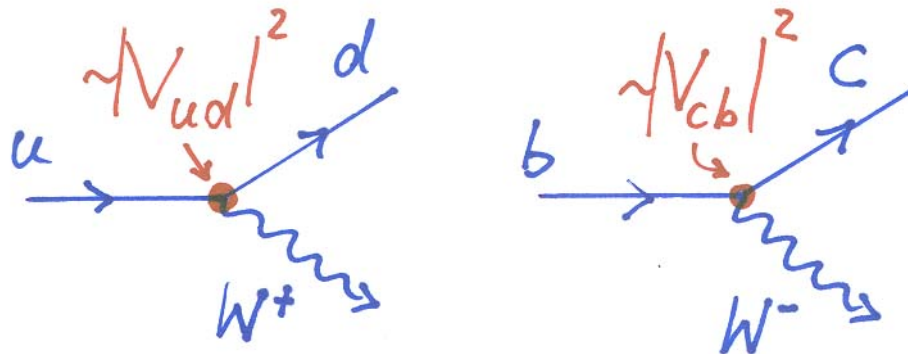
Quark-Mixing

$$\begin{pmatrix} u \\ d' \end{pmatrix} \quad \begin{pmatrix} c \\ s' \end{pmatrix} \quad \begin{pmatrix} t \\ b' \end{pmatrix}$$

Cabbibo-Kobayashi-Maskawa (CKM) Matrix

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \cdot \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

- 3 mixing angles
- 1 phase: $e^{i\delta}$
CP-violation



in precision measurement phase

BELLE,
BABAR,
CLEO,...
(BELLE2)

Neutrino Mass and -Mixing

3 massive neutrinos: ν_1, ν_2, ν_3 with masses: m_1, m_2, m_3

flavor-Eigenstates $\nu_e, \nu_\mu, \nu_\tau \neq$ mass-Eigenstates

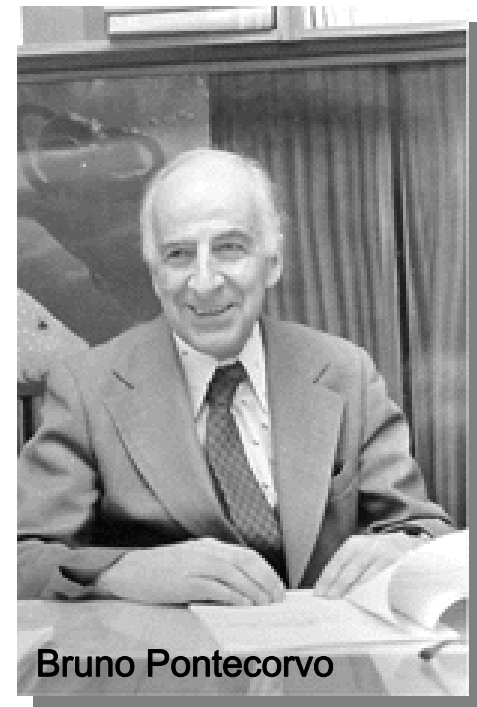
neutrino mixing

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \cdot \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

example: $|\nu_e\rangle = U_{e1}|\nu_1\rangle + U_{e2}|\nu_2\rangle + U_{e3}|\nu_3\rangle$

Historical remark

- 1957-58: **B. Pontecorvo** proposed neutrino oscillations (because only ν_e was known, he thought of $\nu \leftrightarrow \text{anti-}\nu$)
B. Pontecorvo, JETP **6**, 429 (1957); B. Pontecorvo, JETP **7**, 172 (1958).
- 1962 **Maki, Nakagawa, Sakata** described the 2 flavor mixing and discussed neutrino flavour transition.
Z.Maki, M. Nakagawa and S. Sakata, Prog. Theor. Phys. **28**, 870 (1962).
- 1967 full discussion of 2 flavor mixing, possibility of solar neutrino oscillations, question of sterile neutrinos by B. Pontecorvo.
B. Pontecorvo, Zh. Eksp. Teor. Fiz. **53**, 1717 (1967), and JETP **26**, 984 (1968).



Therefore the neutrino mixing matrix is often called PMNS-Matrix

Parametrisation of Neutrino Mixing(I)

Pontecorvo-Maki-Nakagawa-Sakata (PMNS) Matrix:

- 3 mixing angles: θ_{12} , θ_{23} , θ_{13}
- 1 Dirac-phase (CP violating): δ

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & \theta_{13}, \delta & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$\theta_{23} \approx 45^\circ$$

$$\theta_{13} < 13^\circ, \delta ?$$

$$\theta_{12} \approx 33^\circ$$

Parametrisation of Neutrino Mixing (II)

Pontecorvo-Maki-Nakagawa-Sakata (PMNS) Matrix:

- 3 Mixing angles: θ_{12} , θ_{23} , θ_{13}
- 1 Dirac-phase (CP violating): δ

But:

If neutrinos are Majorana particles two additional phases exist:

- 2 Majorana-Phases (CPV): α_1 , α_2

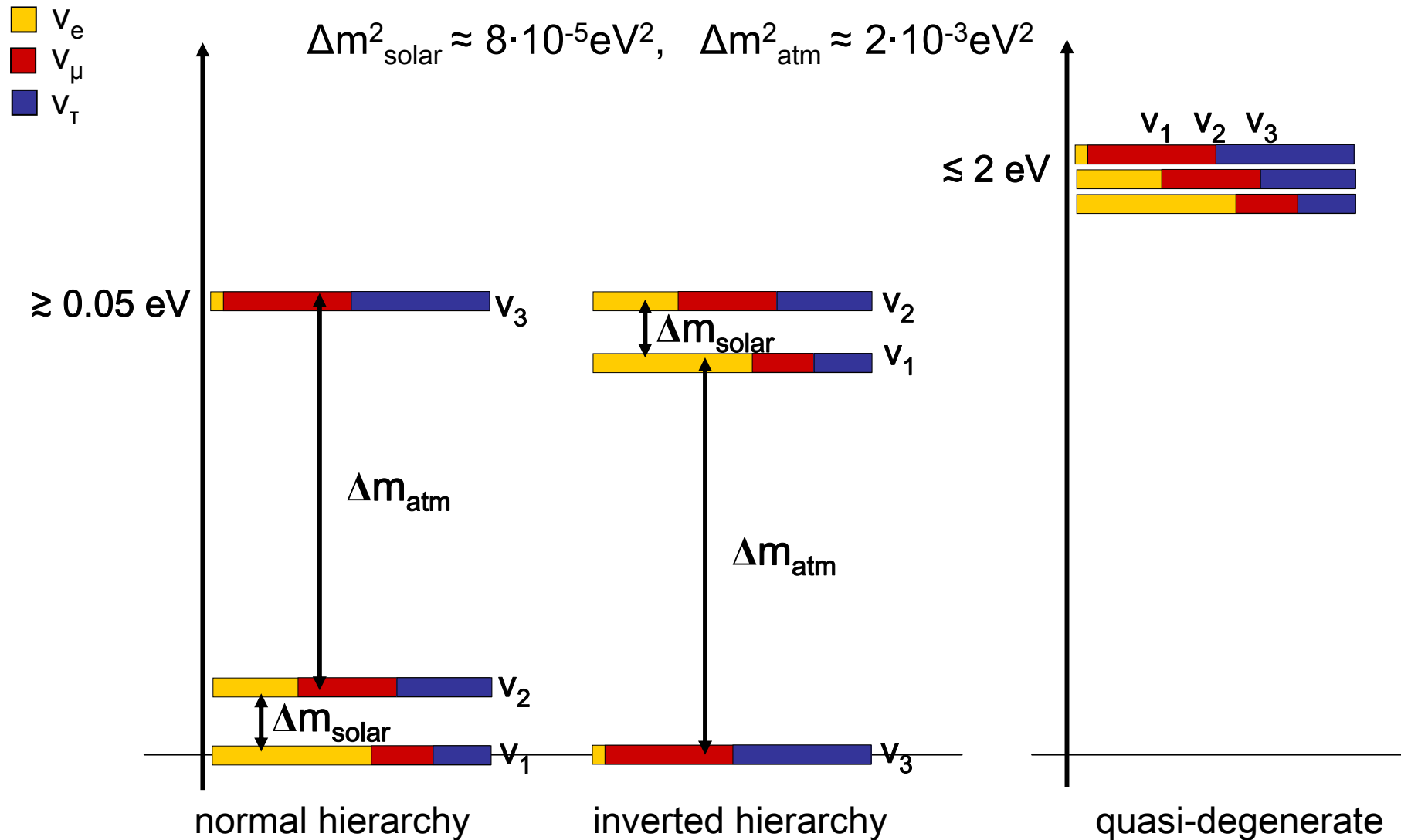
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13}e^{i\alpha_1} & s_{13}e^{-i\delta}e^{i\alpha_2} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & [c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta}]e^{i\alpha_1} & s_{23}c_{13}e^{i\alpha_2} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & [-c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta}]e^{i\alpha_1} & c_{23}c_{13}e^{i\alpha_2} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Leptons vs Quarks

$$\begin{array}{l} \text{Neutrinos} \\ U_{MNSP} \end{array} \sim \begin{pmatrix} 0.8 & 0.5 & ? \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{pmatrix}$$

$$\begin{array}{l} \text{Quarks} \\ V_{CKM} \end{array} \sim \begin{pmatrix} 1 & 0.2 & 0.005 \\ 0.2 & 1 & 0.04 \\ 0.005 & 0.04 & 1 \end{pmatrix}$$

What do we know about neutrino masses?



Neutrino Mixing for 2 Flavors

$$\begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} \cos\theta_{23} & \sin\theta_{23} \\ -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix} \begin{pmatrix} \nu_2 \\ \nu_3 \end{pmatrix}$$

$$|\nu_\mu\rangle = \cos\theta_{23}|\nu_2\rangle + \sin\theta_{23}|\nu_3\rangle$$

We have measured that $\theta_{23} \approx 45^\circ$:

$$|\nu_\mu\rangle = \frac{1}{\sqrt{2}}(|\nu_2\rangle + |\nu_3\rangle) \quad |\nu_\tau\rangle = \frac{1}{\sqrt{2}}(-|\nu_2\rangle + |\nu_3\rangle)$$

General oscillation formula:

$$\begin{aligned}
 P_{\nu_\alpha \rightarrow \nu_\beta} = & \delta_{\alpha\beta} - 4 \sum_{i>j} \Re(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2 \left(1.27 \Delta m_{ij}^2 \frac{L}{E} \right) \\
 & + 2 \sum_{i>j} \Im(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin \left(2.54 \Delta m_{ij}^2 \frac{L}{E} \right)
 \end{aligned}$$

$$\Delta m_{ij}^2 \equiv m_i^2 - m_j^2 \text{ in eV}^2$$

L in km

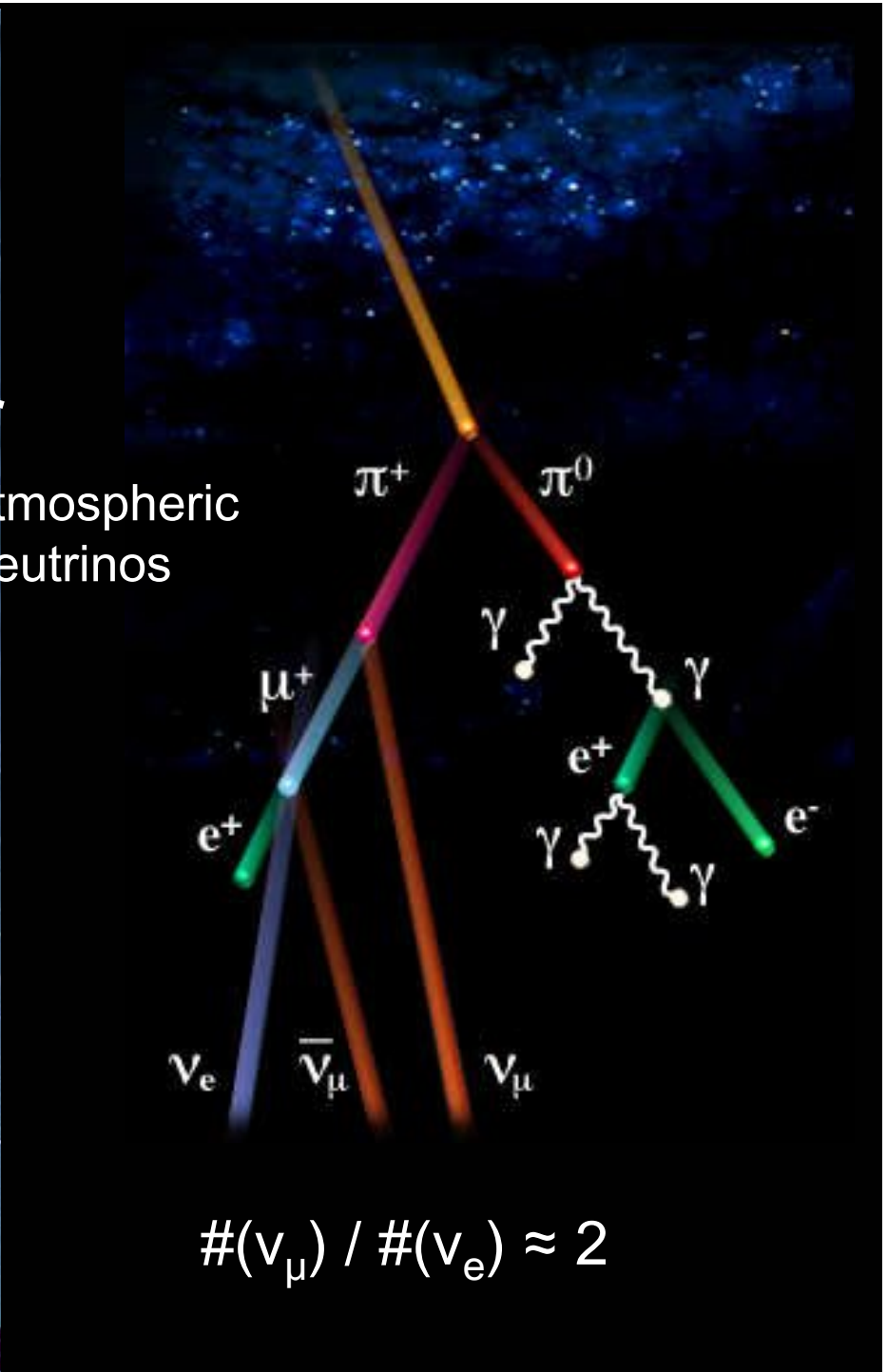
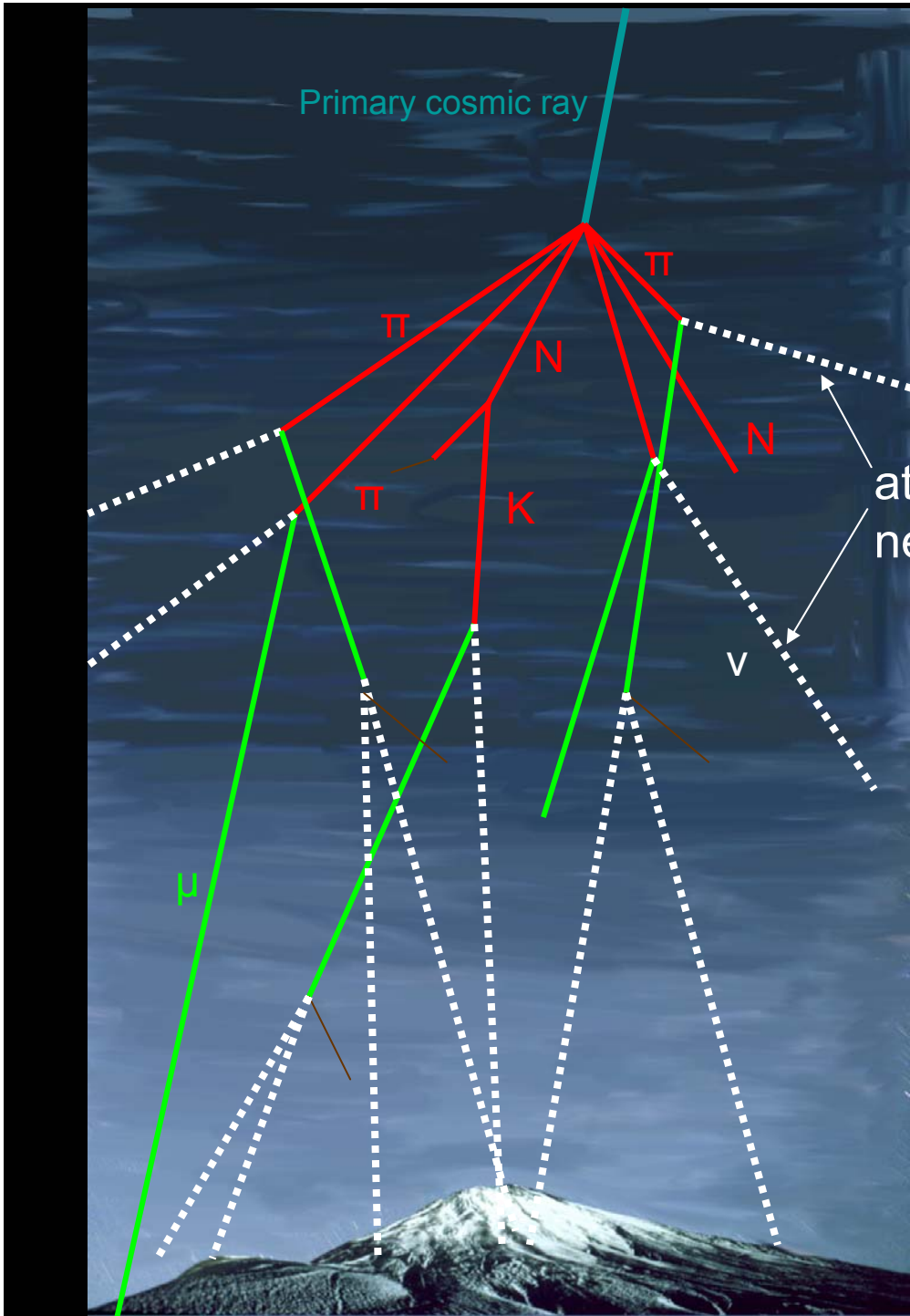
E in GeV

Neutrino Oscillations (23)

