

# Accelerator Physics

*Wolfgang Hillert*

**a 45 minutes crash course:**

## Contents:

|                                |          |
|--------------------------------|----------|
| <b>1. Introduction</b>         | <b>3</b> |
| <b>2. Beam Acceleration</b>    | <b>4</b> |
| 2.1. Synchronization           | 4        |
| 2.2. Longitudinal Focusing     | 4        |
| 2.3. Longitudinal Oscillations | 6        |
| 2.4. Synchrotron Radiation     | 8        |

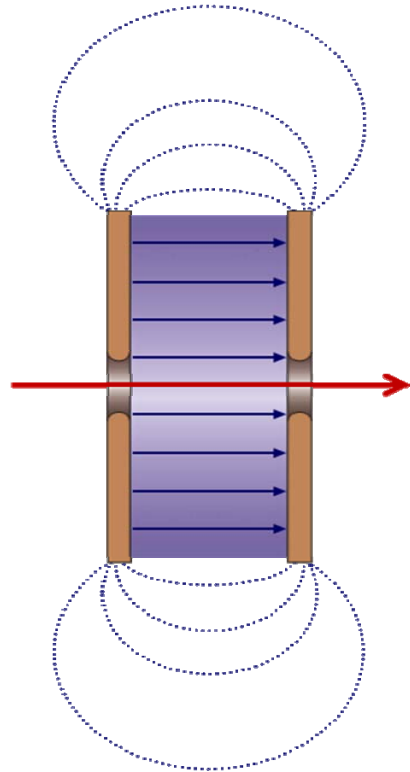
# Accelerator Physics

---

|   |           |
|---|-----------|
| <b>3. Beam Guidance and Focusing</b>                    | <b>10</b> |
| 3.1. Guidance – Dipole Magnets                          | 10        |
| 3.2. Focusing – Quadrupole Magnets                      | 11        |
| 3.3. Correction of Chromatic Errors – Sextupole Magnets | 13        |
| <b>4. Linear Beam Optics</b>                            | <b>14</b> |
| 4.1. Equations of Motion                                | 14        |
| 4.2. Matrix Formalism                                   | 17        |
| 4.3. Beam Emittance and Phase Space                     | 24        |
| <b>5. Circular Accelerators</b>                         | <b>30</b> |
| 5.1. Betatron Tune                                      | 32        |
| 5.2. Optical Resonances                                 | 33        |
| 5.3. Radiation Damping – Natural Emittance              | 35        |
| <b>6. Summary</b>                                       | <b>38</b> |

## 1. Introduction

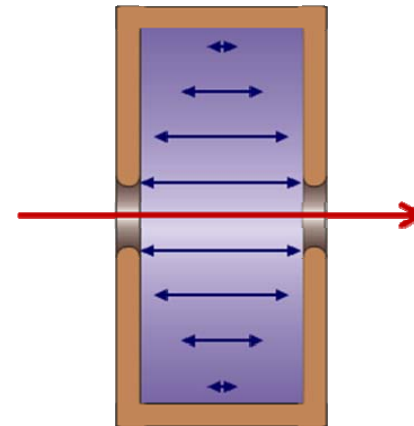
### Electrostatic acceleration:



$$\oint \vec{E} \cdot d\vec{s} = 0 \quad \text{due to fringe fields!}$$

### RF-based acceleration:

**No fringe fields!**



no continuous flow of particles

“bunched particle beam”

synchronization required

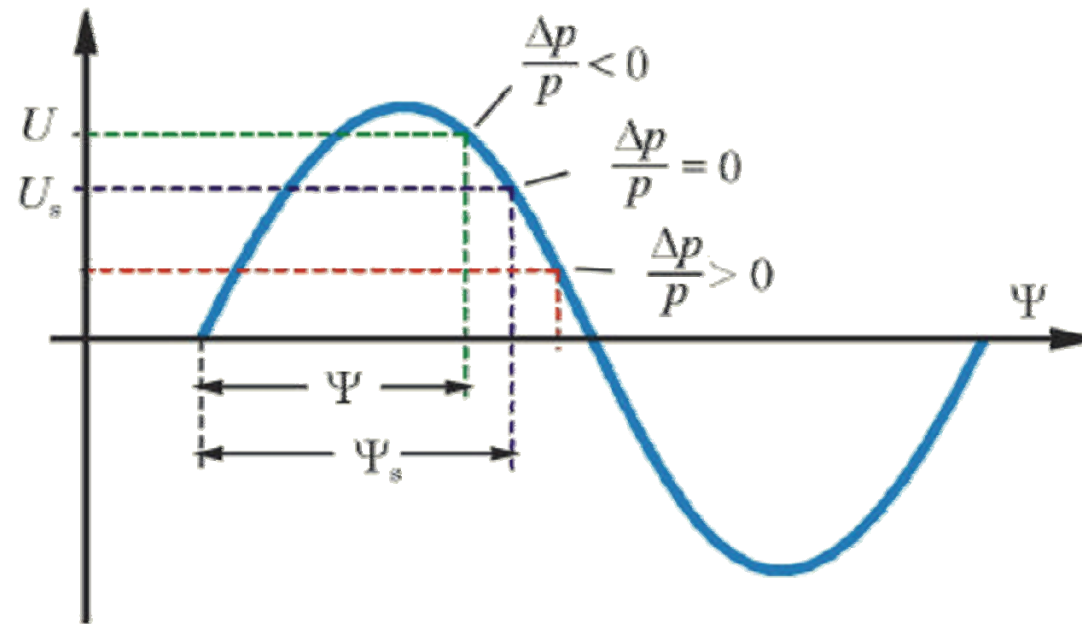
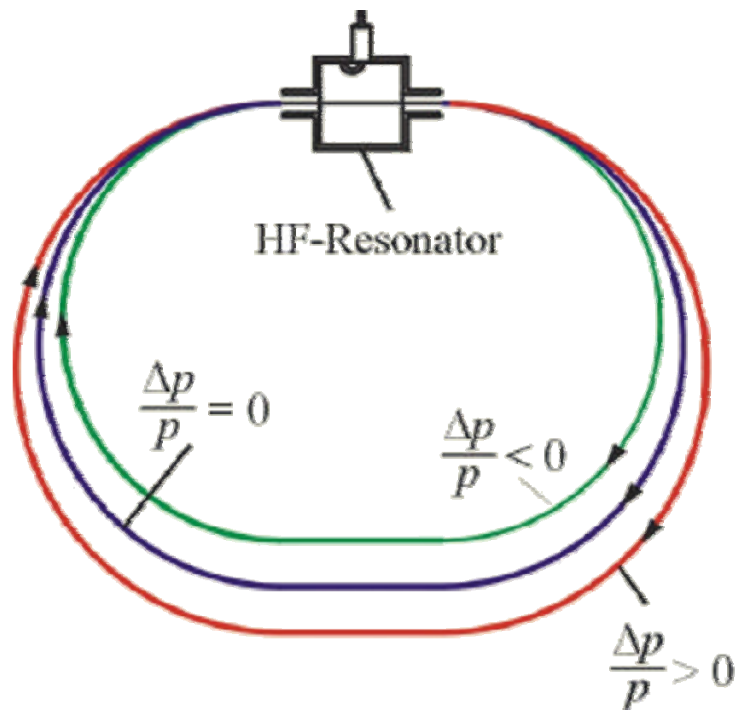
**Electrical breakdown limits maximum surface fields to  $E \approx U/R \approx 10 \text{ MV/m}$**

## 2. Beam Acceleration

### 2.1. Synchronization

Reference particle:  $T_{\text{rev}} = h \cdot T_{\text{RF}}$  or  $\omega_{\text{RF}} = h \cdot \omega_{\text{rev}}$