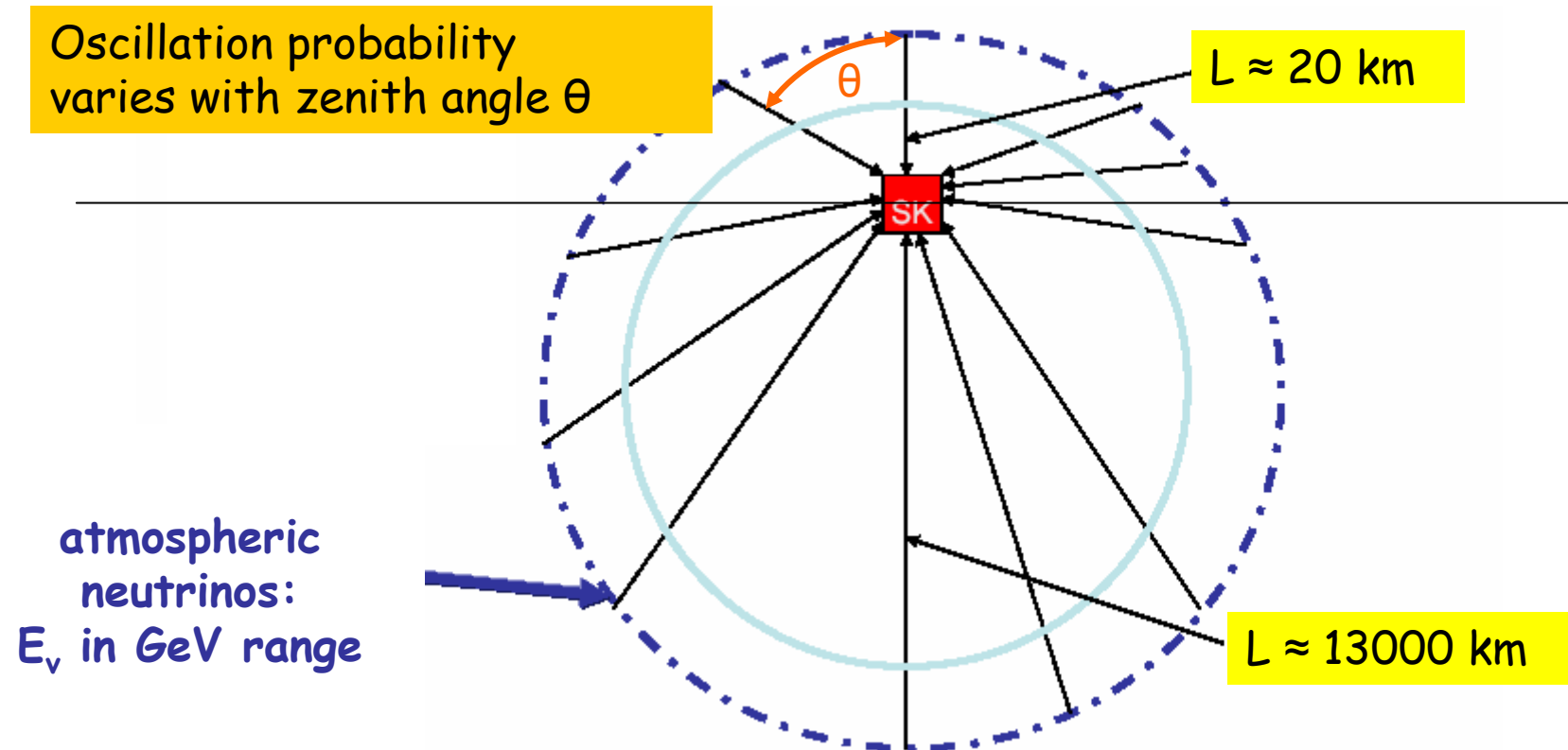
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$\nu_\mu \rightarrow \nu_\tau$ Oscillations

Atmospheric neutrinos & accelerator neutrinos

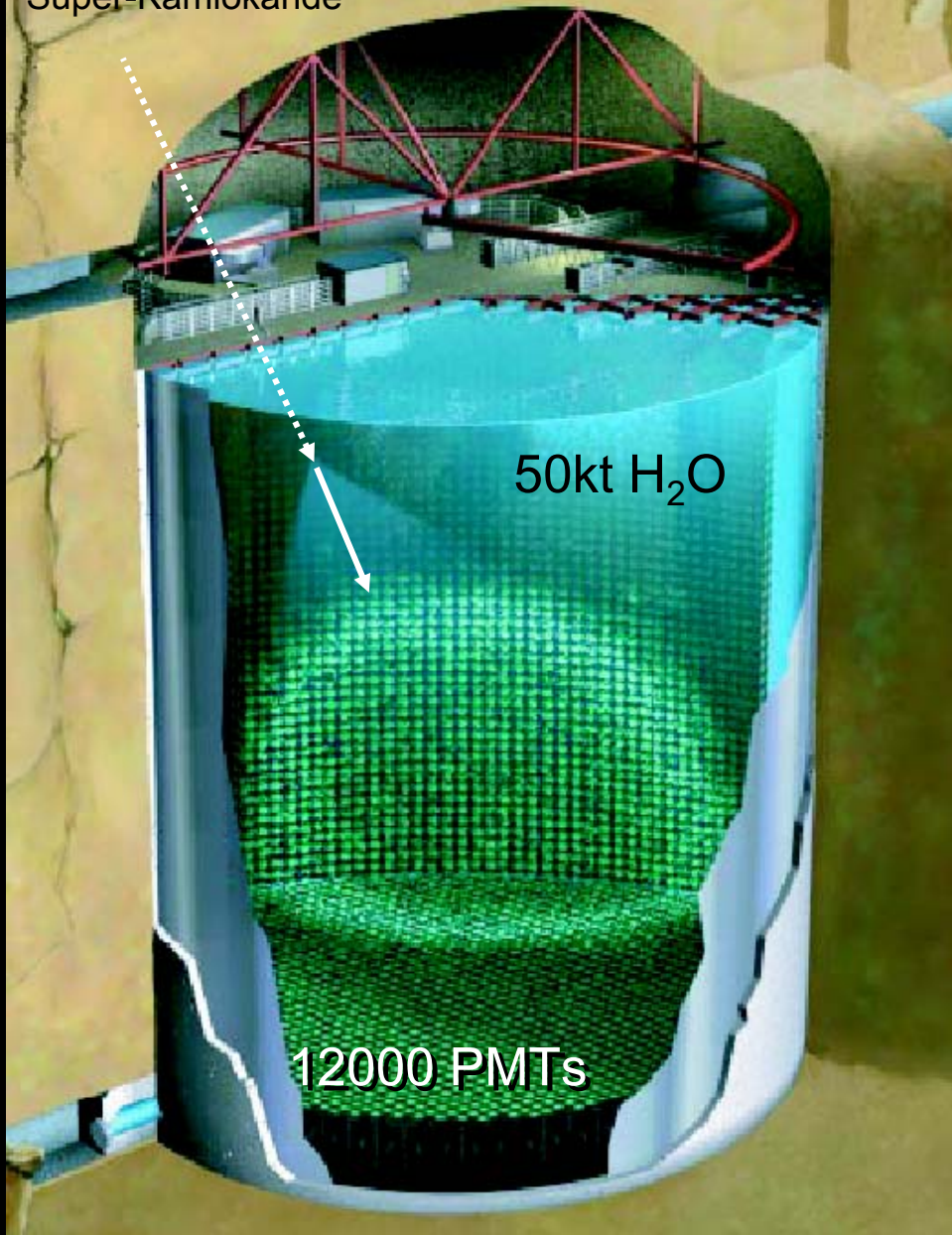


Oscillation of atmospheric neutrinos

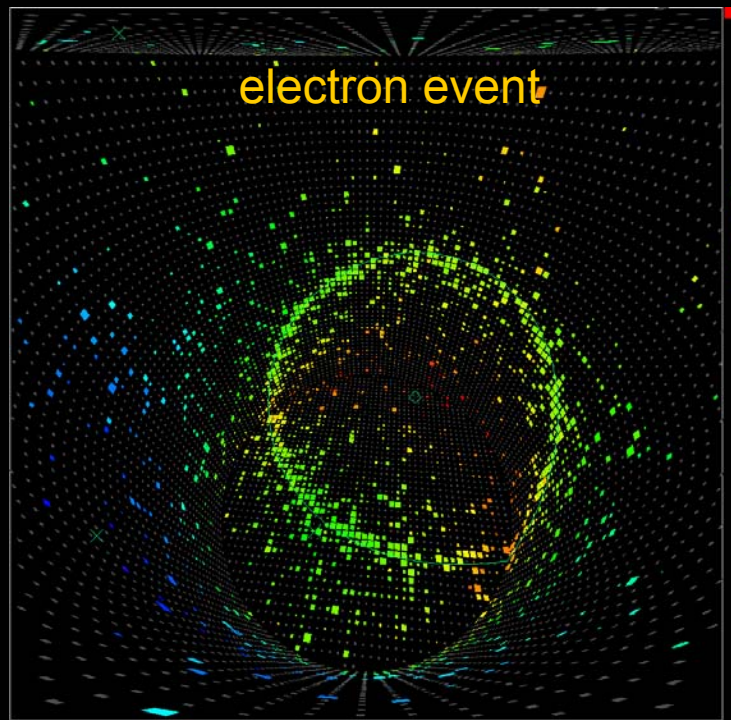


$$P(\nu_\mu \rightarrow \nu_x) = \sin^2 2\theta_{atm} \sin^2 \left(\frac{1.27 \Delta m_{atm}^2 [\text{eV}^2] L [\text{km}]}{E_\nu [\text{GeV}]} \right)$$

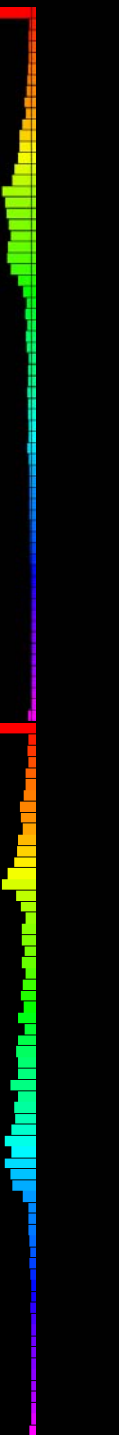
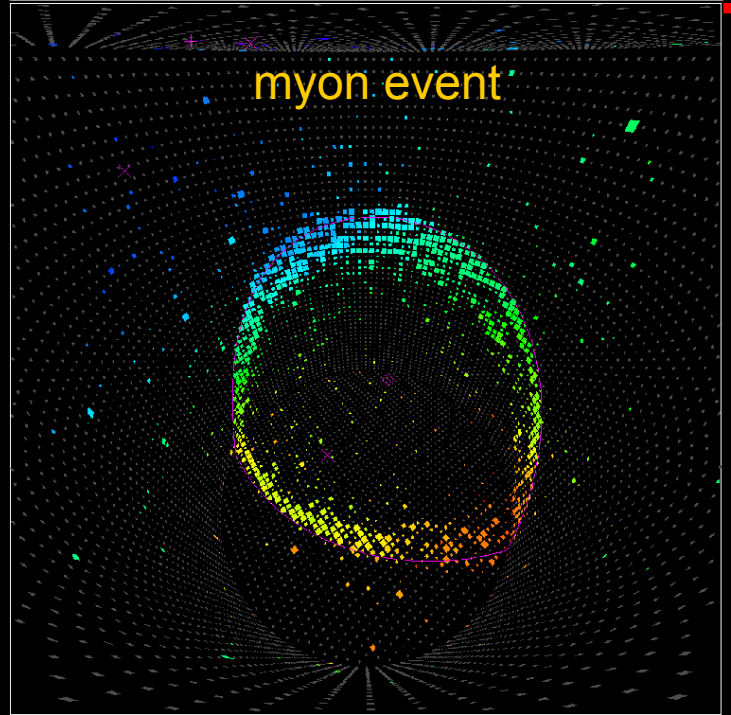
Super-Kamiokande



electron event

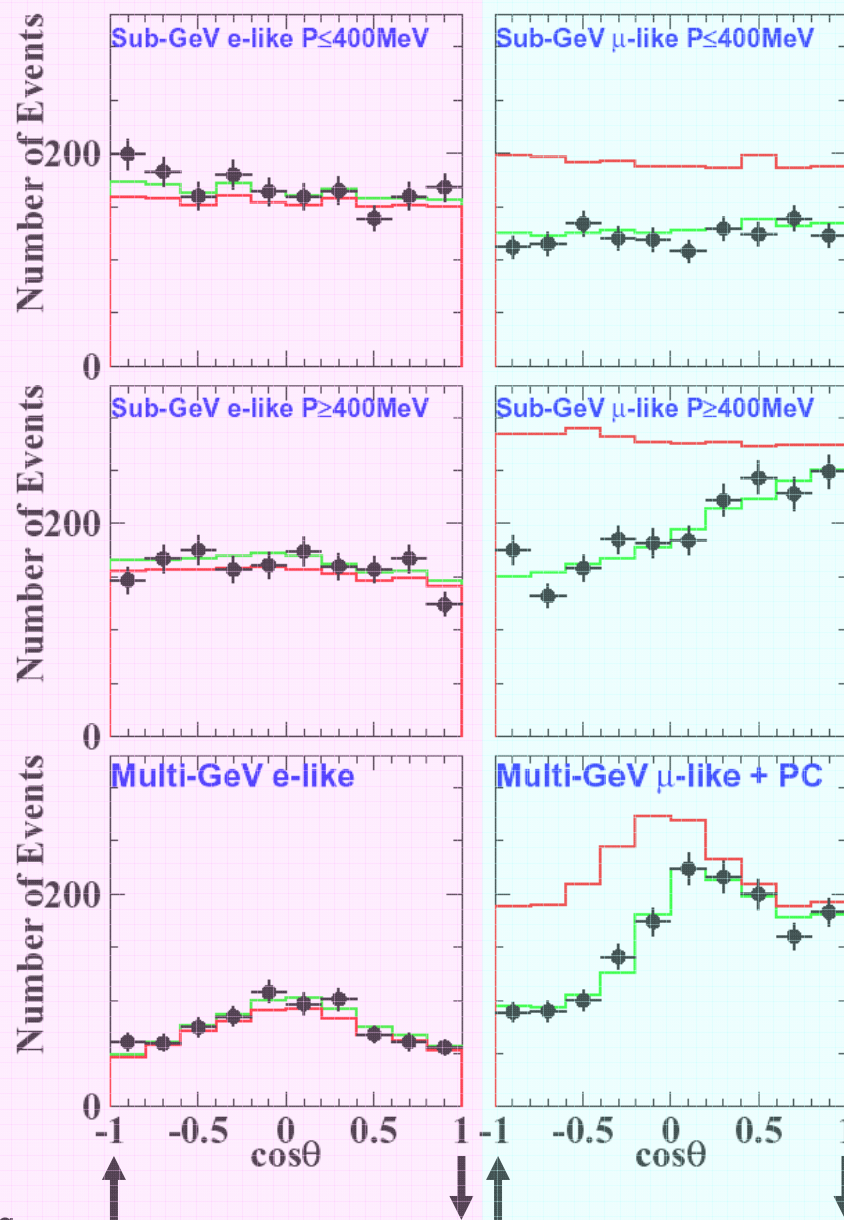
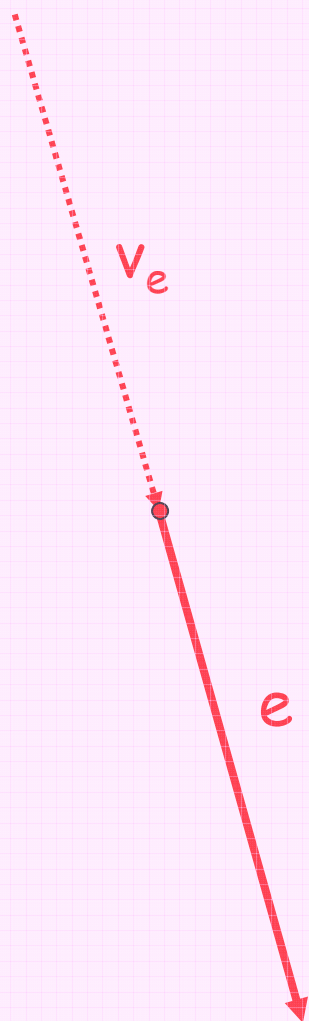


myon event

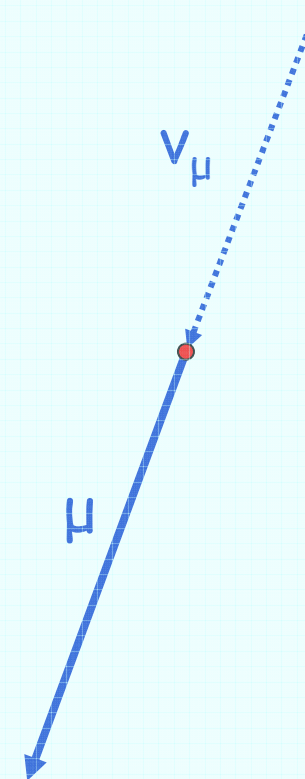


SuperK – atmospheric neutrinos

e-like events

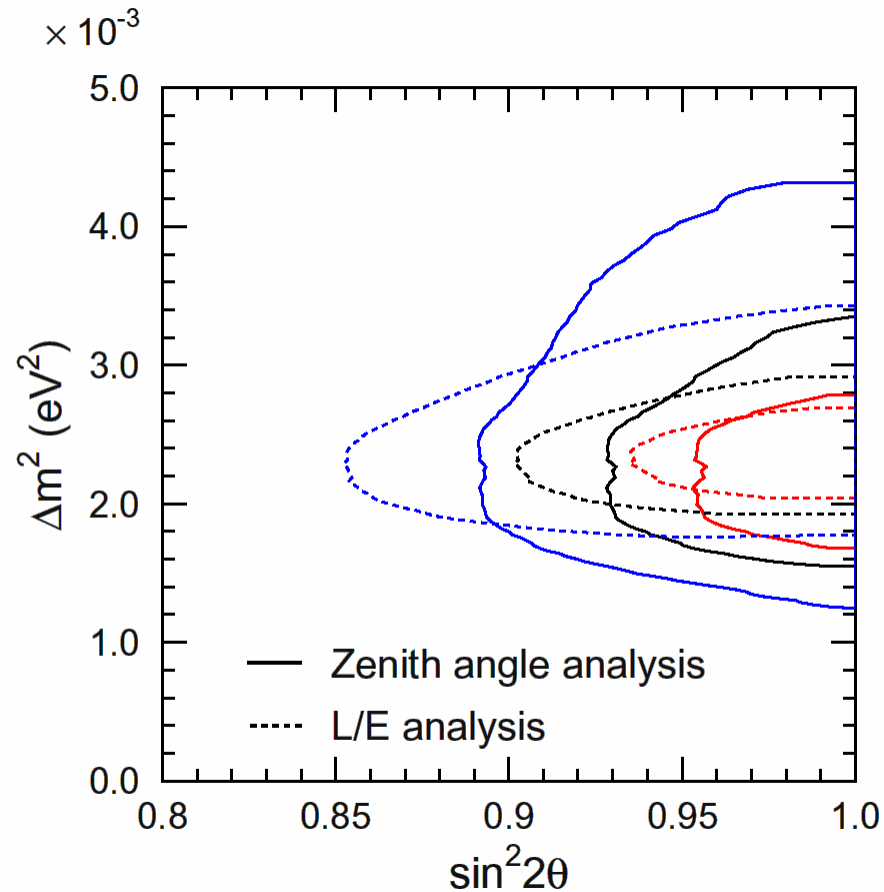


μ -like events



- without oscillation
- oscillation (best fit)
- data

Atmospheric Neutrino Results

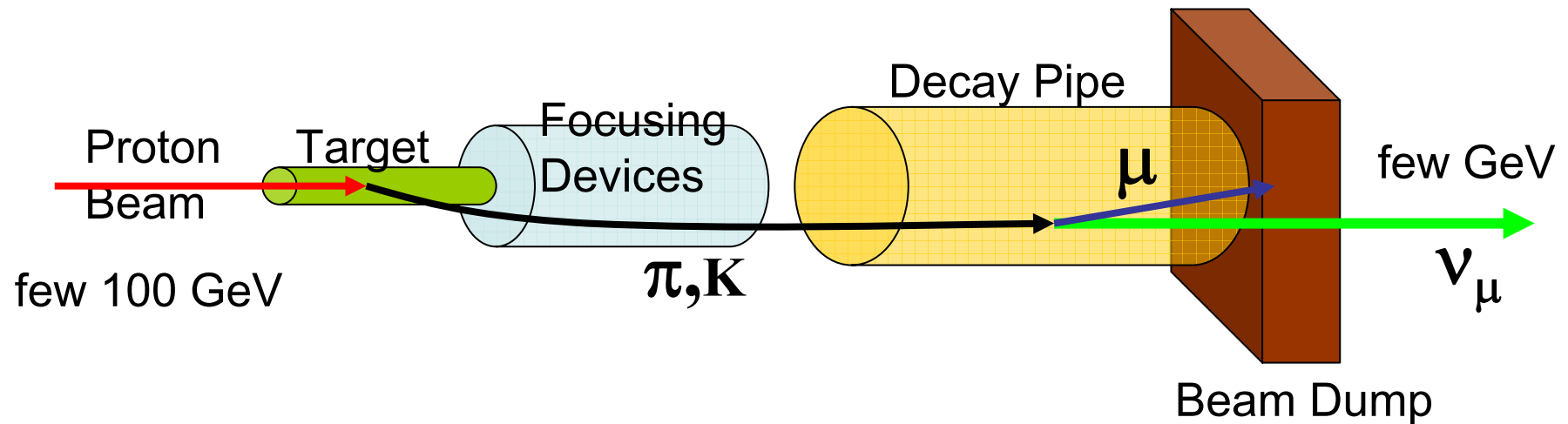


L/E Analysis
(PRL93 (2004) 101801),
Best Fit:
 $\sin^2 2\theta = 1.02$
 $|\Delta m^2| = 2.4 \times 10^{-3} \text{ eV}^2$

Full SK-I data set, 90% CL
(PRD71 (2005) 112005):

$\sin^2 2\theta > 0.92$
 $1.5 \cdot 10^{-3} \text{ eV}^2 < \Delta m^2 < 3.4 \cdot 10^{-3} \text{ eV}^2$

Neutrino beams: Principle

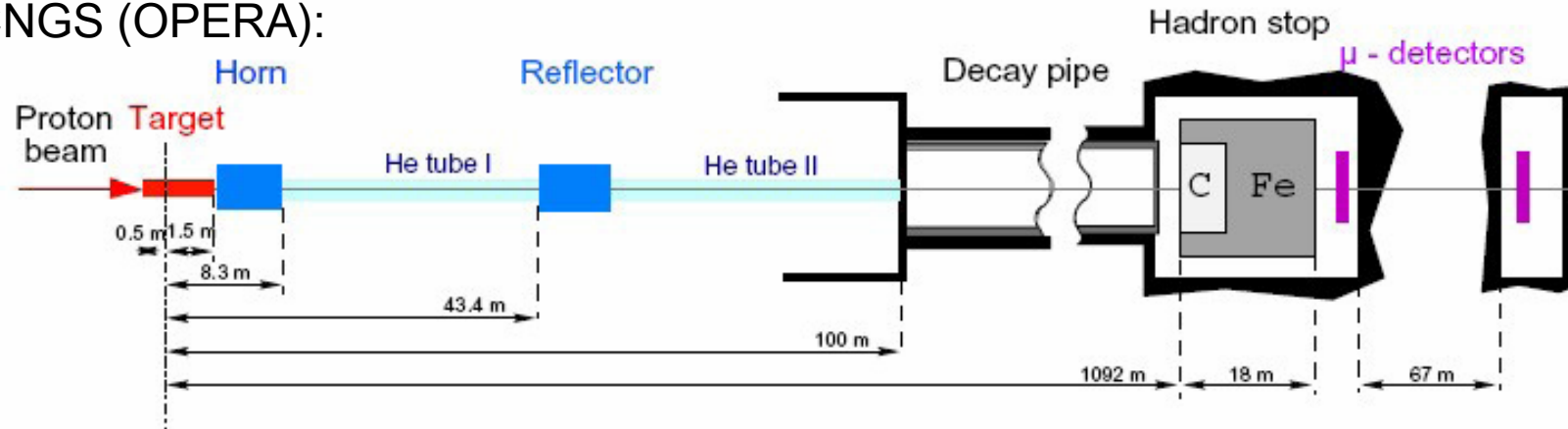


Beam composition (typical example):

- dominantly ν_μ
- contamination from $\bar{\nu}_\mu$ ($\approx 6\%$), ν_e ($\approx 0.7\%$), $\bar{\nu}_e$ ($\approx 0.2\%$)
- $\nu_\tau \lesssim 10^{-6}$

Technical Overview Conventional Neutrino Beams

CNGS (OPERA):



proton source	experiments	E_{proton}	pot/yr.	Power	E_{ν}
SPS	OPERA	400 GeV	$0.45 \cdot 10^{20}$	0.12 MW	25 GeV
FNAL Main Injector	MINOS, No ν A	120 GeV	$2.5 \cdot 10^{20}$	0.25 MW	3-17 GeV
J-PARC	T2K	40-50 GeV	$11 \cdot 10^{20}$	0.75 MW	0.8 GeV

Neutrino Beam: Target



neutrino beamline	experiments	material	\varnothing [mm]	length [cm]
CNGS (SPS)	OPERA	graphite	4-5	200
NuMI (Fermilab)	MINOS, No ν A	graphite	6.4	90
J-PARC (KEK)	T2K	graphite	12-15	90
BoosterNeutrino	MiniBooNe	Be	10	60

Power can be up to 100GW!



CNGS Target





The MINOS Experiment

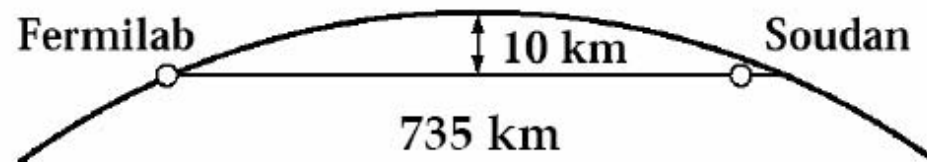


A large detector at Soudan

A smaller detector at Fermilab

Measure the beam and neutrino energy spectrum near the source

> See how it differs far away





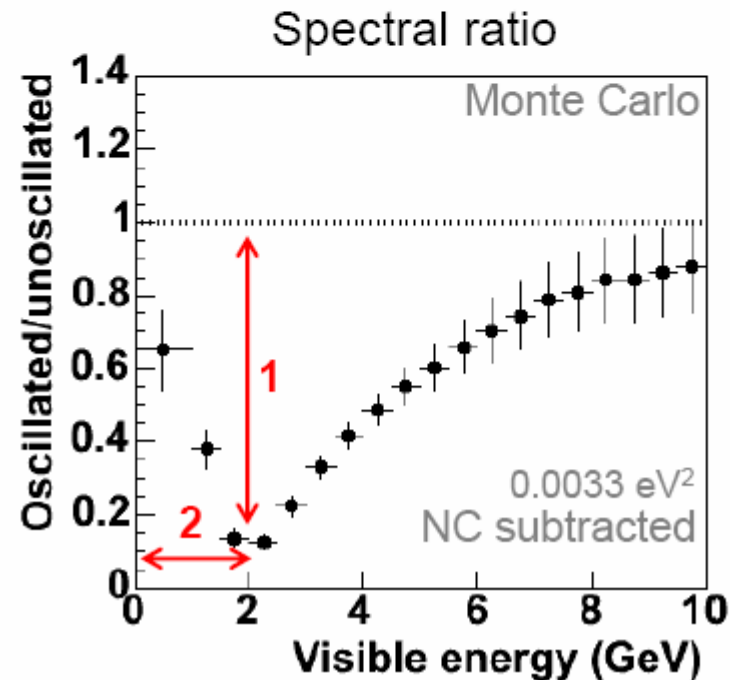
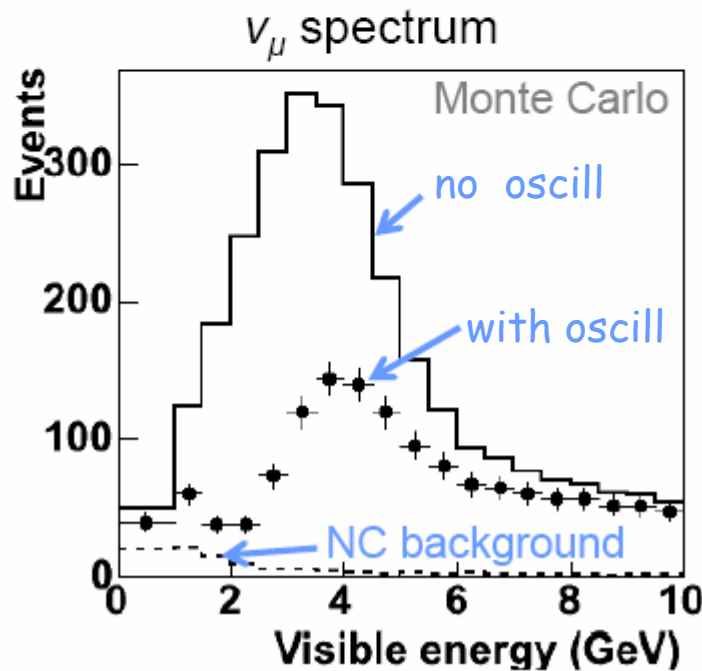
Example of a disappearance measurement

Look for a deficit of ν_μ events at a distance...

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta \sin^2(1.267 \Delta m^2 L/E)$$

statistics! ¹

energy resolution! ²





MINOS Detectors

Near Detector (Fermilab): 1km



1 kton, $4 \times 5 \times 15$ m
282 steel,
153 scintillator planes

Far Detector (Soudan Mine): 735km



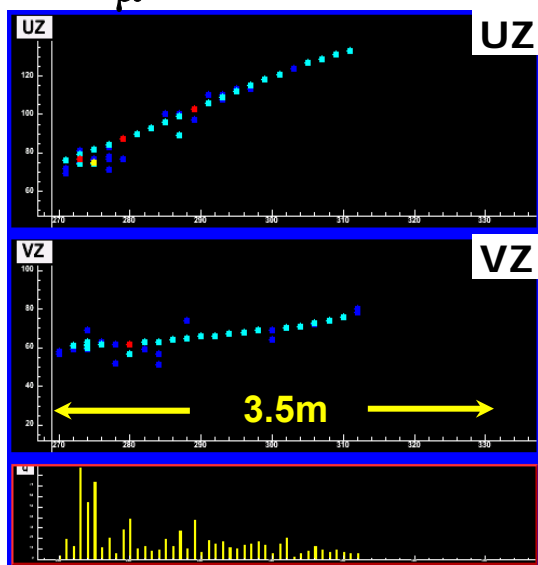
5.4 ktons, $8 \times 8 \times 30$ m
484 steel/scintillator planes



Event Topologies

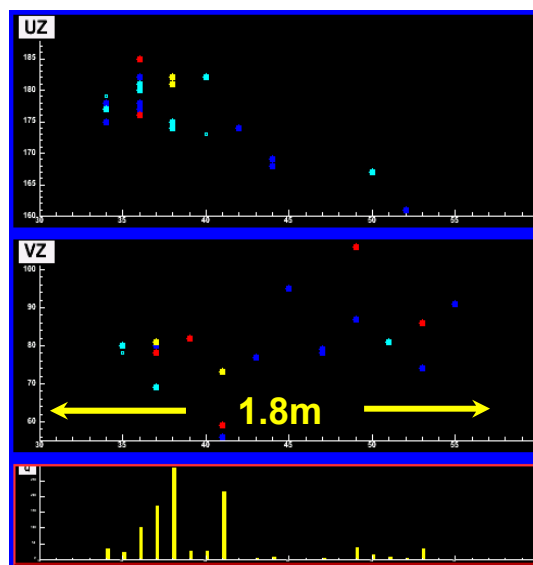
Monte Carlo

ν_μ CC Event



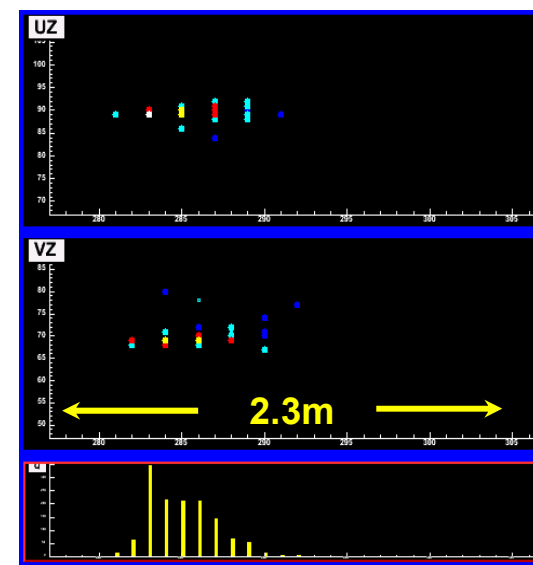
long μ track +
hadronic activity

NC Event



short event,
often diffuse

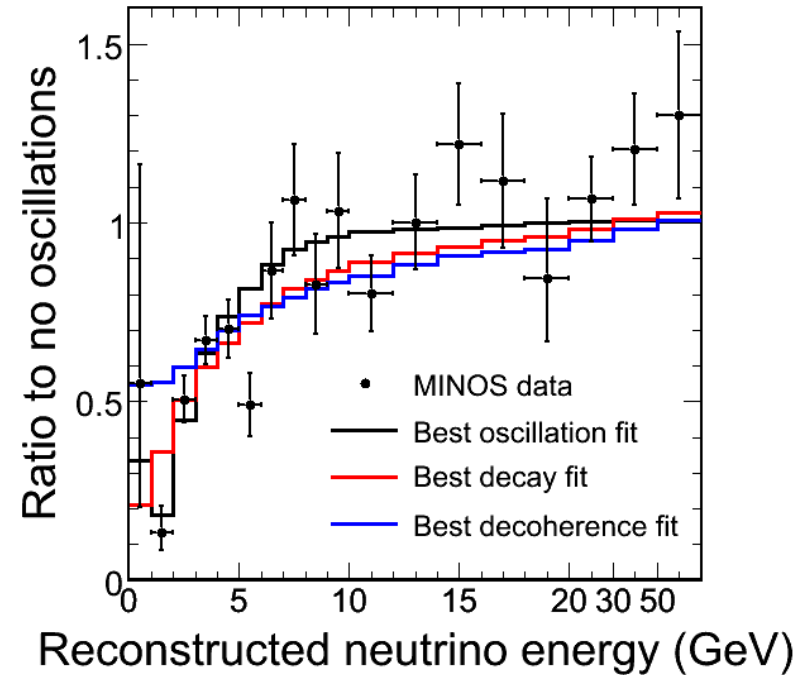
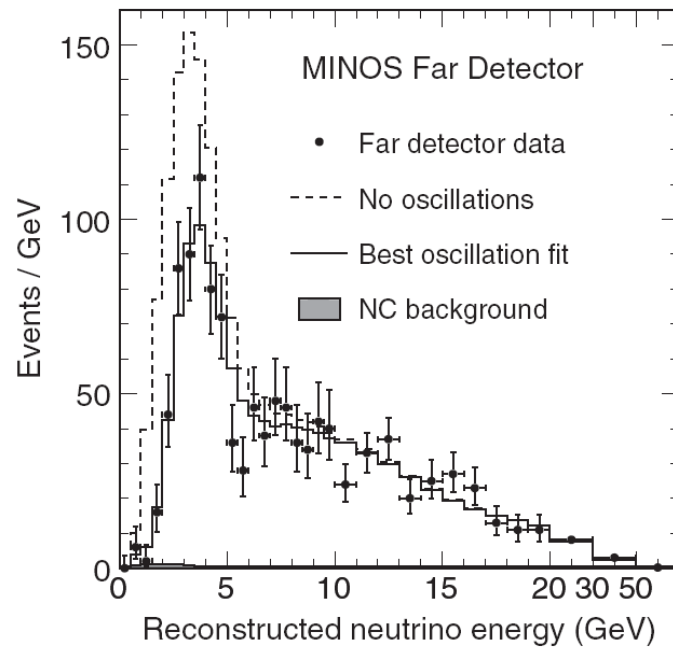
ν_e CC Event



short event,
typical EM shower
profile



MINOS Results: Fit to Oscillation Hypothesis



$$|\Delta m_{32}^2| = (2.43 \pm 0.13) \times 10^{-3} \text{ eV}^2 \quad (68\% \text{CL})$$