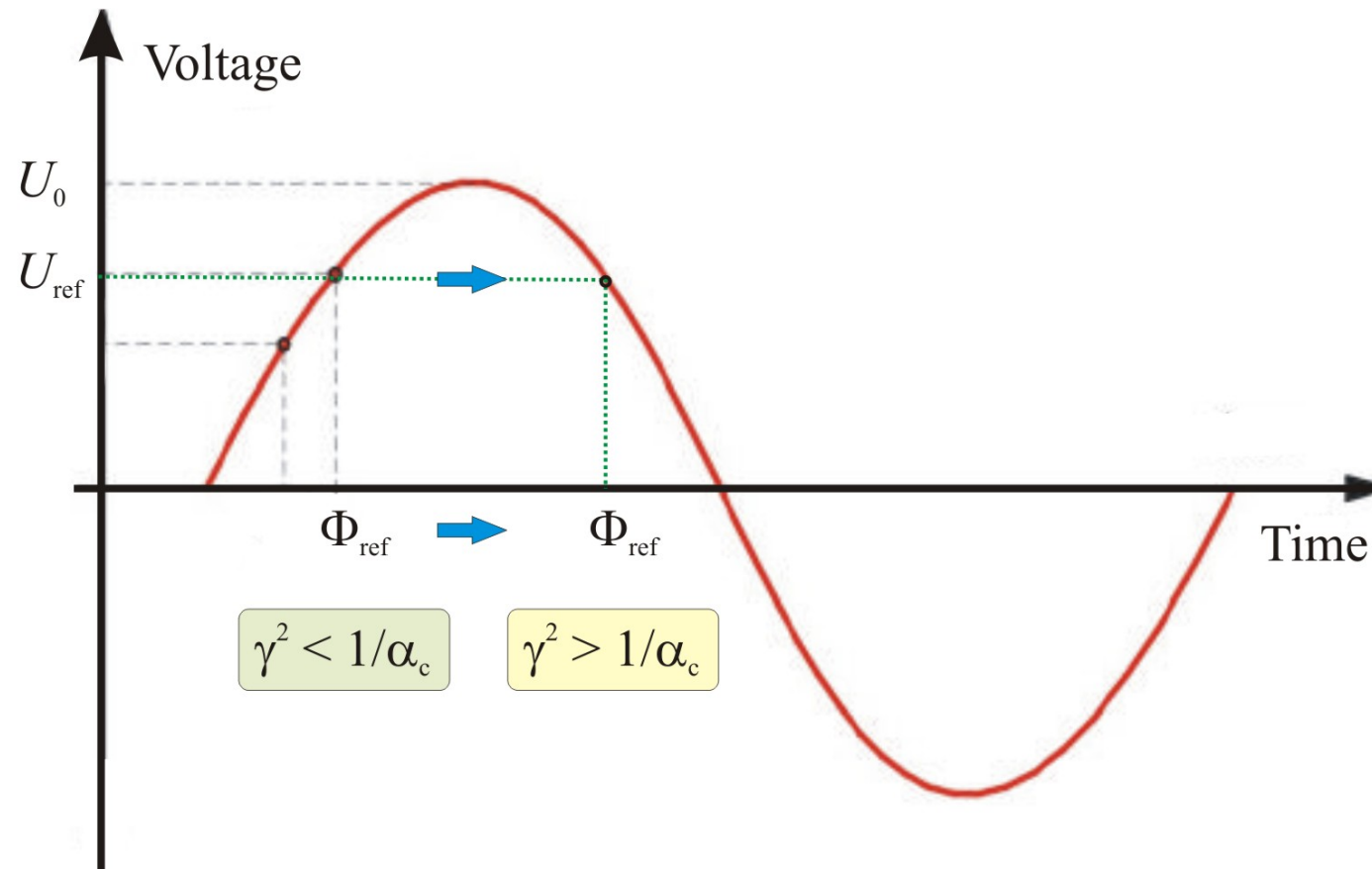
### 2.2. Longitudinal Focusing



**Travel time:**

$$\Delta \ln T = \frac{\Delta T}{T} = \frac{\Delta L}{L} - \frac{\Delta \beta}{\beta} = \left( \alpha_c - \frac{1}{\gamma^2} \right) \cdot \frac{\Delta p}{p} = -\eta \cdot \frac{\Delta p}{p}$$

**$\gamma$ -Transition at proton machines:**



## 2.3. Longitudinal Oscillations

$$\frac{d}{dt} \Delta\varphi = 2\pi h \frac{\Delta T}{T_0} = \frac{(\Delta\varphi)_{\text{rev}}}{T_0} = -\frac{2\pi h}{T_0} \cdot \eta \cdot \frac{\Delta p}{p_0} = -\frac{2\pi h \eta}{\beta^2 T_0} \cdot \frac{\Delta E}{E_0}$$

Energy gain / loss  $(\Delta E)_{\text{rev}}$  per turn

$$(\Delta E)_{\text{rev}} = eU(\varphi) - W(E) = eU_0 \sin \varphi - W(E) = T_0 \cdot \frac{d}{dt} \Delta E$$

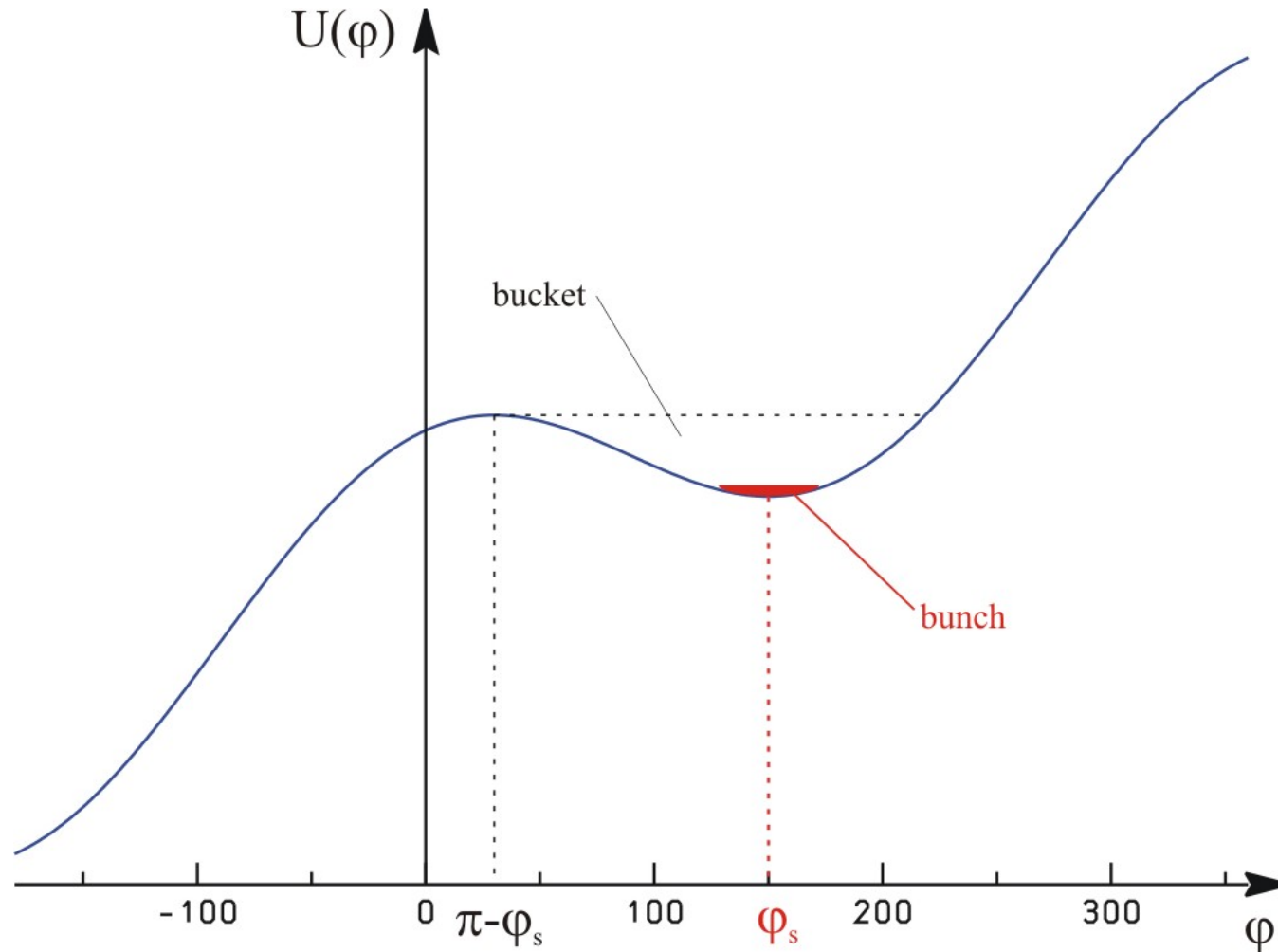
**Small scale oscillations:**

$$\frac{d^2 \Delta\varphi}{dt^2} + 2 \cdot \underbrace{\left( \frac{1}{2T_0} \cdot \frac{dW(E_0)}{dE} \right)}_{=\alpha_S} \cdot \frac{d\Delta\varphi}{dt} + \underbrace{\left( \frac{2\pi h \eta e}{\beta^2 T_0^2 E_0} \cdot U_0 \cos \varphi_0 \right)}_{=\Omega_S^2} \cdot \Delta\varphi = 0$$

**Large scale oscillations (damping neglected):**

$$\underbrace{\frac{\dot{\varphi}^2}{2}}_{\text{kinetic energy}} + \underbrace{\left\{ -\frac{\Omega_S^2}{\cos \varphi_0} [\cos \varphi + \varphi \sin \varphi_0] \right\}}_{\text{potential energy}} = \text{const.}$$

Potential energy:



Stationary bunch, centre at  $\varphi_s$ , but particles oscillate inside!

## 2.4. Synchrotron Radiation

**Radiated power:** 
$$P_{\perp} = \frac{e^2 c \beta^4}{6 \pi \varepsilon_0 (m_0 c^2)^4} \cdot \frac{E^4}{R^2}$$

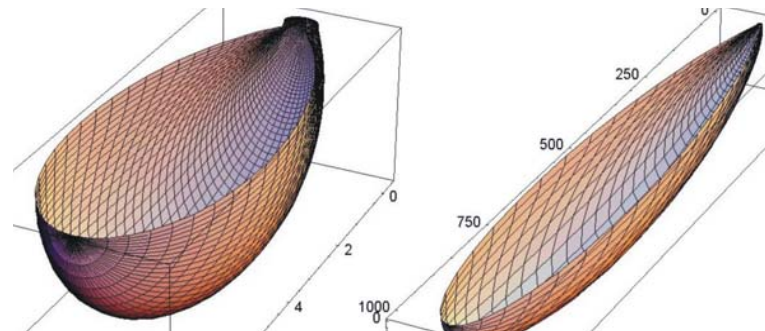
**Effect on electrons / protons:** 
$$\frac{P_e}{P_p} = \left( \frac{m_p}{m_e} \right)^4 \approx 10^{13} \quad !!!$$

**Circumference voltage:**

$$\Delta E = \frac{e^2 \beta^3}{3 \varepsilon_0 (m_0 c^2)^4} \cdot \frac{E^4}{R} \Rightarrow \Delta E [\text{keV}] \approx 88.5 \cdot \frac{(E [\text{GeV}])^4}{R [\text{m}]}$$

Radiation emitted into a cone

with angular width  $\Theta \approx \frac{1}{\gamma}$





# What we have learned so far for circular accelerators:

**Synchronization: Path length = multiple of RF wavelength!**

## Electrons:

Fixed revolution frequency

→ **Fixed RF frequency**

Power loss due to synchrotron radiation

→ **“high” acceleration voltage**

Ultrarelativistic beam:  $\gamma \gg 100$

→ **Reference phase  $\phi_0 > 90^\circ$**

**no  $\gamma$ -Transition**

**bunched beam**

## Protons / Ions:

Revolution frequency increasing with  $E$

→ **RF frequency has to be increased**

Synchrotron radiation negligible

→ **“low” acceleration voltage**

Relativistic beam:  $\gamma \approx 1 - 2$

→ **Reference phase  $\phi_0 < 90^\circ$**

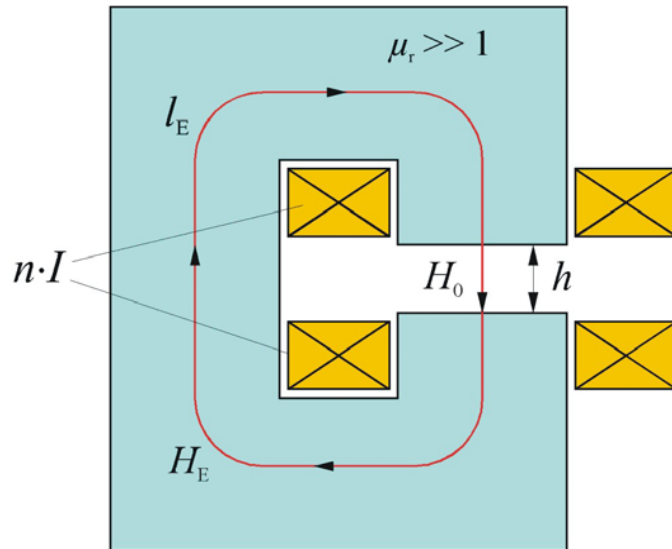
**and possibly  $\gamma$ -Transition**

**bunched beam, but**

**coasting beam possible**

### 3. Beam Guidance and Focusing

#### 3.1. Guidance – Dipole Magnets



Magnetic field inside the gap:

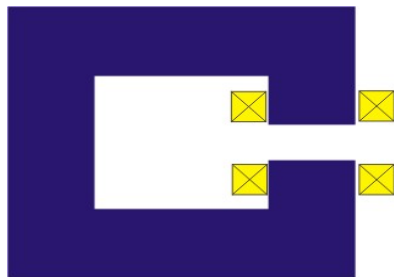
$$\int_{gap} \vec{B} \cdot d\vec{s} = \mu_0 \cdot n \cdot I$$

Dipole strength from curvature:

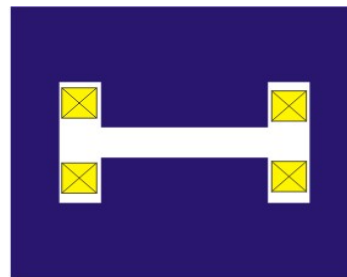
$$\kappa = \frac{1}{R} = \frac{e}{p} B_0 = \frac{e \mu_0 n \cdot I}{p h}$$

normalized to momentum and charge!

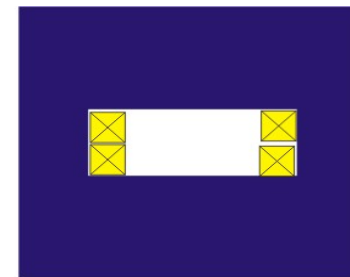
Different types:



C-Magnet



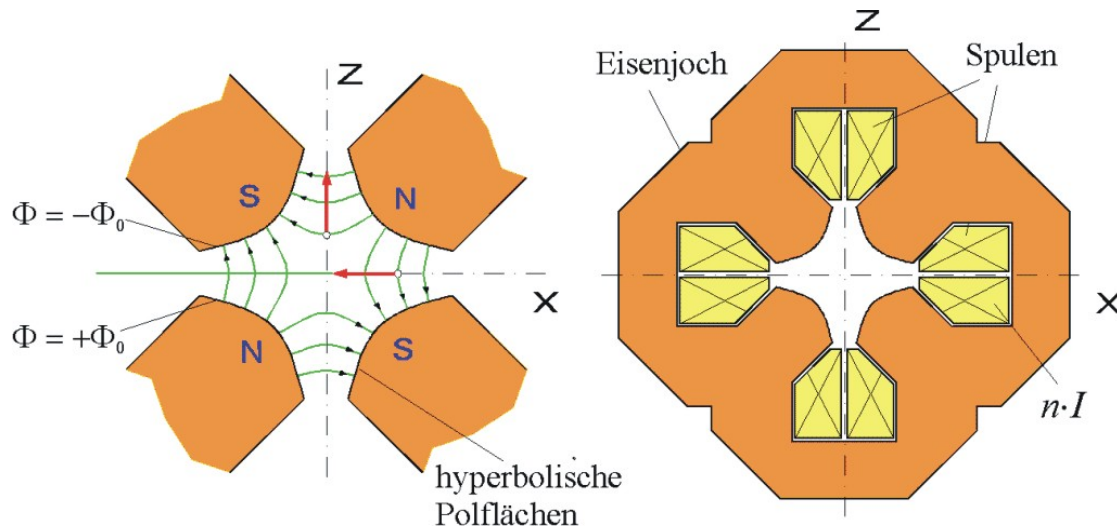
H-Magnet



Window-Frame Magnet

## 3.2. Focusing – Quadrupole Magnets

Restoring force, linear increasing with distance:  $g = \partial B_z / \partial x$



**Profile of the poles:**

$$z(x) = \pm \frac{\Phi_0}{g \cdot x} = \pm \frac{a^2}{2x}$$

**Quadrupole strength:**

$$k = \frac{e}{p} g = \frac{2e\mu_0 n \cdot I}{p a^2}$$

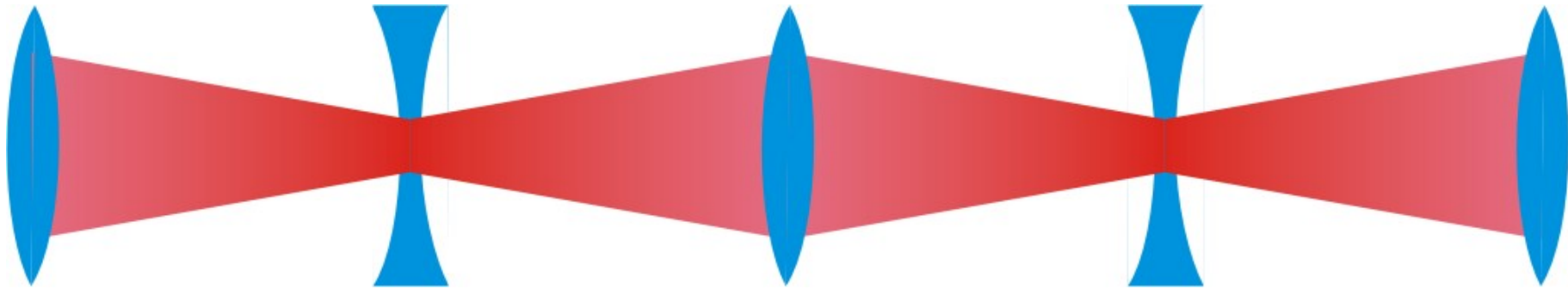
“Restoring” force:  $\vec{F} = e \cdot (\vec{v} \times \vec{B}) = e v g \cdot (x \hat{e}_x - z \hat{e}_z)$