$$\sin^2 2\theta_{23} > 0.90 \quad (90\% \text{CL})$$

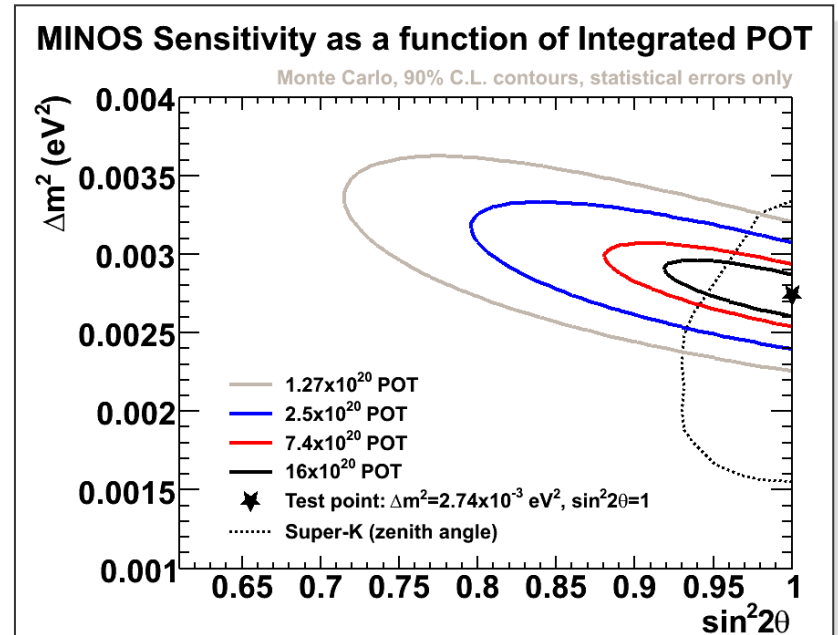
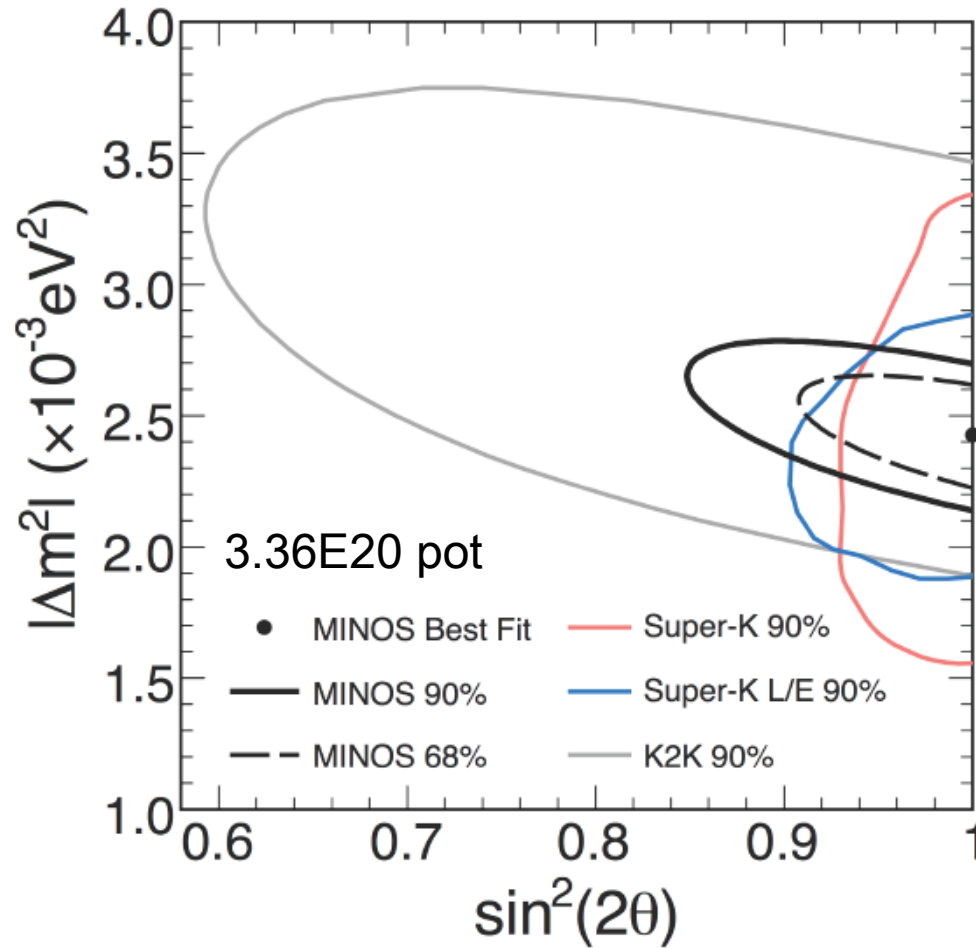
(best fit)

(for $3.36 \cdot 10^{20}$ pot)

„Measurement of Neutrino Oscillations with the MINOS Detectors in the NuMI Beam“
MINOS Coll., Phys. Rev. Lett. 101, 131802 (2008)



MINOS: Allowed Regions (new)





MINOS: search for ν_e appearance

Why? This is one possibility to measure θ_{13} and δ_{CP} :

The Oscillation probability $P(\nu_\mu \rightarrow \nu_e)$ is approximately given by:

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) \approx & \sin^2 \theta_{23} \frac{\sin^2 2\theta_{13}}{(\hat{A} - 1)^2} \sin^2((\hat{A} - 1)\Delta) \\
 & + \alpha \frac{\sin \delta_{CP} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23}}{\hat{A}(1 - \hat{A})} \sin(\Delta) \sin(\hat{A}\Delta) \sin((1 - \hat{A})\Delta) \\
 & + \alpha \frac{\cos \delta_{CP} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23}}{\hat{A}(1 - \hat{A})} \cos(\Delta) \sin(\hat{A}\Delta) \sin((1 - \hat{A})\Delta) \\
 & + \alpha^2 \frac{\cos^2 \theta_{23} \sin^2 2\theta_{12}}{\hat{A}^2} \sin^2(\hat{A}\Delta)
 \end{aligned}$$

with:

$$\alpha = \Delta m_{21}^2 / \Delta m_{31}^2 \ll 1$$

$$\Delta = \Delta m_{31}^2 L / 4E$$

matter dependent quantities :

$$\hat{A} = 2VE / \Delta m_{31}^2$$

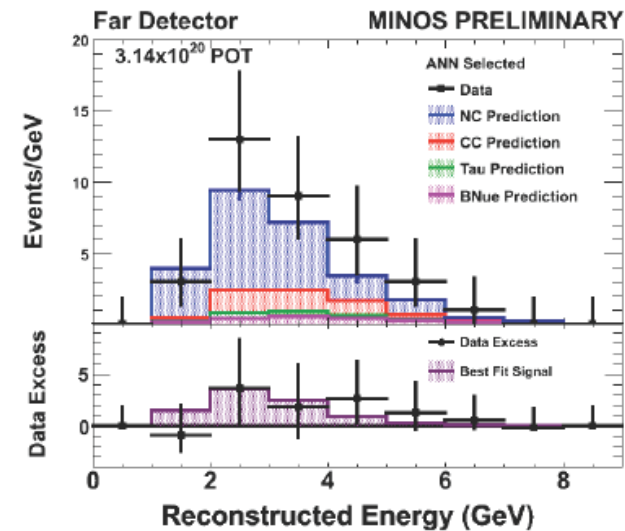
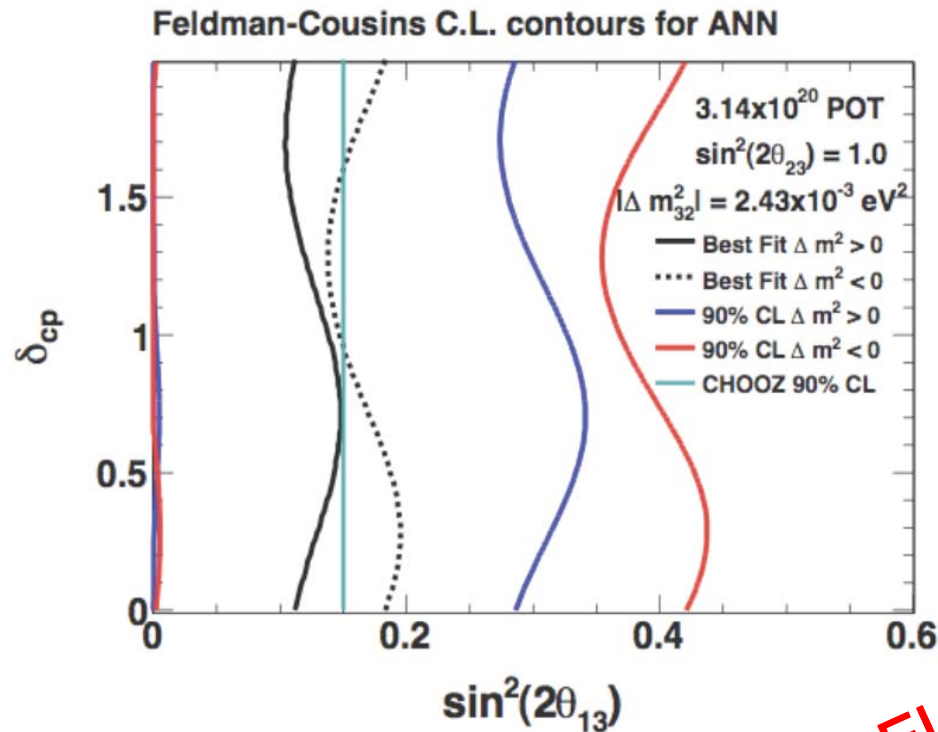
$$V = \sqrt{2}G_F n_e, \text{ with electron density } n_e \text{ (assumed constant)}$$



NEW! MINOS: ν_e appearance

35 events found in signal region, expected background: $27 \pm 5(\text{stat}) \pm 2(\text{syst})$

$\sin^2 2\theta_{13} < 0.29$ (90% CL) for $\delta_{CP} = 0$ and normal hierarchy



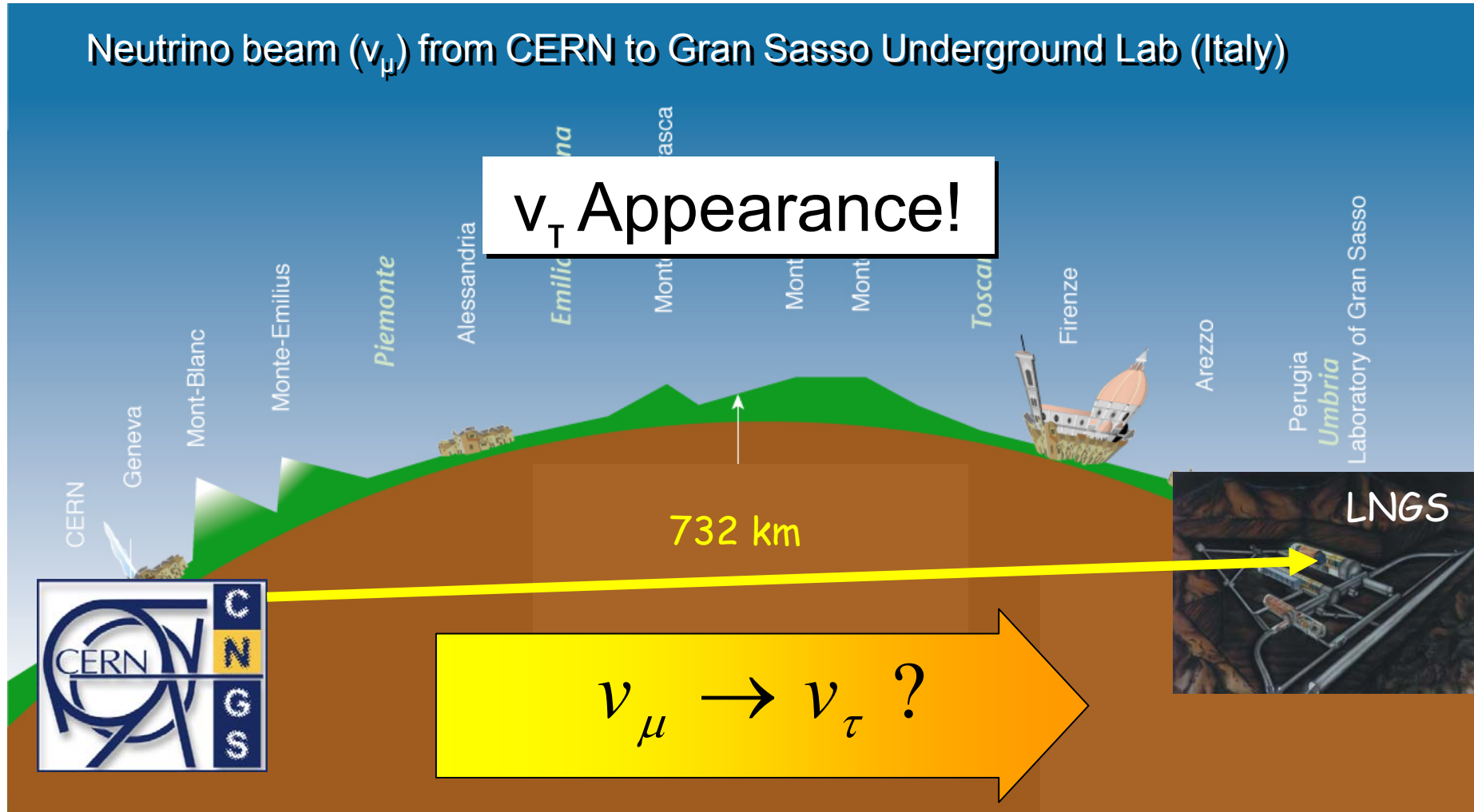
PRELIMINARY

From „Recent Results from the MINOS experiment“, M. Diwan @ Neutrino Telescopes Venice March 2009, arXiv:0904.3706

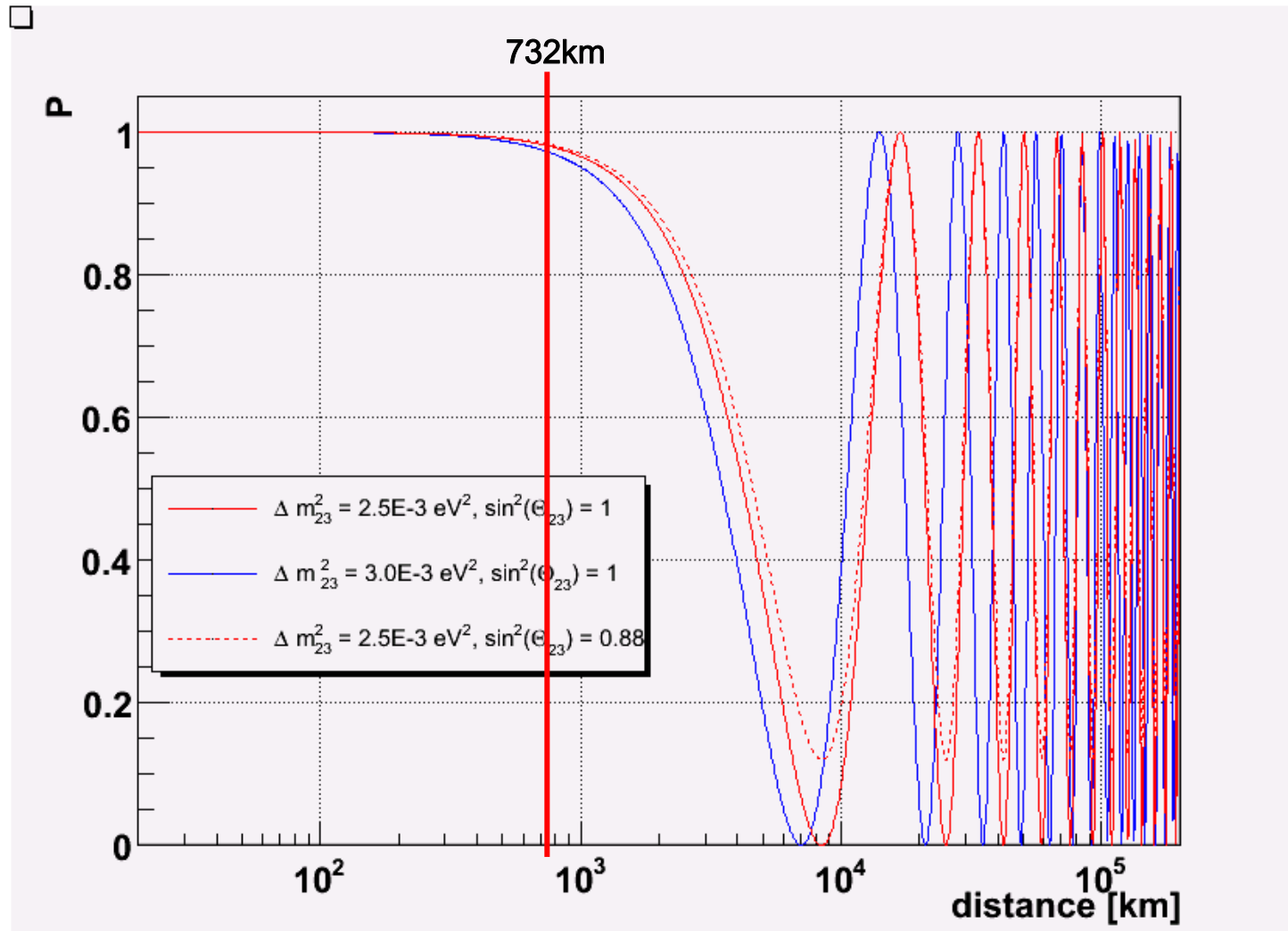


OPERA: Oscillation Project with Emulsion tRacking Apparatus

Neutrino beam (ν_μ) from CERN to Gran Sasso Underground Lab (Italy)

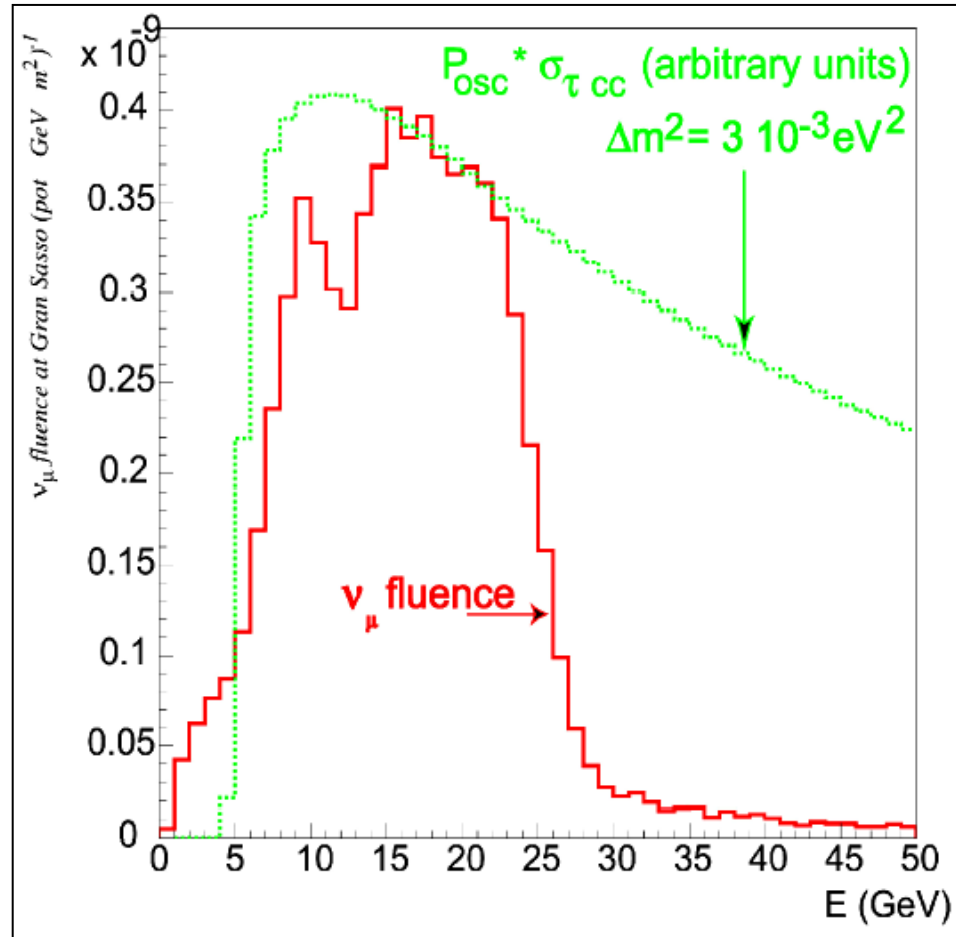


first physics run: june-november 2008; run 2009: just started

survival probability of ν_μ for $E_\nu=17\text{GeV}$ 



CNGS beam ("pure" ν_μ)



Total exposure expected: $22.5 \cdot 10^{19}$ pot

$$\langle E_\nu \rangle = 17 \text{ GeV}$$

$$\bar{\nu}_\mu / \nu_\mu = 4\%$$

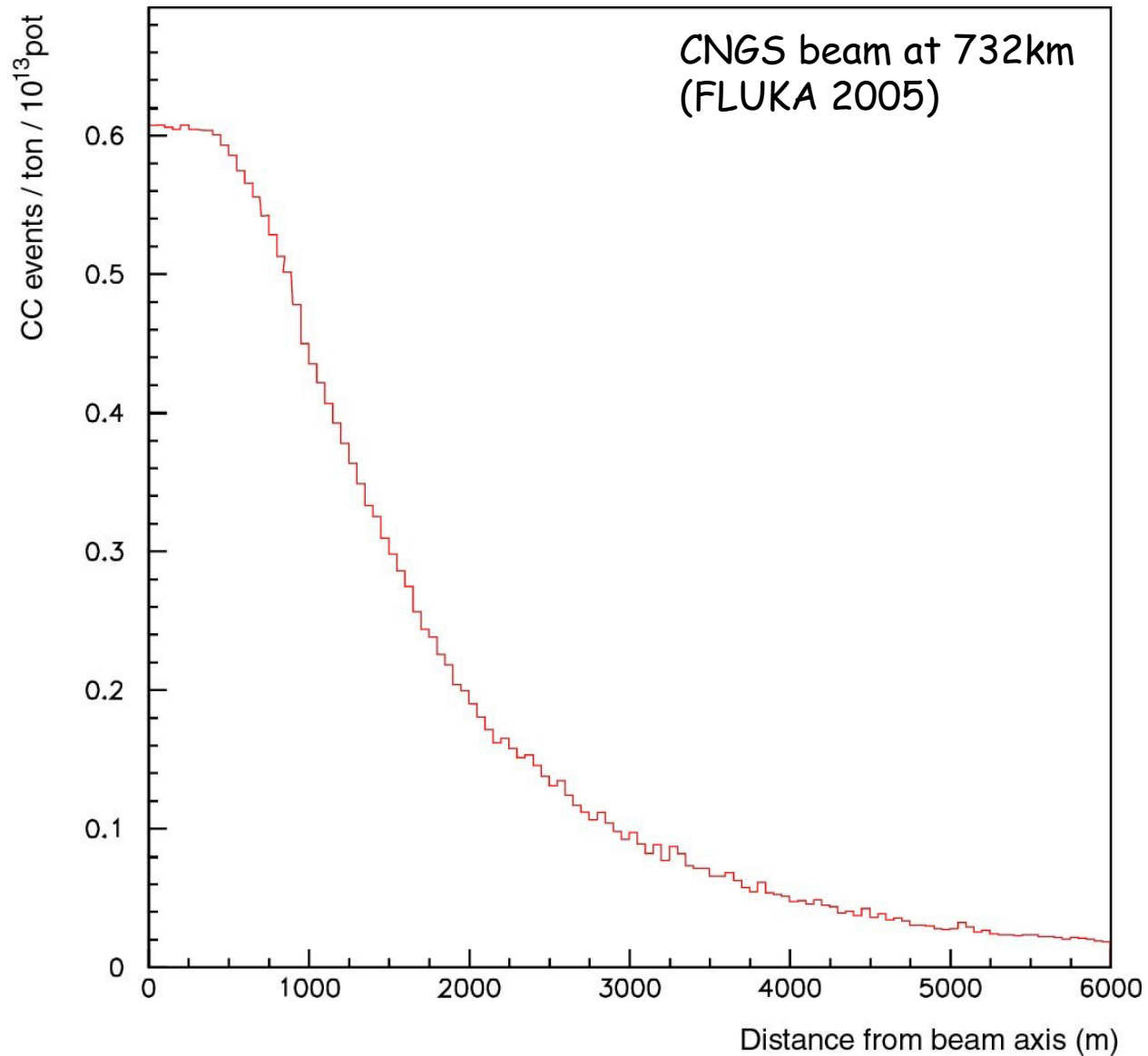
$$(\bar{\nu}_e + \nu_e) / \nu_\mu = 0.87\%$$



$4.5 \cdot 10^{19}$ pot/year

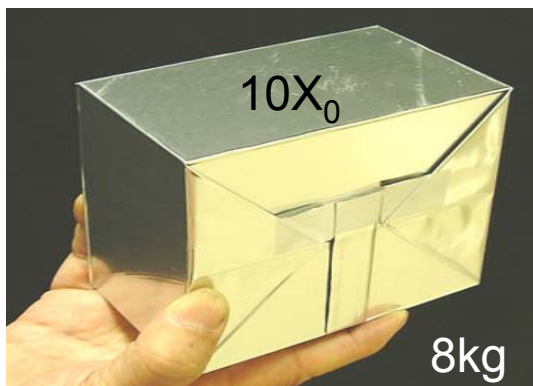


Profile of neutrino beam @ LNGS



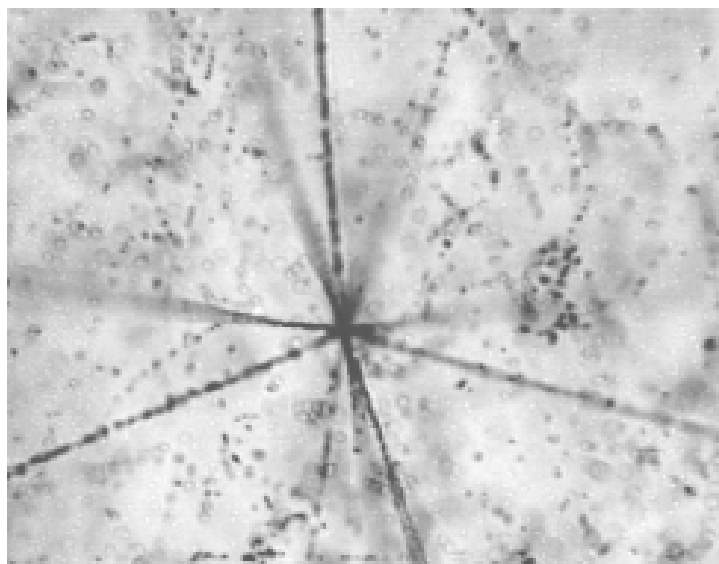
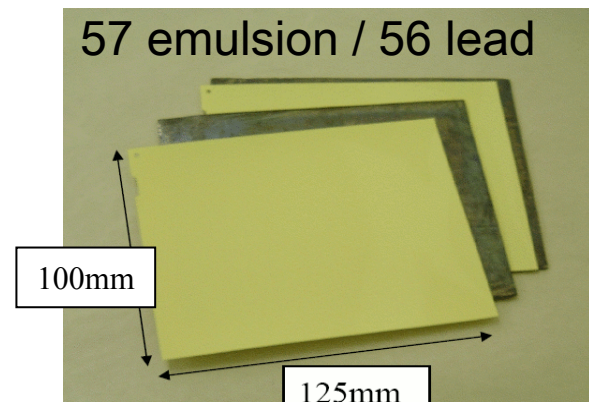
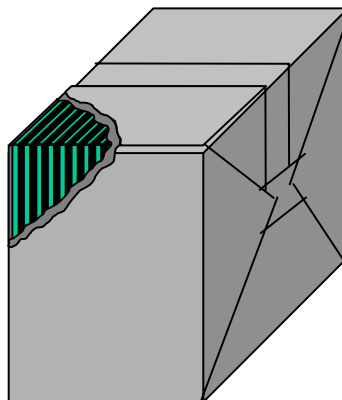


OPERA target: lead-emulsion-bricks



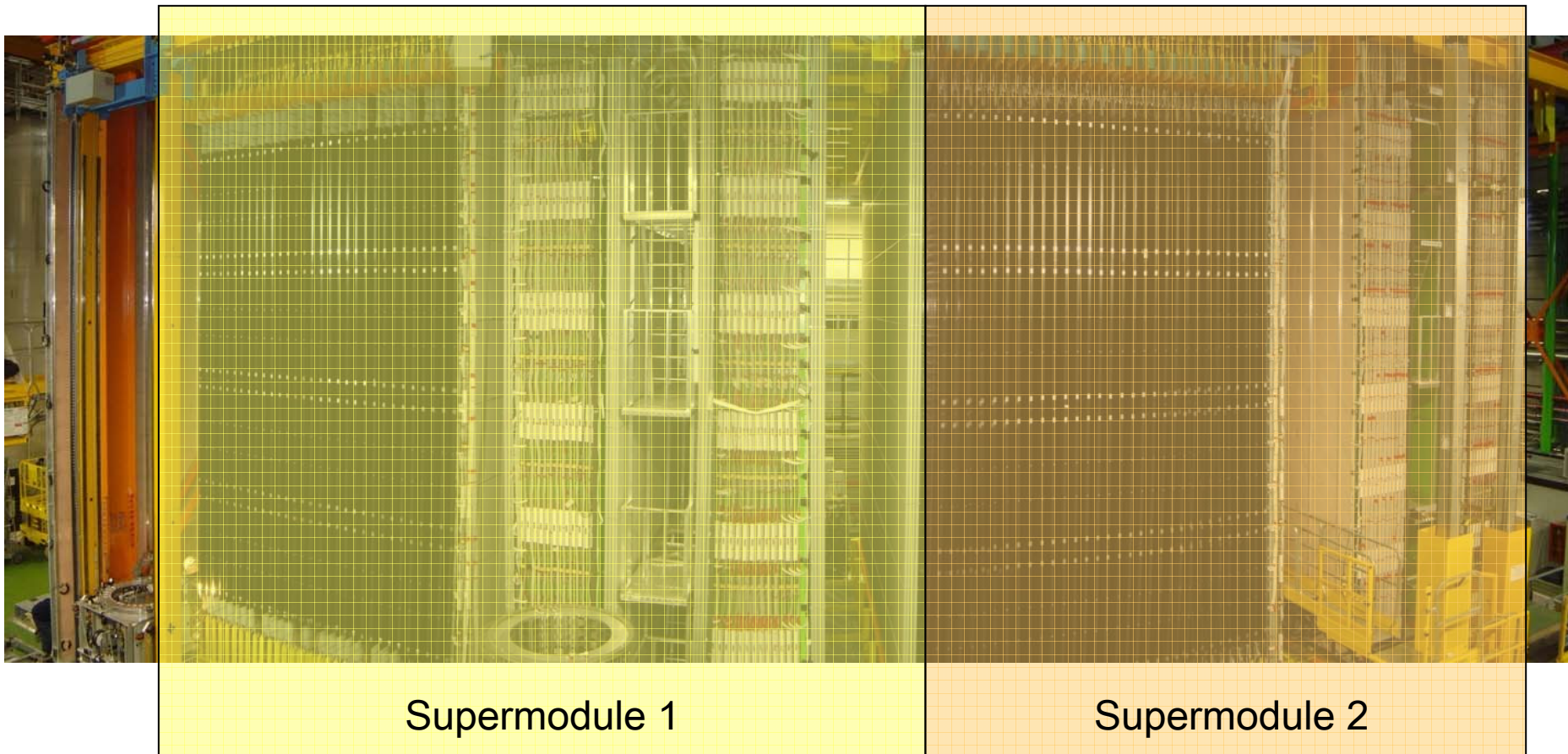
lead-emulsion-brick
(total ≈ 150000)

target mass:
 ≈ 1.2 kton





OPERA - Detector



Supermodule 1

Supermodule 2



OPERA - Detector

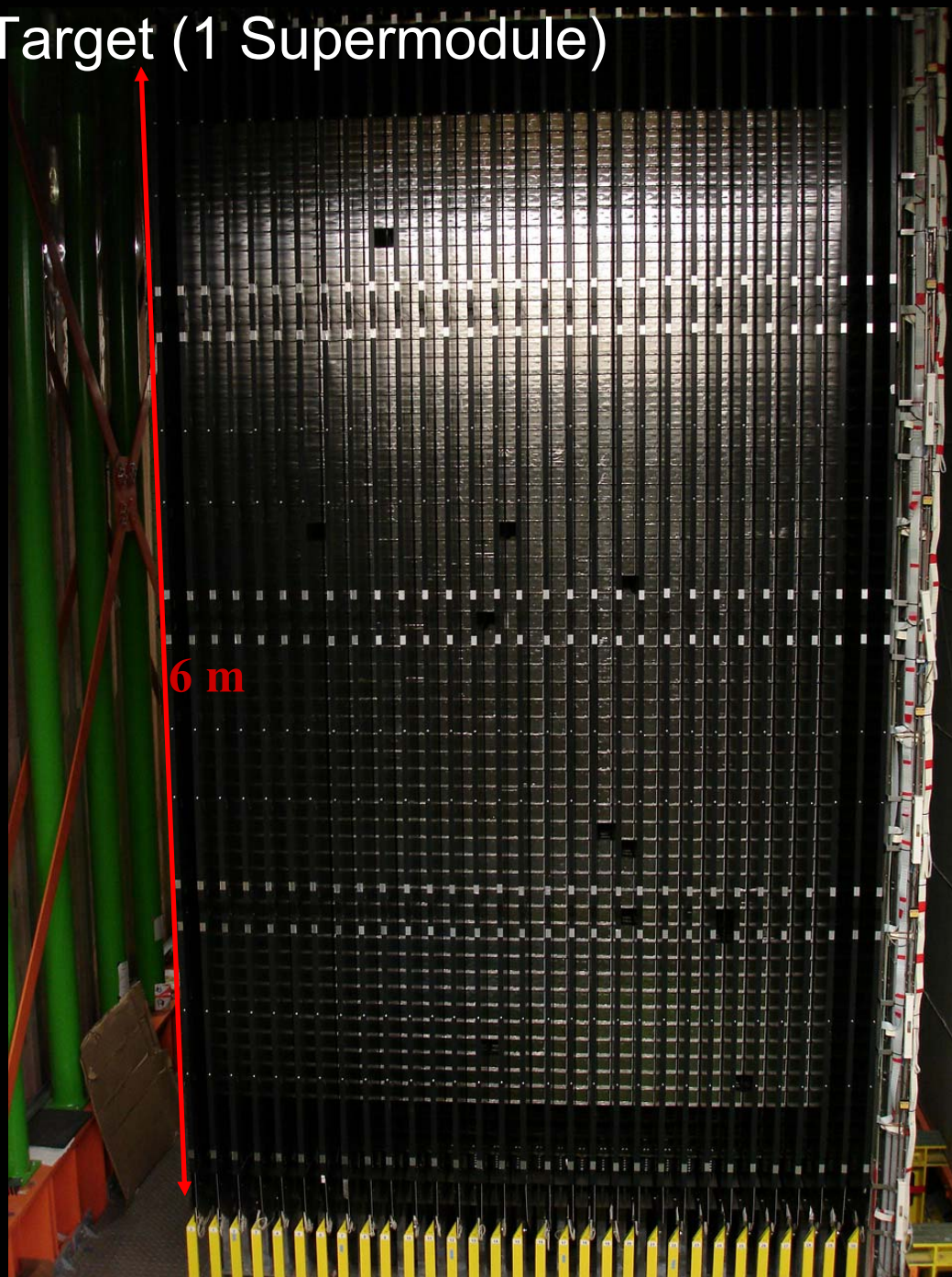
Supermodule 1



Target Region:

- Target Tracker (Scintillator)
- Lead/Emulsion Bricks (75.000 per Supermodule)