**A quadrupole magnet is therefore focusing only in one plane and defocusing in the other; depending on the sign of  $g$ .**

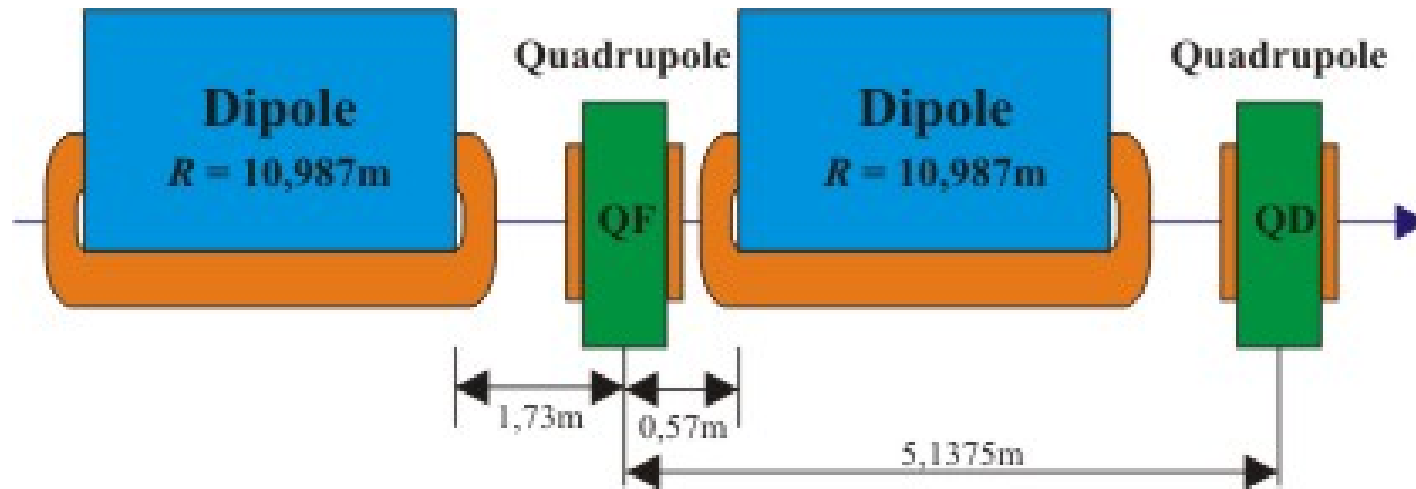
Thin lens approximation: **Focal length** from  $\frac{1}{f} = k \cdot L$

## Strong Focusing:

### Light optics:



### Magnet optics:

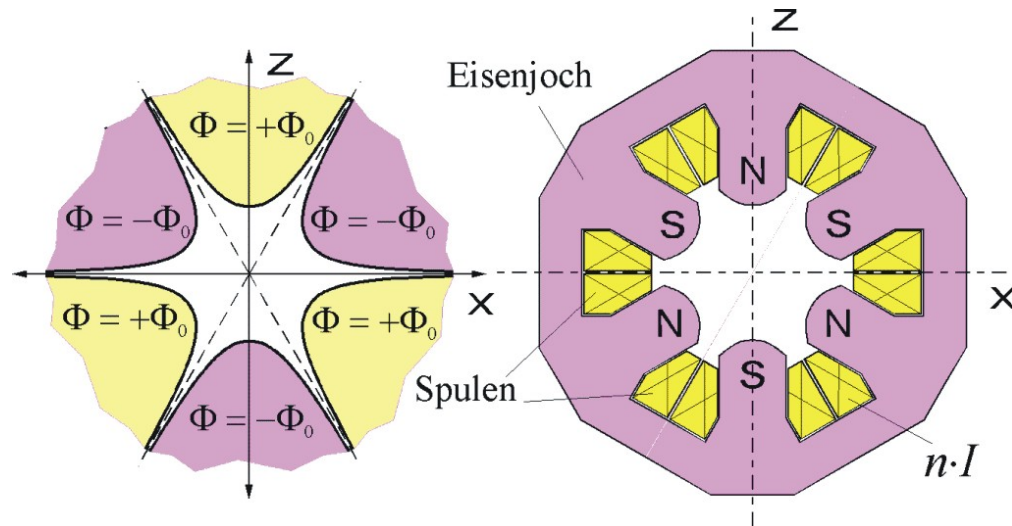


**Strong focusing**  
or  
**AG focusing**

Simplest way:  
**FODO lattice**

## 3.3. Correction of Chromatic Errors – Sextupole Magnets

Correcting force, increasing quadratically with distance:  $g' = \partial^2 B_z / \partial x^2$



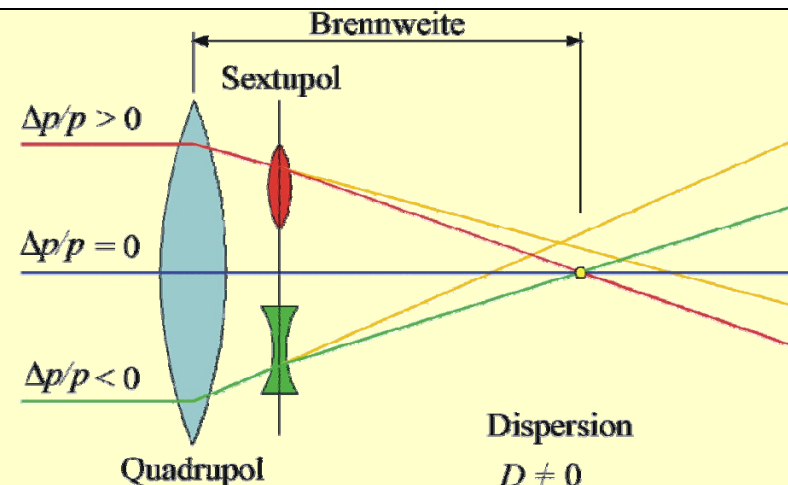
**Profile of the poles:**

$$x(z) = \pm \sqrt{\frac{z^2}{3} \pm \frac{2\Phi_0}{g'z}} = \pm \sqrt{\frac{z^2}{3} \pm \frac{a^3}{3z}}$$

**Sextupole strength:**

$$m = \frac{e}{p} g' = \frac{6e\mu_0}{p} \frac{nI}{a^3}$$

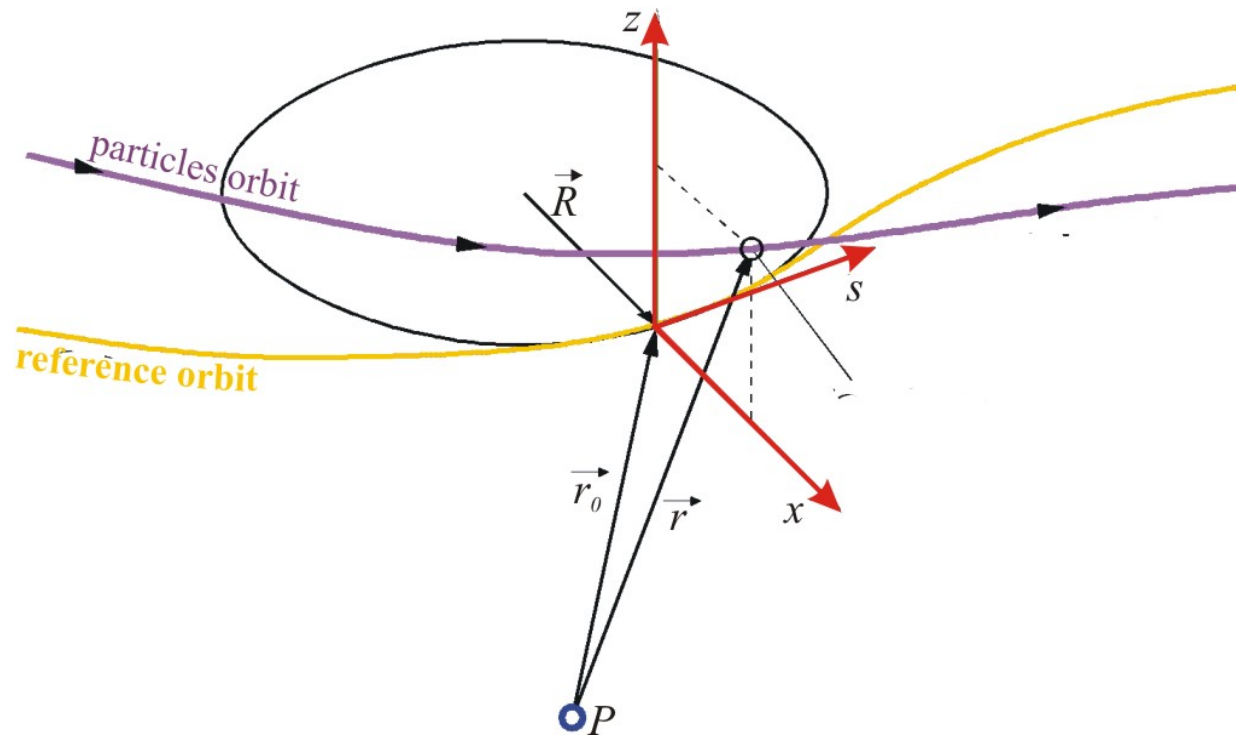
**Correction of chromatic errors  
requires a momentum dependent  
beam position (dispersion):**