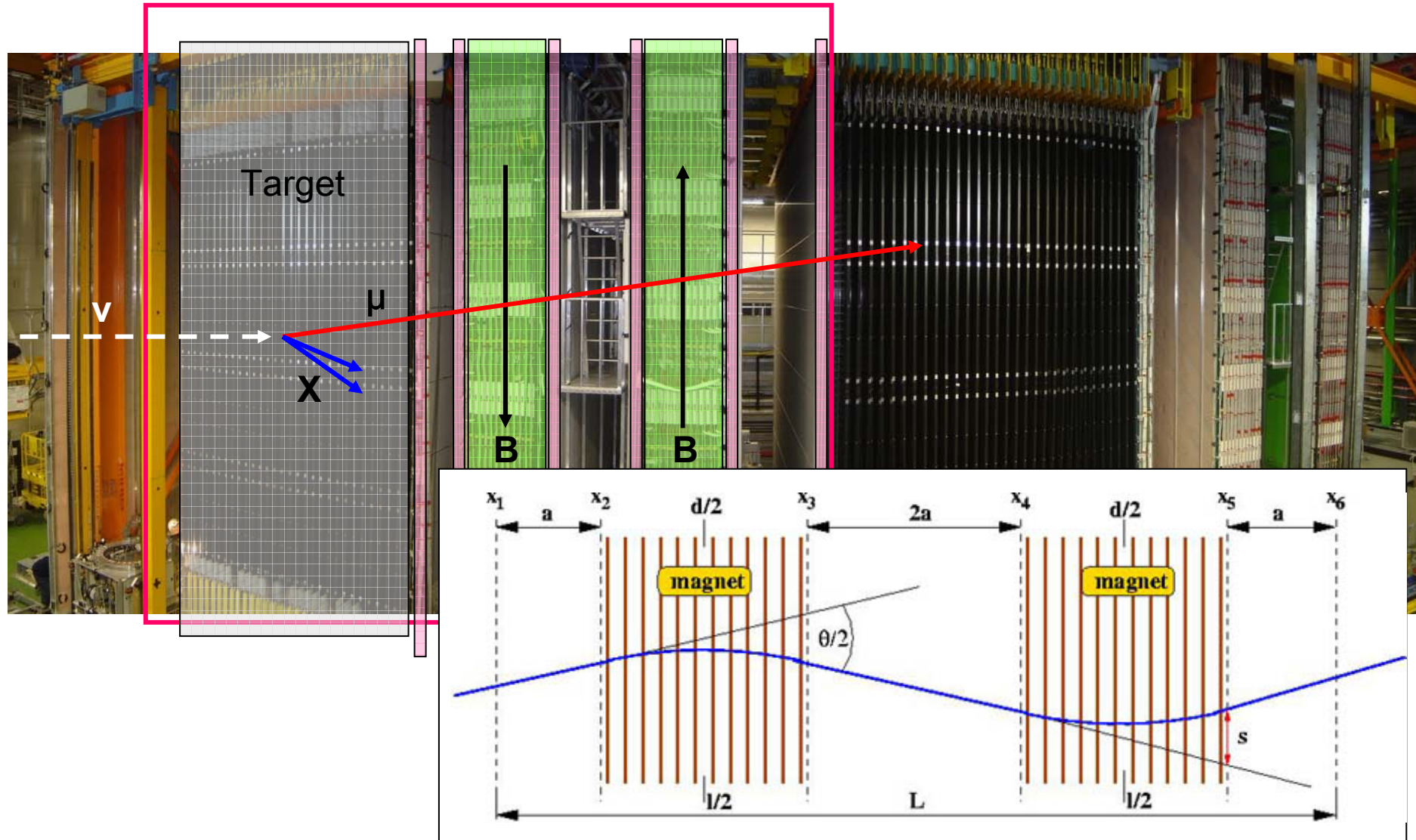
The OPERA Target (1 Supermodule)





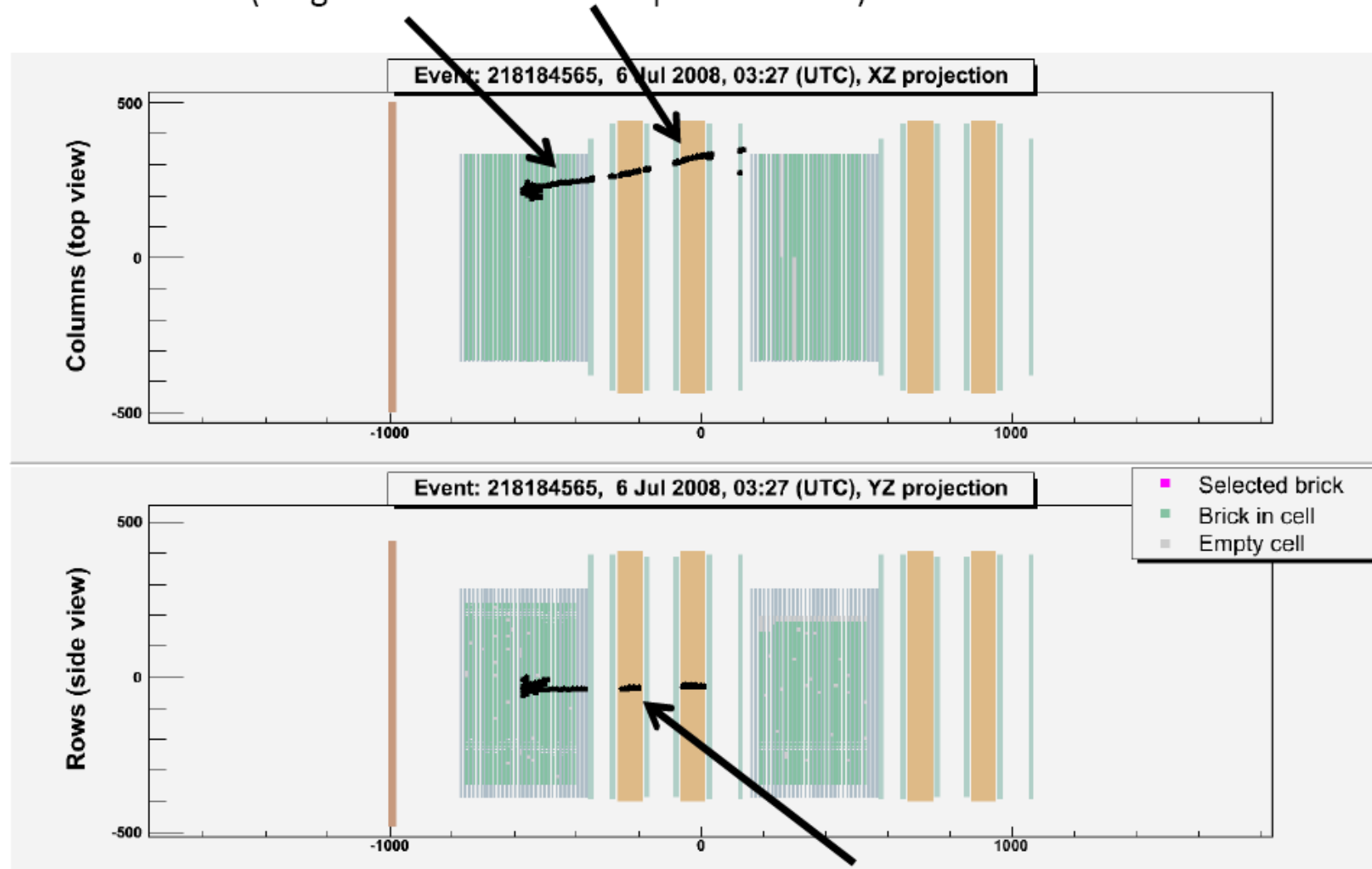
OPERA - Detector

Supermodule 1



Reconstruction (I): Muon-Spectrometer

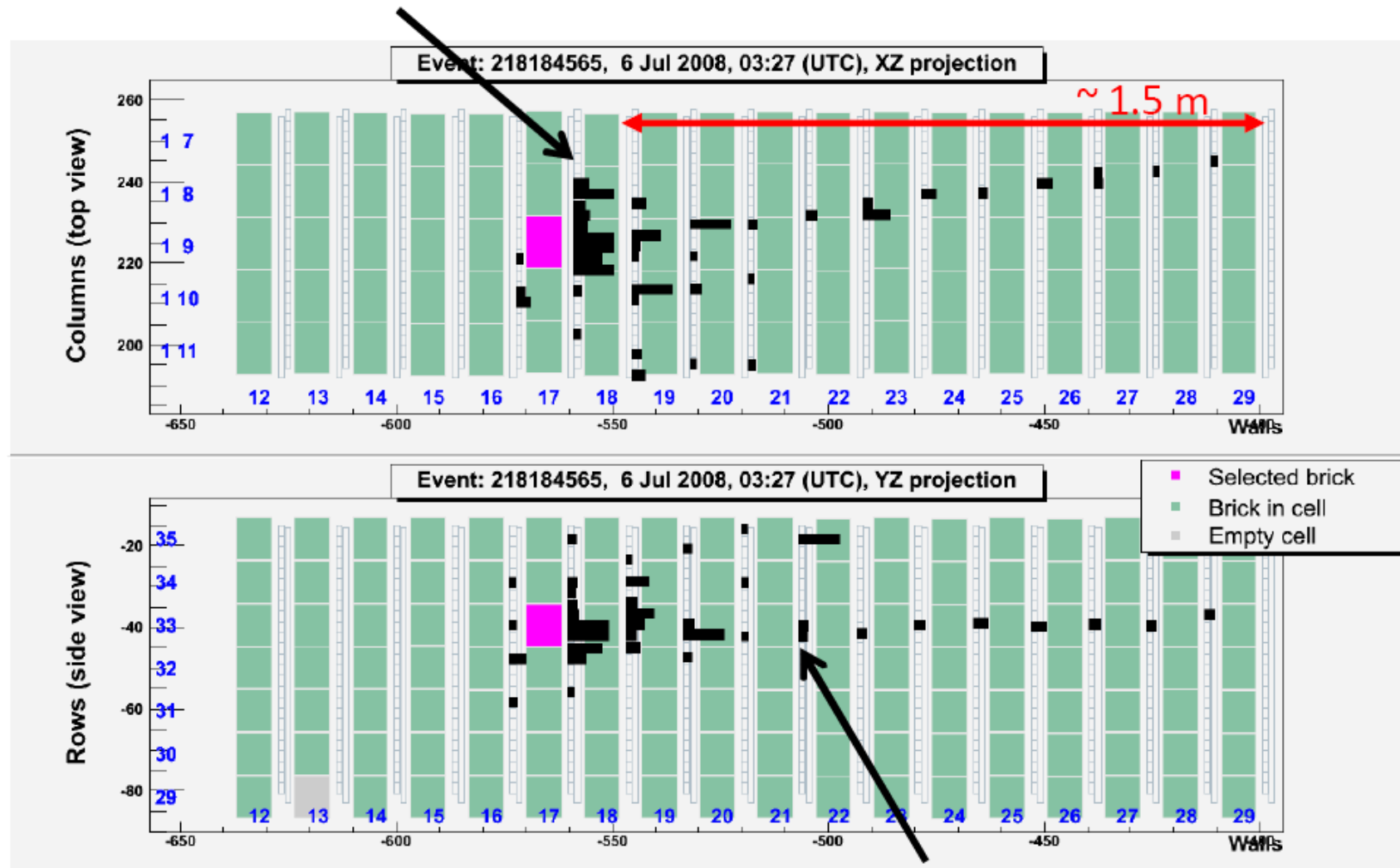
Electronic data (Target Tracker & Muon spectrometer)



Track identified as a muon ($P=3.394 \text{ GeV}/c$)

Rekonstruktion (II): Brick Finding

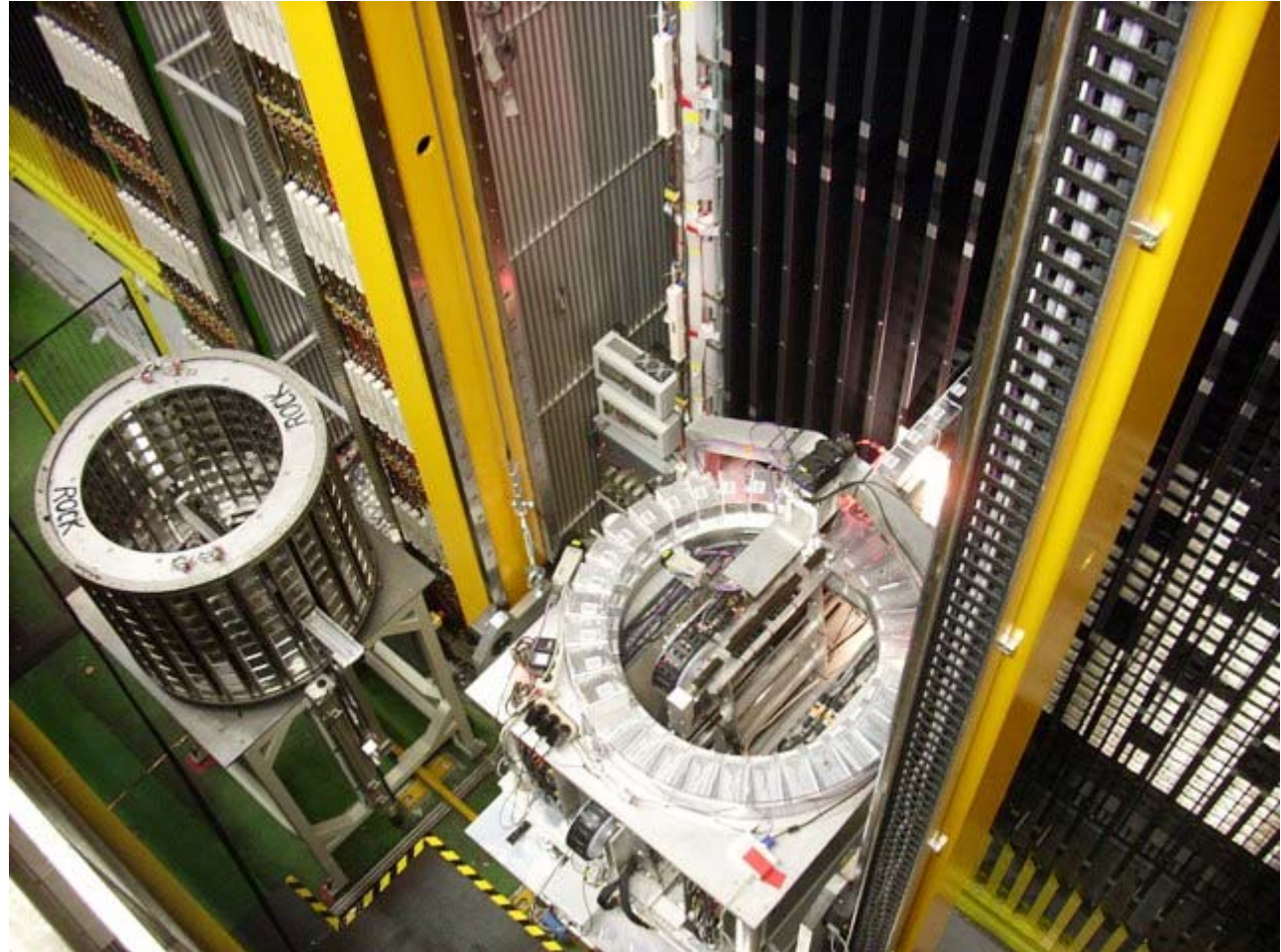
Electronic data (Target Tracker & Muon spectrometer)



Track identified as a muon ($P=3.394 \text{ GeV}/c$)



OPERA – Brick Manipulating System

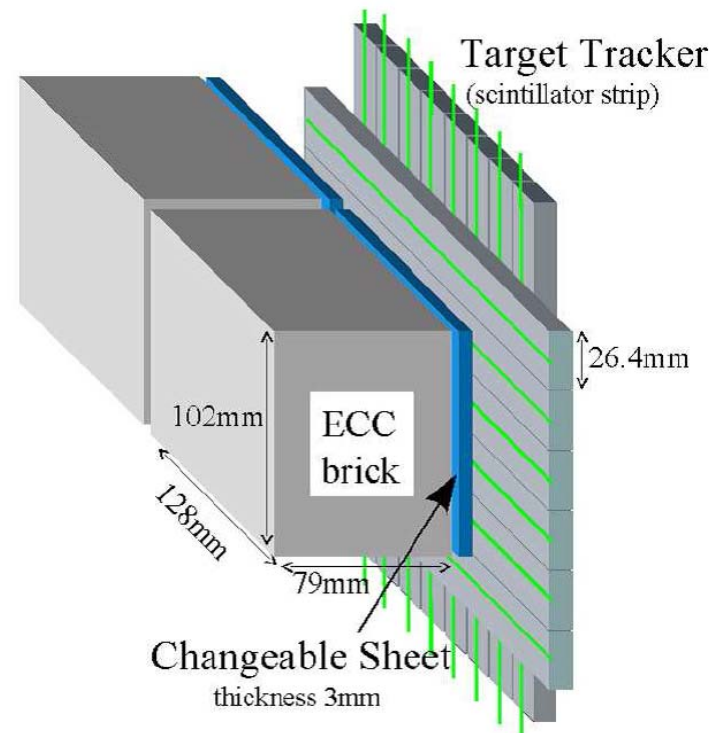


≈30 bricks/day are extracted

OPERA – Changeable Sheet (CS) Method

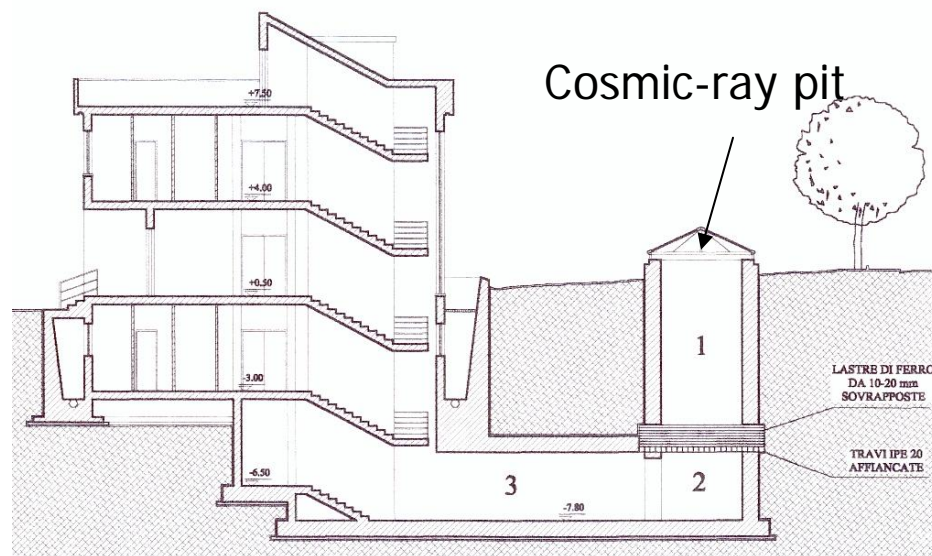
After extraction:

- 1.) First X-ray exposure of brick with CS
- 2.) CS is detached and developed underground
brick is kept in shielding box (5cm iron)
- 3.) If track in CS is compatible with track reconstructed by electronic detectors:
Second X-ray exposure of brick,
brick brought to surface



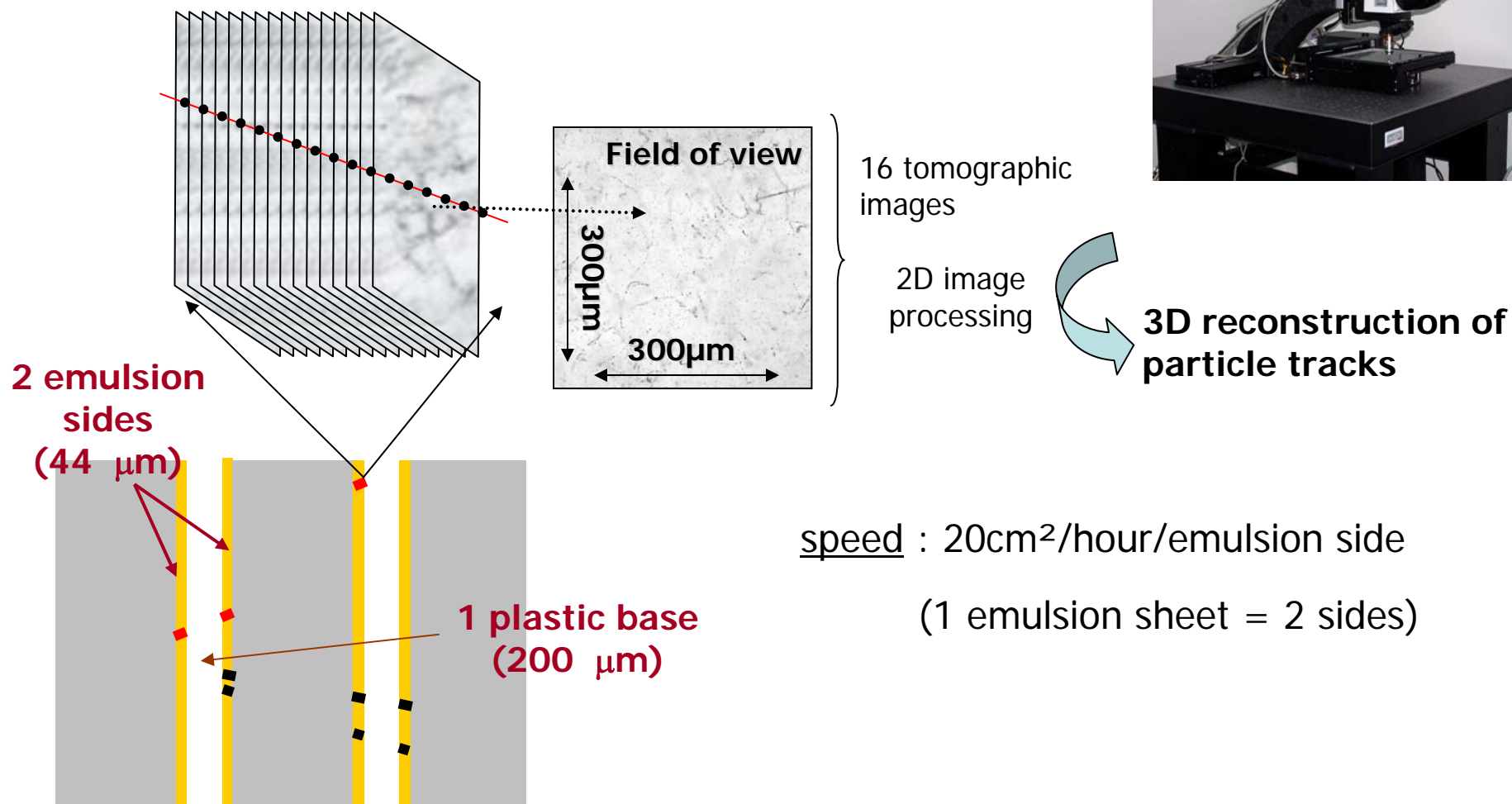
Emulsion Development @ LNGS

- Bricks brought to “cosmic ray pit” (@ surface), exposure 24h.
- Local alignment with cosmic myons (afterwards precision of 1-2 μ m).
- bricks are developed in 5 (6) automatic development lanes.
- 50 bricks/day can be developed (16h).



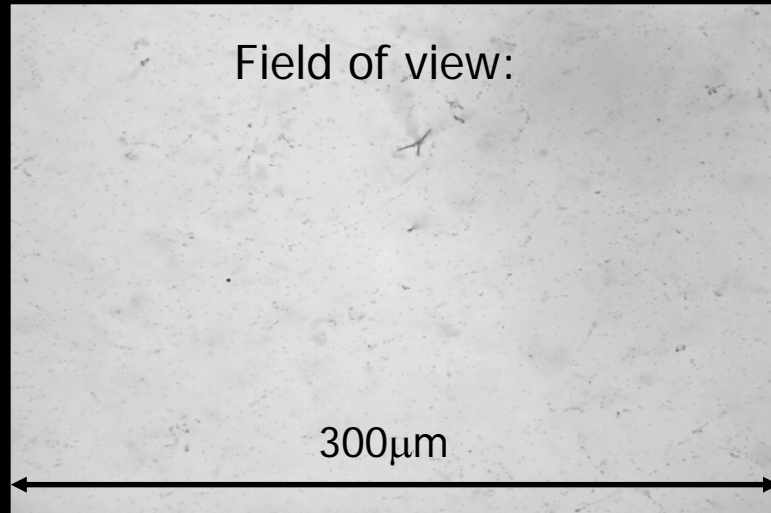
Scanning

≈40 automatic microscopes
in scanning labs in Europe(ESS) and Japan(S-UTS)

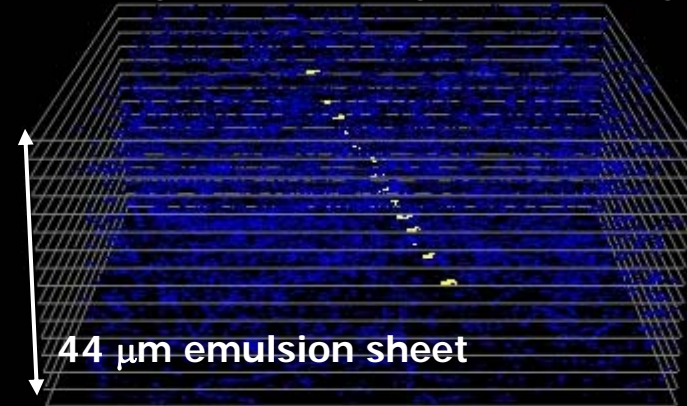


speed : 20cm²/hour/emulsion side
(1 emulsion sheet = 2 sides)

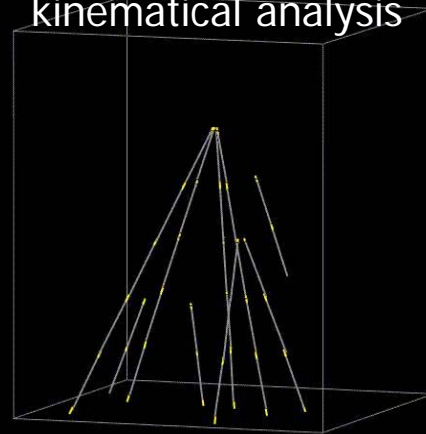
Scanning



2d image: 16 tomographic images



Vertex reconstruction & kinematical analysis





Expected Signal

Maximal mixing, run time of 5 years @ 4.5×10^{19} pot / year

channel	Reconstruction efficiency x BR %	Signal $\Delta m_{23}^2 = 2.5 \text{ eV}^2$	Signal $\Delta m_{23}^2 = 3.0 \text{ eV}^2$	Back-ground
$\tau \rightarrow \mu^-$	3.74	2.9	4.2	0.17
$\tau \rightarrow e^-$	3.08	3.5	5.0	0.17
$\tau \rightarrow h^-$	3.19	3.1	4.4	0.24
$\tau \rightarrow 3h$	1.05	0.9	1.3	0.17
Total	11.06	10.4	14.9	0.75

for OPERA with 1.35kt (75% of proposal)

Most important background processes:

- Charm production and decay
- Hadron re-interactions in lead
- Large angle myon scattering in lead

Overview expected events:

25000 ν interactions
 120 ν_τ interactions
 ~10 identified ν_τ
 <1 background



OPERA timetable

- May 2006: commissioning of electronic detector
- August 2006: first CNGS test beam (only electronic detector)
- October 2007: first physics pilot run (40% of the target)
0.082^{E19} pot, 38 events in bricks.
- July 2008: target complete
- June 2008 – november 2008: first OPERA beam period
1.8^{E19}pot, 10100 on time events, 1700 bricks with events extracted.
(26 charm events expected, 0.6 ν_τ expected)

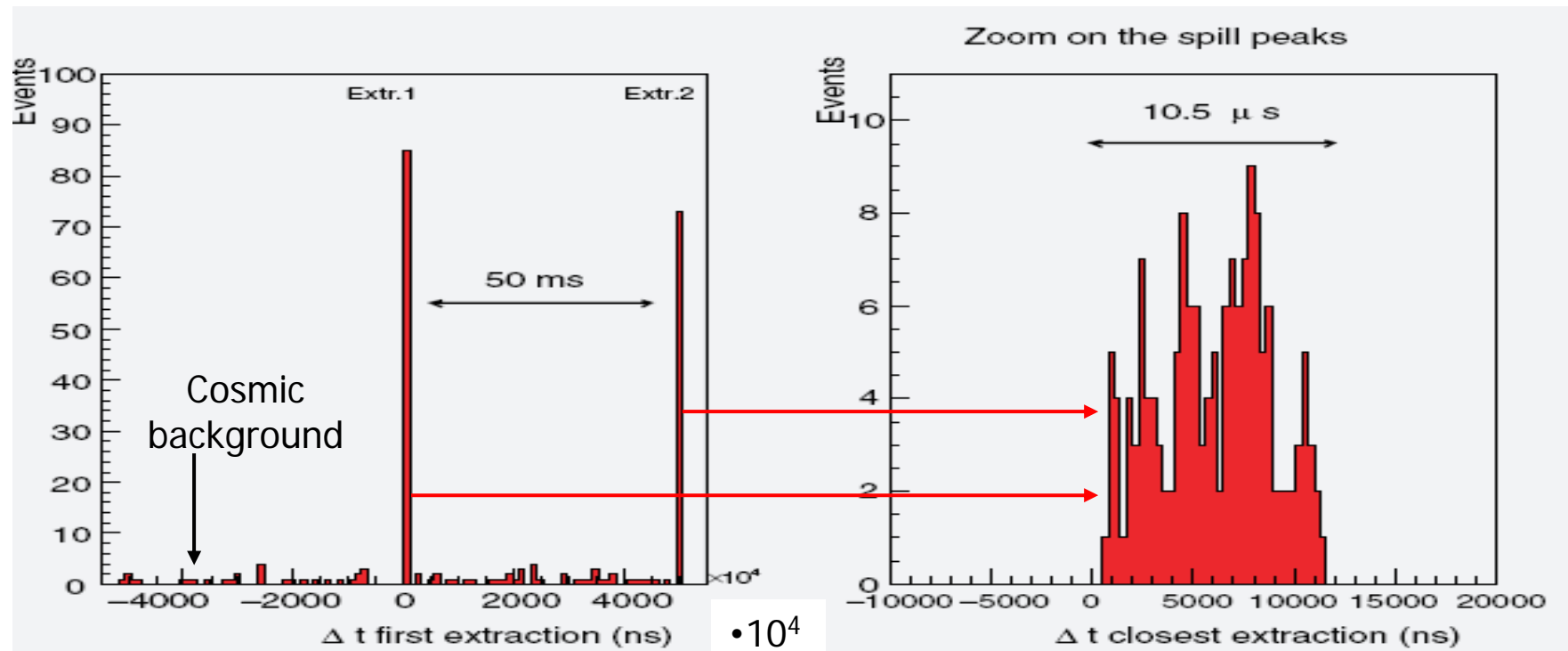
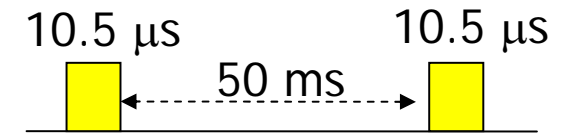
OPERA collaboration: arXiv:0903.2973v1, accepted for publication in JINST.

„The detection of neutrino interactions in the emulsion/lead target of the OPERA experiment“.

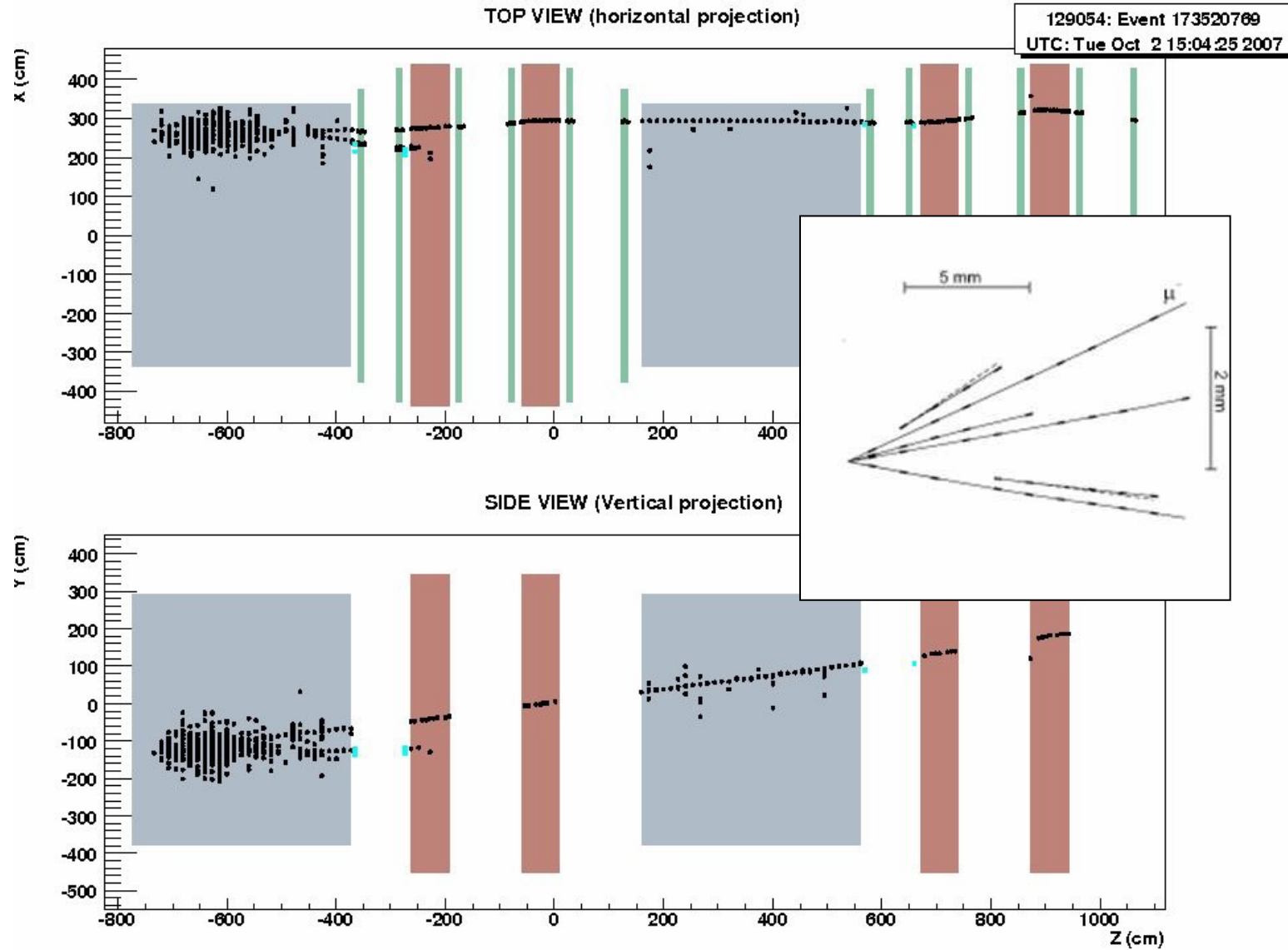
- New beam period started june 2009

Time Synchronisation

- event selection using GPS timing information
- event timing agrees with CNGS time structure
- background $O(10^{-4})$
- accuracy 100nsec