## 4. Linear Beam Optics

### 4.1. Equations of Motion

Moving orthogonal, right-handed coordinate system  $(x,s,z)$ , Frenet-Serret system:



$$\vec{r} = (R + x) \cdot \hat{e}_x + z \cdot \hat{e}_z$$

Neglecting all nonlinear terms in  $x$ ,  $z$ , and  $\Delta p/p_0$ , we obtain the Hill equations:

$$x''(s) + \left( \frac{1}{R^2(s)} - k(s) \right) \cdot x(s) = \frac{1}{R(s)} \frac{\Delta p}{p}$$

$$z''(s) + k(s) \cdot z(s) = 0$$

- Particles with nominal momentum ( $\Delta p/p = 0$ ):

quasi harmonic oscillation (**betatron oscillation**):

$$x(s) = \sqrt{\varepsilon} \cdot \sqrt{\beta(s)} \cdot \cos(\phi(s) + \varphi_0)$$

$$\phi(s) = \int_0^s \frac{d\tilde{s}}{\beta(\tilde{s})}$$

$\beta(s)$  : position dependent oscillation amplitude function, amplitude =  $\sqrt{\varepsilon \cdot \beta(s)}$

$\phi(s)$  : position dependent oscillation phase advance

- Particles with **momentum deviation** ( $\Delta p/p \neq 0$ ):

betatron oscillations around **dispersion orbit**  $D(s)$ , defined by a particular solution of the Hill equation when setting  $\Delta p/p = 1$ :

$$D''(s) + \left( \frac{1}{R^2(s)} - k(s) \right) \cdot D(s) = \frac{1}{R(s)}$$

General solution:

$$x(s) = \sqrt{\varepsilon} \cdot \sqrt{\beta(s)} \cdot \cos(\phi(s) + \varphi_0) + D(s) \cdot \frac{\Delta p}{p}$$

Betatron and dispersion functions cannot be derived analytically for real situations!!!

In case of no correlation between  $\beta$  and  $D$ , the  $1\sigma$  ( $2\sigma$ ) beam size is given by

$$\sigma_{rms} = \sqrt{\varepsilon \cdot \beta(s) + \left[ D(s) \cdot \frac{\Delta p}{p} \right]^2}$$



## 4.2. Matrix Formalism

We will characterize a particles state by a vector built from its relative coordinates:

$$\vec{X} = \begin{pmatrix} x \\ x' \\ z \\ z' \\ s \\ \delta \end{pmatrix} = \begin{pmatrix} \text{radial displacement} \\ \text{radial angular displacement} \\ \text{axial displacement} \\ \text{axial angular displacement} \\ \text{longitudinal displacement} \\ \text{relative momentum deviation} \end{pmatrix}$$

Matrix formalism to describe particles trajectories:  $\vec{X} = \mathbf{M} \cdot \vec{X}_0$ . Upright magnets:

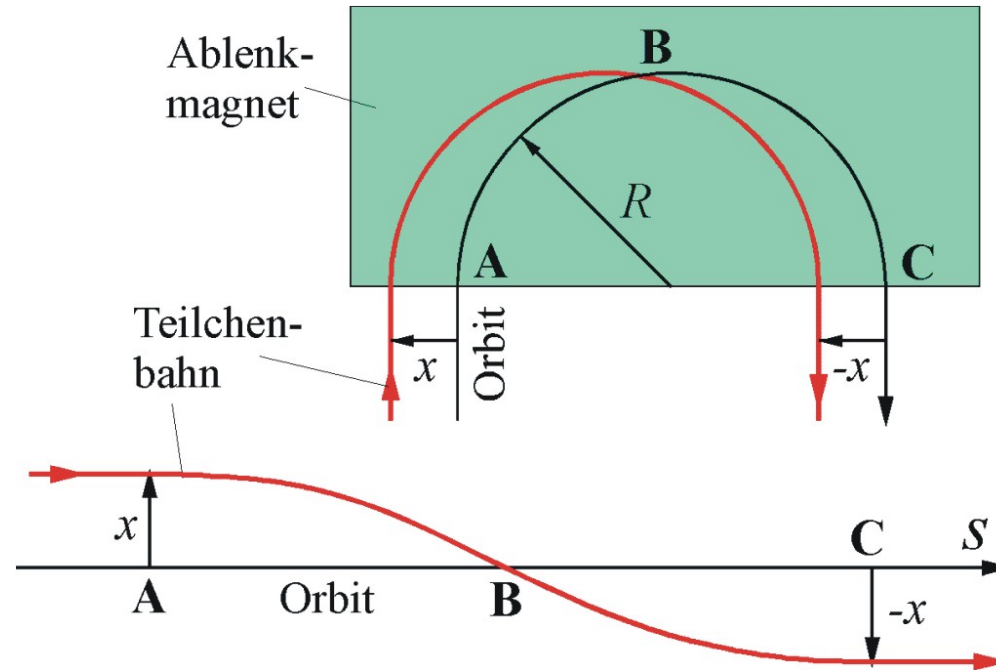
$$\mathbf{M} = \begin{pmatrix} r_{11} & r_{12} & 0 & 0 & 0 & r_{16} \\ r_{21} & r_{22} & 0 & 0 & 0 & r_{26} \\ 0 & 0 & r_{33} & r_{34} & 0 & 0 \\ 0 & 0 & r_{43} & r_{44} & 0 & 0 \\ r_{51} & r_{52} & 0 & 0 & 1 & r_{56} \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} \langle x|x \rangle & \langle x|x' \rangle & 0 & 0 & 0 & \langle x|\delta \rangle \\ \langle x'|x \rangle & \langle x'|x' \rangle & 0 & 0 & 0 & \langle x'|\delta \rangle \\ 0 & 0 & \langle z|z \rangle & \langle z|z' \rangle & 0 & 0 \\ 0 & 0 & \langle z'|z \rangle & \langle z'|z' \rangle & 0 & 0 \\ \langle s|x \rangle & \langle s|x' \rangle & 0 & 0 & \langle s|s \rangle & \langle s|\delta \rangle \\ 0 & 0 & 0 & 0 & 0 & \langle \delta|\delta \rangle \end{pmatrix}$$

$$\mathbf{M}_{drift} = \begin{pmatrix} \boxed{\begin{matrix} 1 & L \\ 0 & 1 \end{matrix}} & \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} & \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} \\ \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} & \boxed{\begin{matrix} 1 & L \\ 0 & 1 \end{matrix}} & \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} \\ \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} & \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} & \boxed{\begin{matrix} 1 & L/\gamma^2 \\ 0 & 1 \end{matrix}} \end{pmatrix}$$

$$\mathbf{M}_{dipole} = \begin{pmatrix} \boxed{\begin{matrix} \cos \varphi & R \sin \varphi \\ -1/R \cdot \sin \varphi & \cos \varphi \end{matrix}} & \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} & \boxed{\begin{matrix} 0 & R(1 - \cos \varphi) \\ 0 & \sin \varphi \end{matrix}} \\ \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} & \boxed{\begin{matrix} 1 & R\varphi \\ 0 & 1 \end{matrix}} & \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} \\ \boxed{\begin{matrix} -\sin \varphi & -R(1 - \cos \varphi) \\ 0 & 0 \end{matrix}} & \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} & \boxed{\begin{matrix} 1 & R\varphi/\gamma^2 - R(\varphi - \sin \varphi) \\ 0 & 1 \end{matrix}} \end{pmatrix}$$

**A sector magnet is therefore focusing in the horizontal plane.**

This effect is purely geometric in nature:



Edge-focusing in rectangular dipole magnets:

$$\begin{pmatrix} x \\ x' \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ +\frac{\tan \psi}{R} & 1 \end{pmatrix} \cdot \begin{pmatrix} x_0 \\ x_0' \end{pmatrix}$$

$$\begin{pmatrix} z \\ z' \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -\frac{\tan \psi}{R} & 1 \end{pmatrix} \cdot \begin{pmatrix} z_0 \\ z_0' \end{pmatrix}$$

$$\mathbf{M}_{rect} = \mathbf{M}_{\psi} \cdot \mathbf{M}_{dipole} \cdot \mathbf{M}_{\psi}$$

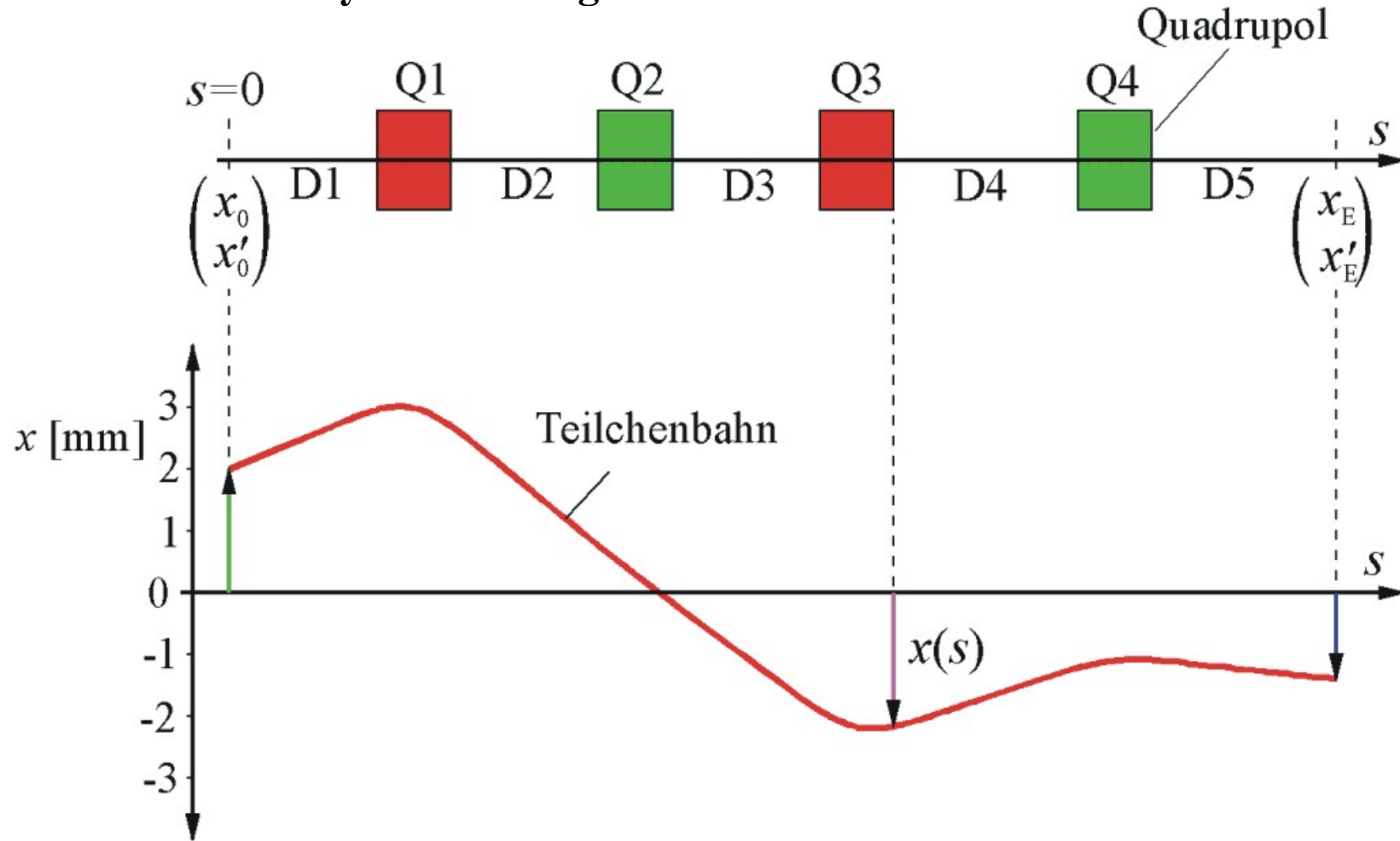
$$\mathbf{M}_{rect} = \begin{pmatrix}
 \boxed{\begin{matrix} 1 & R \sin \varphi \\ 0 & 1 \end{matrix}} & \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} & \boxed{\begin{matrix} 0 & R(1 - \cos \varphi) \\ 0 & \sin \varphi \end{matrix}} \\
 \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} & \boxed{\begin{matrix} 1 - \frac{R\varphi}{f} & R\varphi \\ \frac{R\varphi}{f^2} - \frac{2}{f} & 1 - \frac{R\varphi}{f} \end{matrix}} & \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} \\
 \boxed{\begin{matrix} -\sin \varphi & -R(1 - \cos \varphi) \\ 0 & 0 \end{matrix}} & \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} & \boxed{\begin{matrix} 1 & R\varphi/\gamma^2 - R(\varphi - \sin \varphi) \\ 0 & 1 \end{matrix}} \\
 & \boxed{\frac{1}{f} \approx \frac{1}{R} \tan \psi} &
 \end{pmatrix}$$

**A rectangular dipole magnet is therefore focusing in the vertical plane.  
 It acts like a drift space in the horizontal plane!**

$$\mathbf{M}_{FQ} = \begin{pmatrix} \boxed{\begin{matrix} \cos \Omega & \frac{1}{\sqrt{k}} \sin \Omega \\ -\sqrt{k} \sin \Omega & \cos \Omega \end{matrix}} & \dots & 0 \\ \vdots & \boxed{\begin{matrix} \cosh \Omega & \frac{1}{\sqrt{k}} \sinh \Omega \\ \sqrt{k} \sinh \Omega & \cosh \Omega \end{matrix}} & \vdots \\ 0 & \dots & \boxed{\begin{matrix} 1 & L/\gamma^2 \\ 0 & 1 \end{matrix}} \end{pmatrix} \quad (k < 0)$$

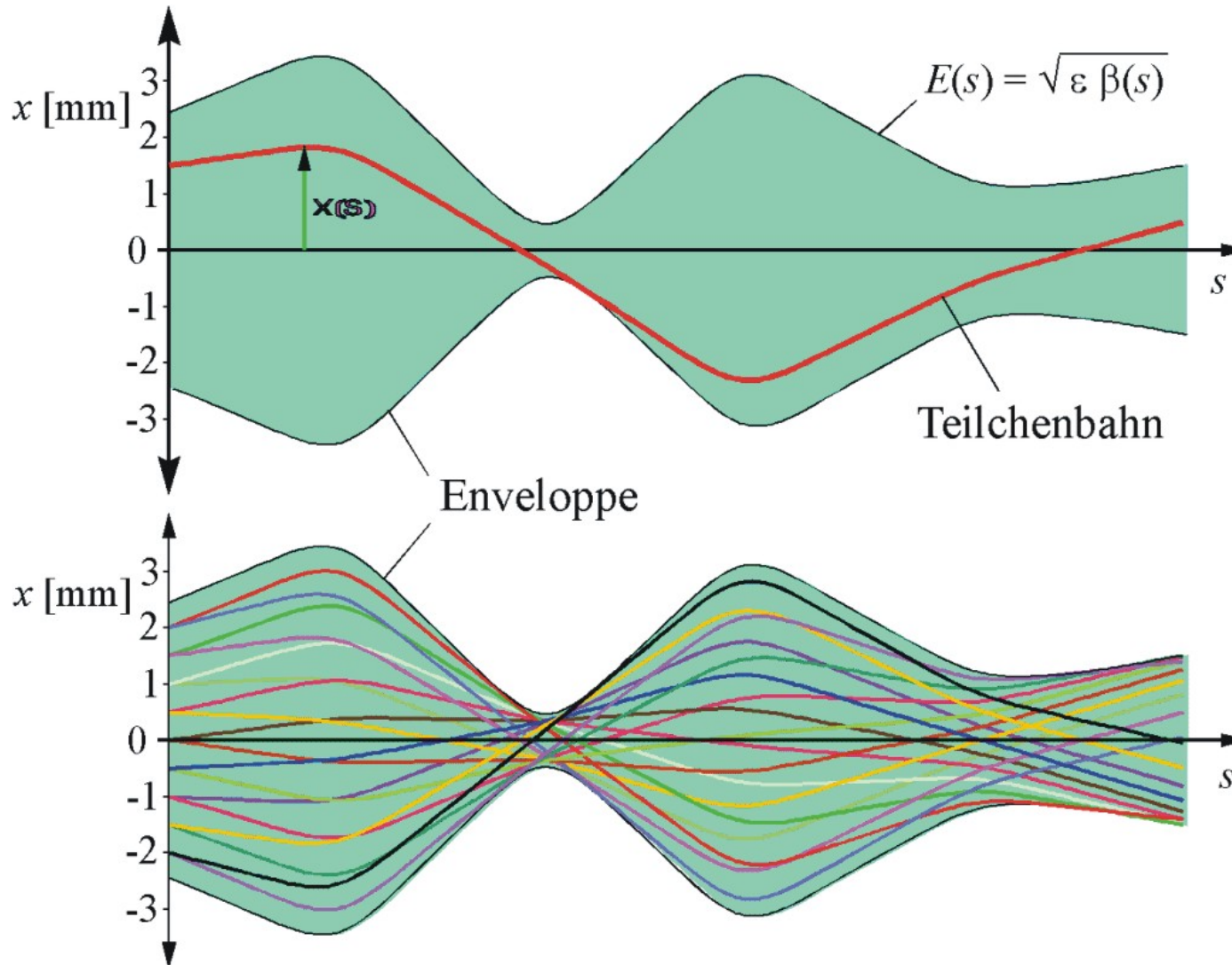
$$\mathbf{M}_{DQ} = \begin{pmatrix} \boxed{\begin{matrix} \cosh \Omega & \frac{1}{\sqrt{k}} \sinh \Omega \\ \sqrt{k} \sinh \Omega & \cosh \Omega \end{matrix}} & \dots & 0 \\ \vdots & \boxed{\begin{matrix} \cos \Omega & \frac{1}{\sqrt{k}} \sin \Omega \\ -\sqrt{k} \sin \Omega & \cos \Omega \end{matrix}} & \vdots \\ 0 & \dots & \boxed{\begin{matrix} 1 & L/\gamma^2 \\ 0 & 1 \end{matrix}} \end{pmatrix} \quad (k > 0)$$

## Particle Orbits in a System of Magnets:



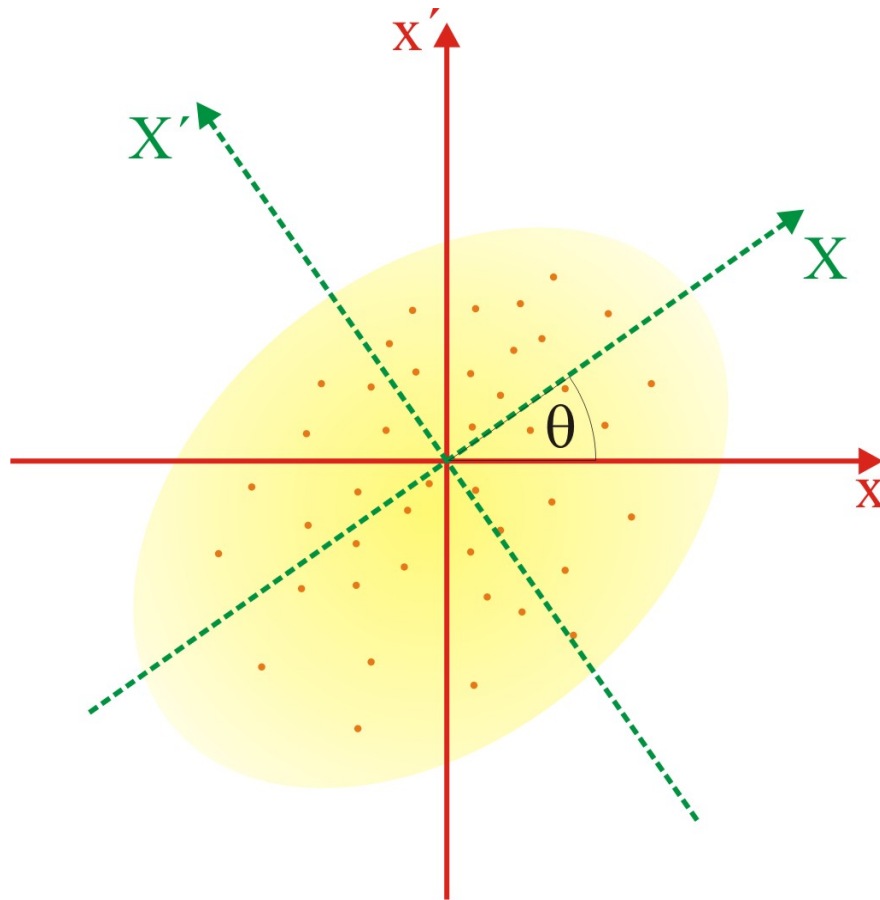
$$\vec{X}_E = \mathbf{M}_{D5} \cdot \mathbf{M}_{Q4} \cdot \mathbf{M}_{D4} \cdot \mathbf{M}_{Q3} \cdot \mathbf{M}_{D3} \cdot \mathbf{M}_{Q2} \cdot \mathbf{M}_{D2} \cdot \mathbf{M}_{Q1} \cdot \mathbf{M}_{D1} \cdot \vec{X}_0$$

**The envelope cannot be derived from a single particle trajectory!**



## 4.3. Beam Emittance and Phase Space

Beam as statistical set of points in phase space. Concentrate on 2-dim space  $(x, x')$ :



**Choose origin that:**

$$\bar{x} = \frac{1}{N} \sum_{i=1}^N x_i = 0 = \bar{x}' = \frac{1}{N} \sum_{i=1}^N x_i'$$

**Choose rotated axes**

$$\begin{aligned} X_i &= x_i \cdot \cos \theta + x_i' \cdot \sin \theta \\ X_i' &= -x_i \cdot \sin \theta + x_i' \cdot \cos \theta \end{aligned}$$

**that**

$$\frac{\partial \sigma_x^2}{\partial \theta} = 0, \quad \frac{\partial \sigma_{x'}^2}{\partial \theta} = 0$$

A distribution of points can be translated and rotated without changing its spread!

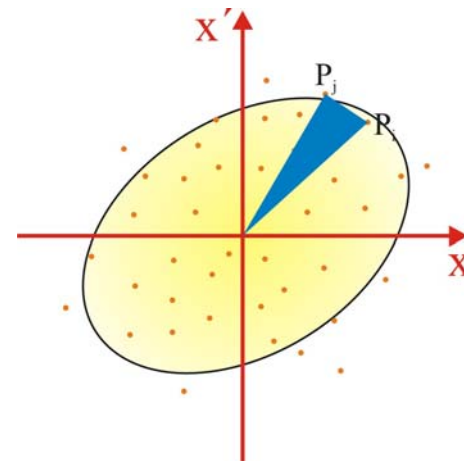


We will define the spread of the distribution, which is called the **emittance  $\varepsilon$** , by

$$\varepsilon = \sigma_X \cdot \sigma_{X'} = \sqrt{x^2 \cdot x'^2 - x x' ^2}$$

**It is important to note that this definition usually is used for electron beams, the emittance of proton beams is typically defined as  $4\varepsilon$  !**

The emittance can be considered as a statistical mean area where  $A_{ij}$  is the area of the triangle  $OP_iP_j$  and  $\varepsilon$  is a measure of the spread of the points around their barycentre.



The area of the envelope-ellipse is

$$A = \pi ab = \pi \sigma_X \sigma_{X'} = \pi \varepsilon$$

and its equation is

$$\frac{X^2}{\sigma_X^2} + \frac{X'^2}{\sigma_{X'}^2} = \frac{X^2}{a^2} + \frac{X'^2}{b^2} = 1$$

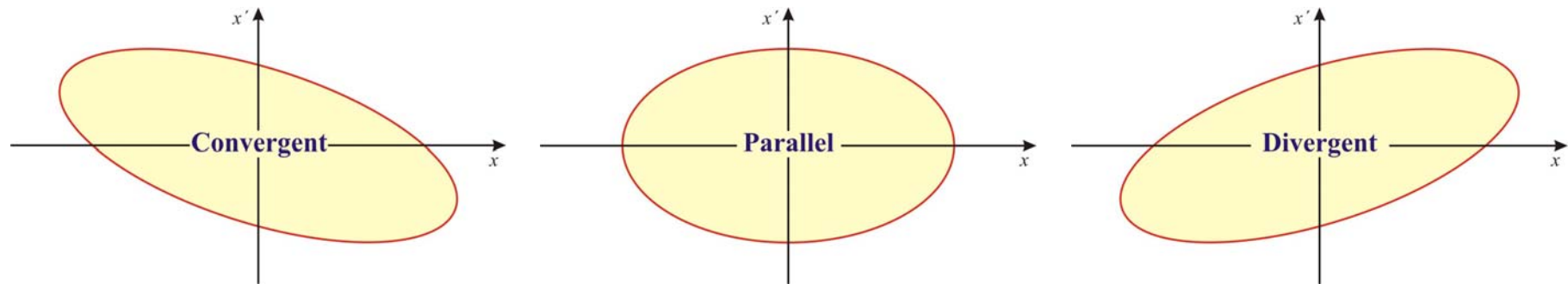
Inverse rotation of  $-\theta$  gives:  $\varepsilon^2 = x^2 \cdot \sigma_{x'}^2 - 2xx' \cdot \overline{xx'} + x'^2 \cdot \sigma_x^2$