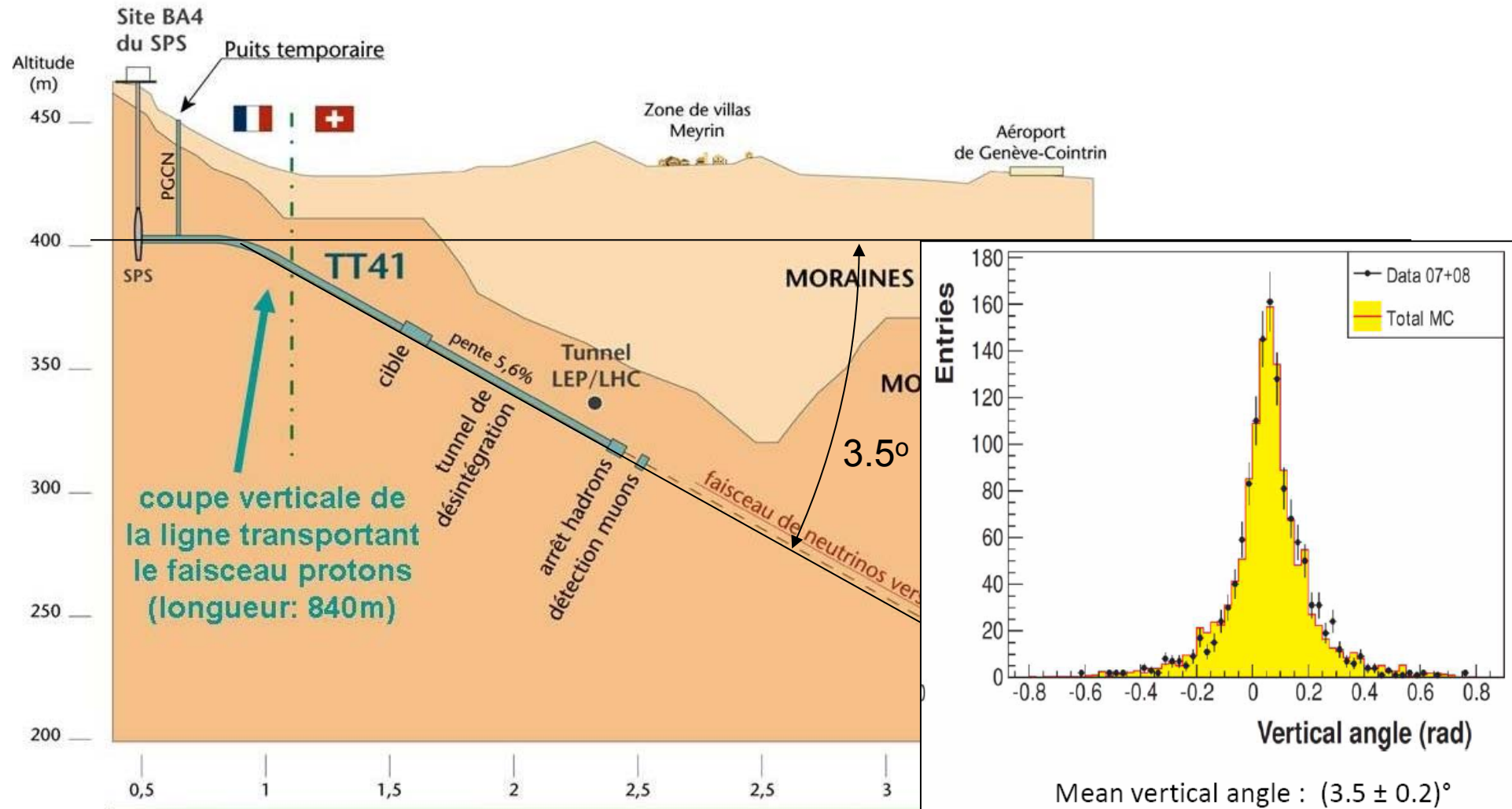


October 2007: first OPERA-event in a brick observed



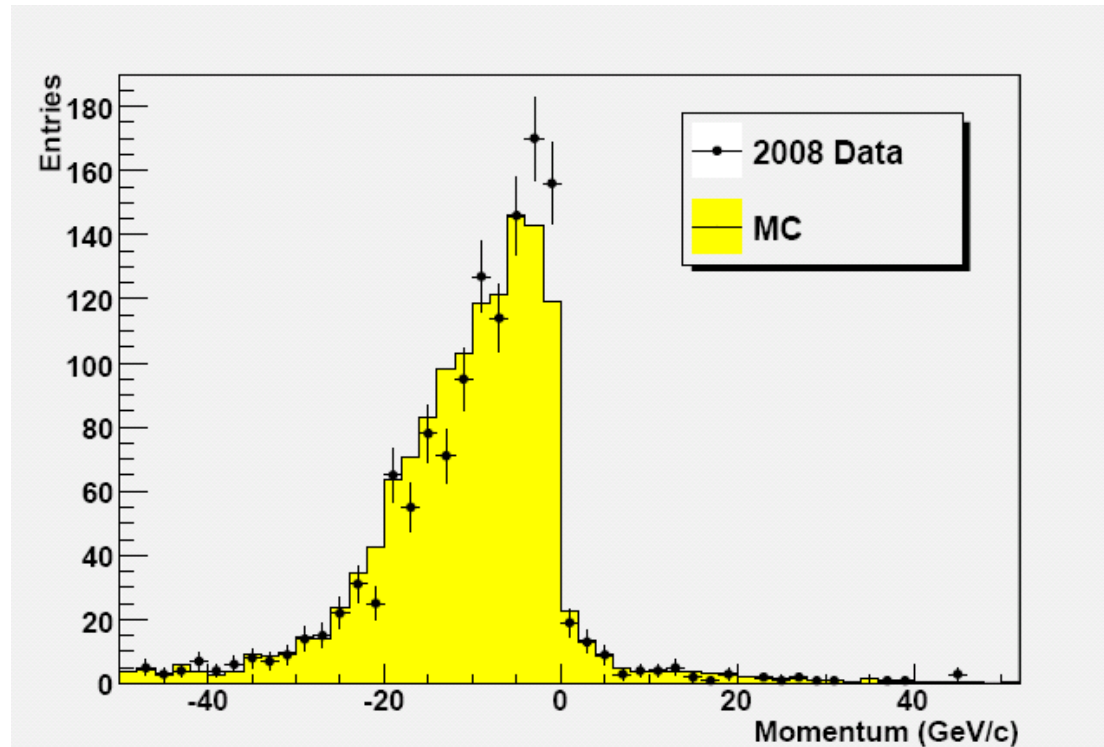


Direction of CNGS neutrino beam





Reconstruction of μ momentum (electronic detector)

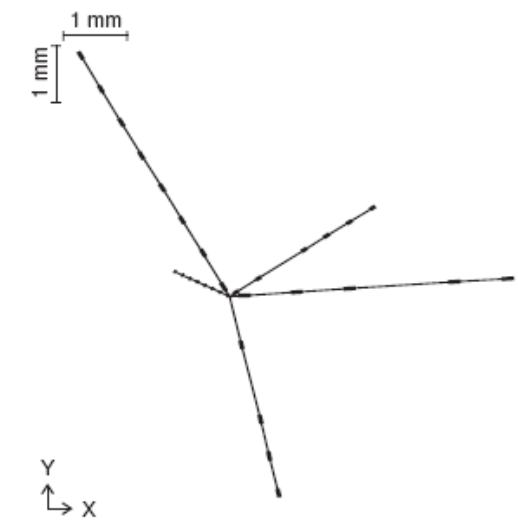
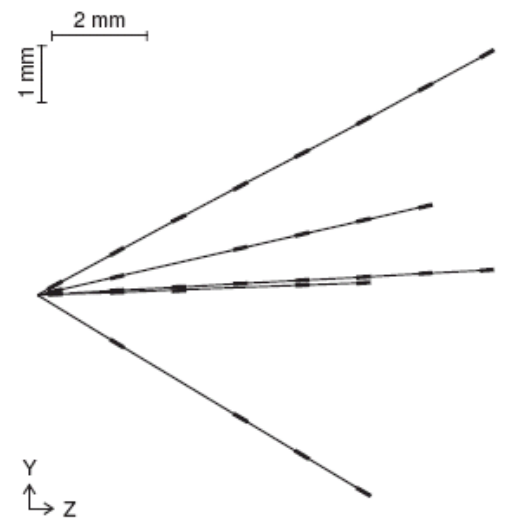
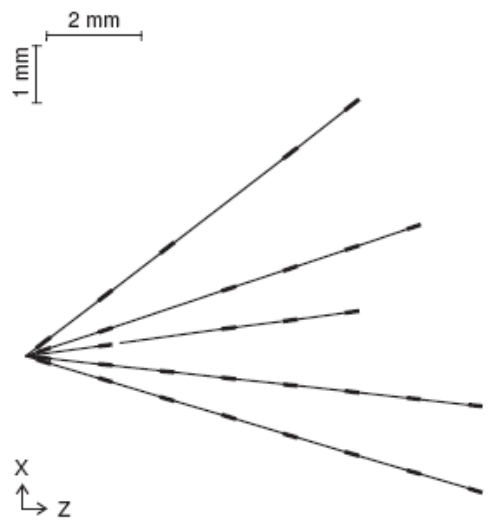
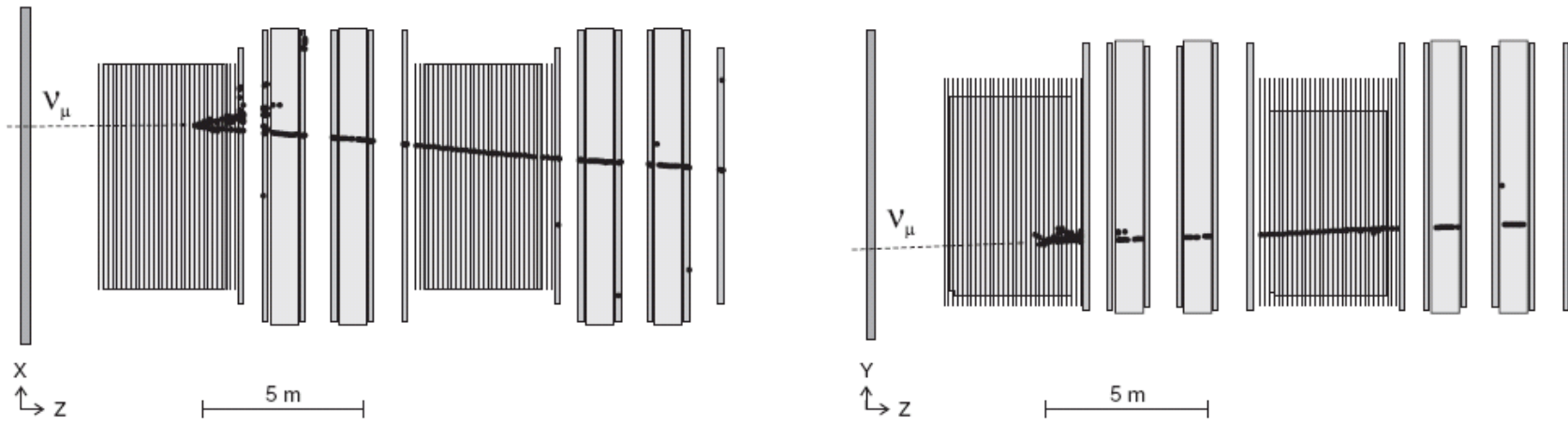




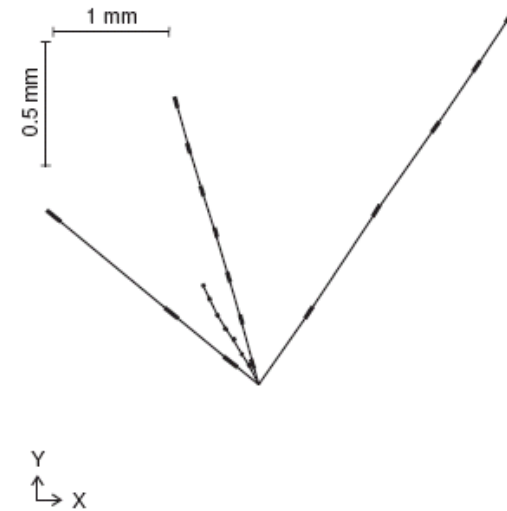
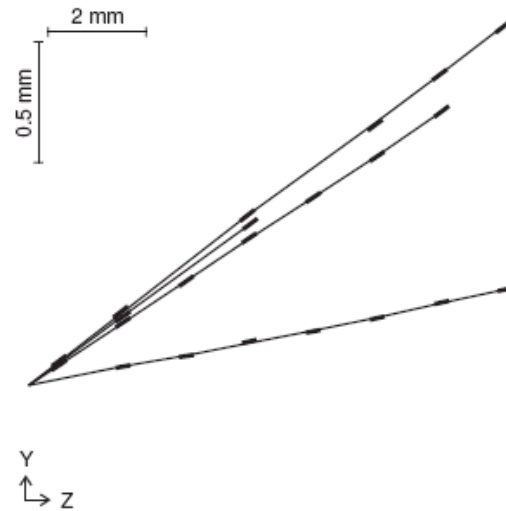
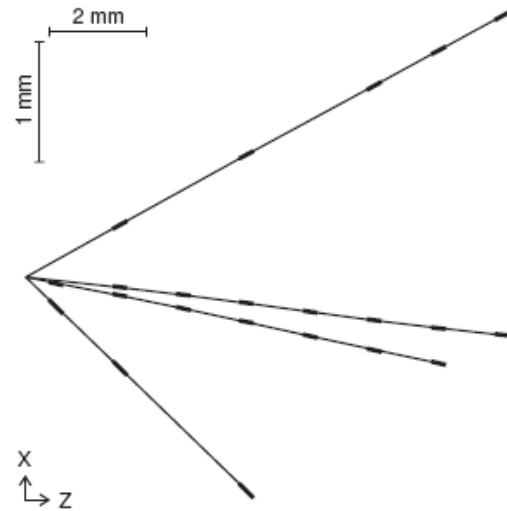
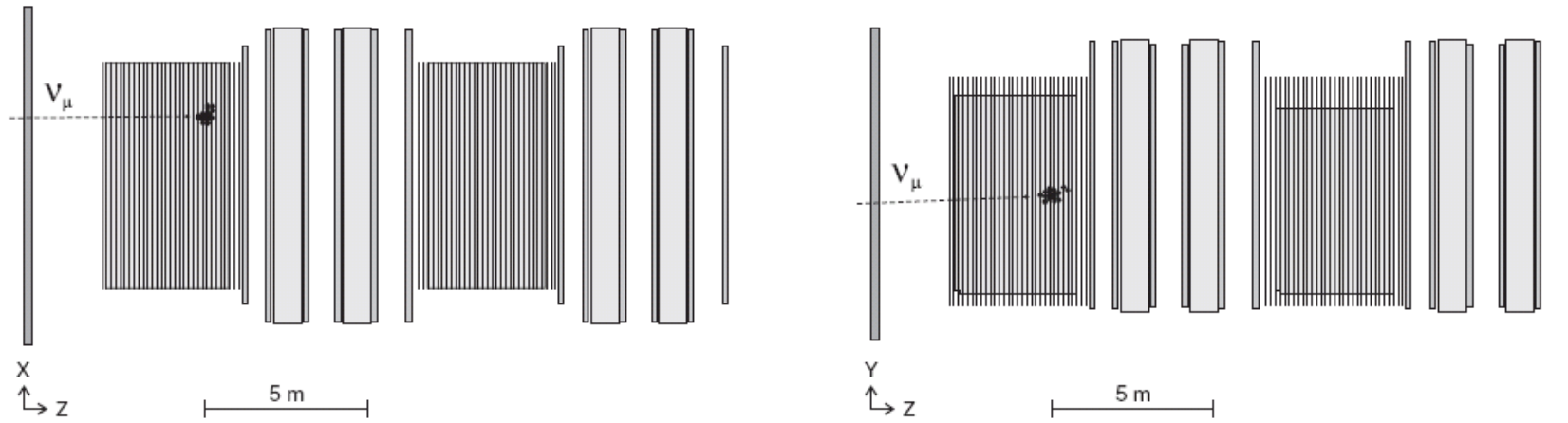
Status of Brick Analysis (March 09):

- 1700 bricks with events
- 754 bricks developed
- Events localised in 446 bricks
(308 still waiting)
- Brick Finding Efficiency 70%, compatible with MC prediction
- Vertex Finding Efficiency:
 - CC events: 90%-95% (MC prediction 90%)
 - NC events: 74%-83% (MC prediction 80%)
- 2 charm candidates have been found
(Using CHORUS measurements: 3 expected in this sample)

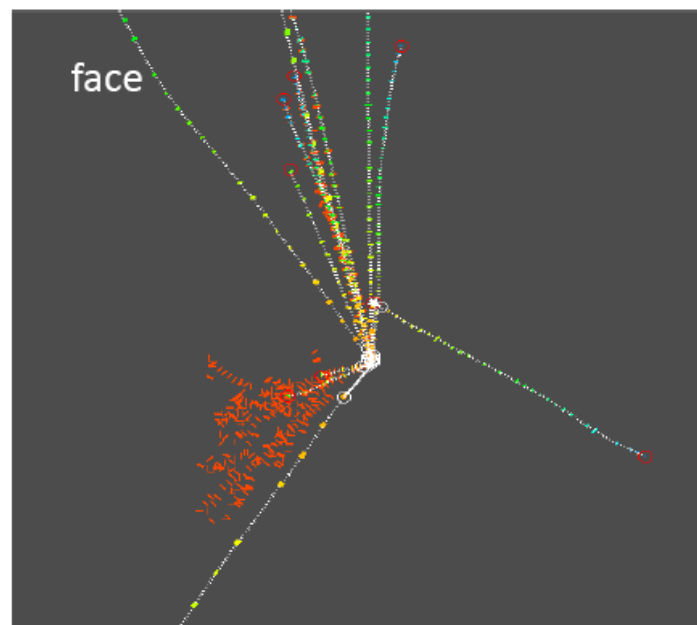
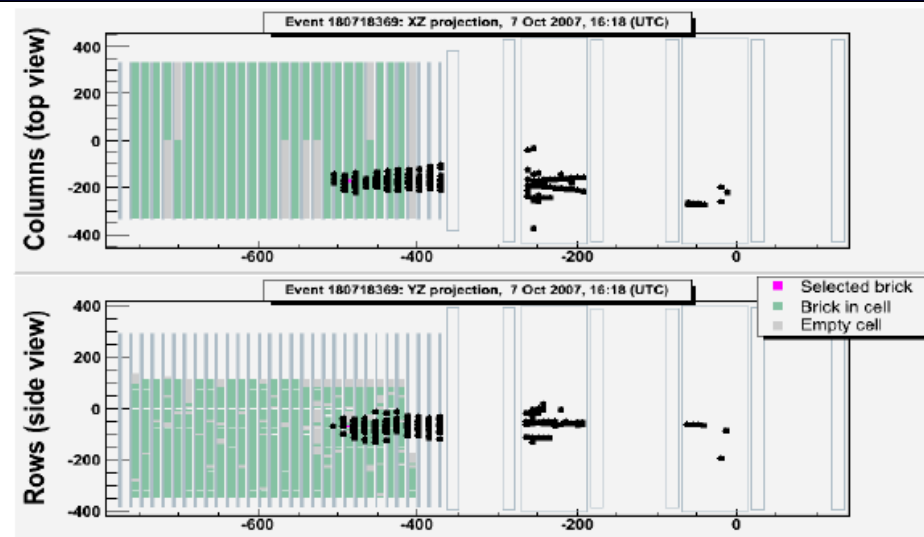
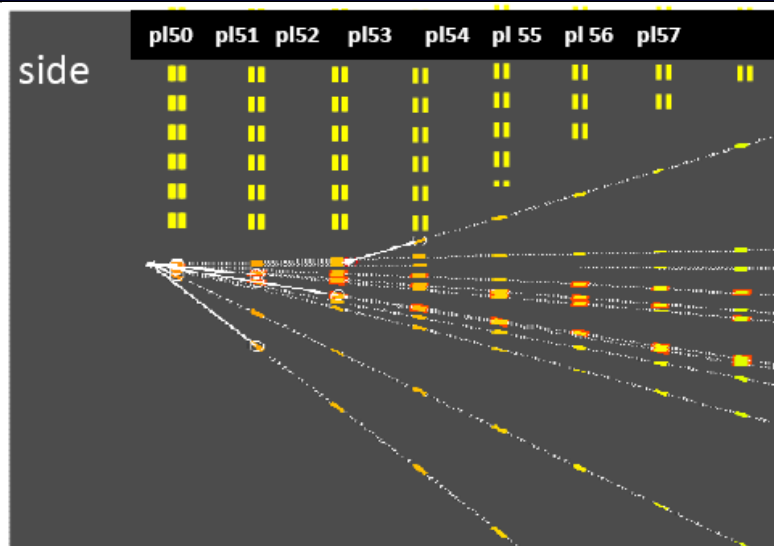
Example of real CC event:



Example of real NC event:



A Charm-Candidate



Clear kink topology
Two EM showers pointing to the vertex

Flight length	3247.2 μm
θ_{kink}	0.204 rad
P_{daughter}	3.9 (+1.7 -0.9) GeV
P_{T}	796 MeV

4×10^{-4} % probability for a hadron re-interaction to have a $P_{\text{T}} > 600$ MeV

7



OPERA summary:

- Detector (target) has been completed by July 2008
- **First OPERA beam period june - november 2008:**
exposure: 1.8^{E19} pot, 1700 bricks with events extracted.
Brick analysis is ongoing (≈ 450 vertices found by march09).
First candidates for charm have been identified.
- **Beam period 2009 just started** (last week):
 1.2^{E18} pot in first week.
outlook: 3.5^{E19} pot from CNGS -> 3500 events in bricks expected,
-> we may expect 2 ν_{τ} candidates...

OPERA is awaiting the first ν_{τ} - candidate