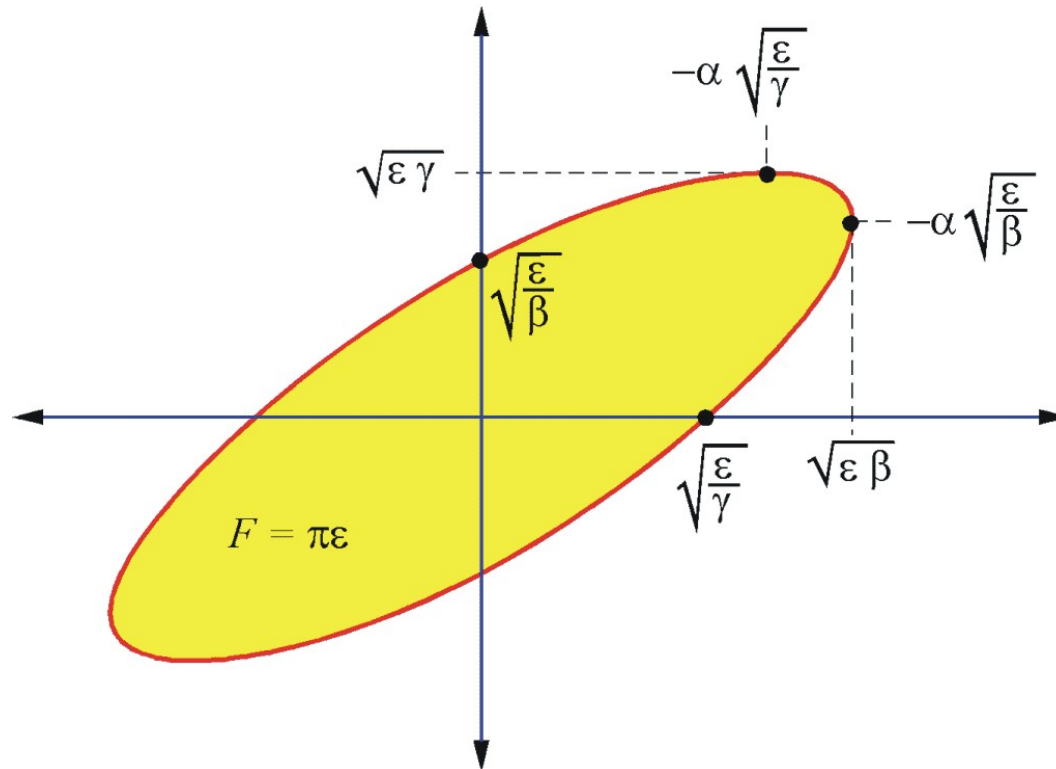
We may define the so called **Twiss-parameters**  $\alpha$ ,  $\beta$ , and  $\gamma$  such that

- r.m.s. beam envelope:  $\sigma_x = \sqrt{x^2} = \sqrt{\beta \varepsilon}$ ,
- r.m.s. beam divergence:  $\sigma_{x'} = \sqrt{x'^2} = \sqrt{\gamma \varepsilon}$ ,
- correlation  $r$  between  $x$  and  $x'$ :  $r \sigma_x \sigma_{x'} = \overline{xx'} = -\alpha \varepsilon$ ,

$$\gamma x^2 + 2\alpha x x' + \beta x'^2 = \varepsilon$$

## Beam properties:





We can transform the solution of the Hill equation to an “ellipse equation”, using

$$x = \sqrt{\varepsilon \beta} \cdot \cos \Psi, \quad \Psi = \int_0^s \frac{d\tilde{s}}{\beta(\tilde{s})} + \phi_0, \quad x' = -\sqrt{\frac{\varepsilon}{\beta}} \cdot (\alpha \cos \Psi + \sin \Psi)$$

$$\gamma x^2 + 2\alpha x x' + \beta x'^2 = \varepsilon, \quad \text{where } \alpha = -\frac{\beta'}{2} \quad \text{and} \quad \gamma = \frac{1 + \alpha^2}{\beta}$$

Transformation of Twiss parameter with matrix formalism using the Beta-matrix  $\mathbf{B}$ :

$$\mathbf{B} = \begin{pmatrix} \beta & -\alpha \\ -\alpha & \gamma \end{pmatrix}, \quad |\mathbf{B}| = \beta\gamma - \alpha^2 = 1, \quad \mathbf{B}^{-1} = \begin{pmatrix} \gamma & \alpha \\ \alpha & \beta \end{pmatrix}$$

the equation of the envelope-ellipse can be transformed to:

$$\varepsilon = {}^T\vec{X}_0 \cdot \mathbf{B}_0^{-1} \cdot \vec{X}_0 = {}^T\vec{X}_1 \cdot \mathbf{B}_1^{-1} \cdot \vec{X}_1$$

and displacement-vector  $\vec{X}$  transforms according to

$$\vec{X}_1 = \mathbf{M} \cdot \vec{X}_0, \quad {}^T\vec{X}_1 = {}^T(\mathbf{M} \cdot \vec{X}_0) = {}^T\vec{X}_0 \cdot {}^T\mathbf{M}$$

By inserting  $\mathbf{1} = \mathbf{M}^{-1} \cdot \mathbf{M}$ , we obtain:

$$\varepsilon = {}^T\vec{X}_1 \cdot (\mathbf{M} \cdot \mathbf{B}_0 \cdot {}^T\mathbf{M})^{-1} \cdot \vec{X}_1$$

and we can read off the transformation of the Beta-matrix:

$$\mathbf{B}_1 = \mathbf{M} \cdot \mathbf{B}_0 \cdot {}^T\mathbf{M}$$

Example: Beta-function around a symmetry-point ( $\alpha = 0$ ) of a transfer-line:

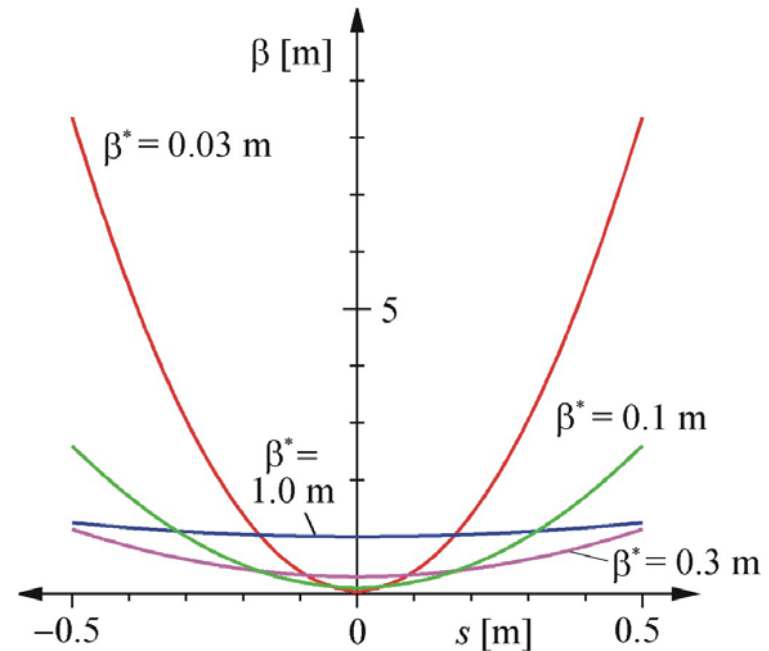
$$\mathbf{B}_1(s) = \begin{pmatrix} 1 & s \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} \beta_{\text{sym}} & 0 \\ 0 & 1/\beta_{\text{sym}} \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ s & 1 \end{pmatrix}$$

This gives the relations for the Twiss-parameters around a symmetry-point:

$$\beta = \beta_{\text{sym}} + \frac{s^2}{\beta_{\text{sym}}}, \quad \alpha = -\frac{s}{\beta_{\text{sym}}}$$

The corresponding beam size scales with

$$\sigma_x = \sqrt{\varepsilon \cdot \beta(s)} = \sigma_{\text{sym}} \cdot \sqrt{1 + \left( \frac{s}{\sigma_{\text{sym}}/\varepsilon} \right)^2}$$



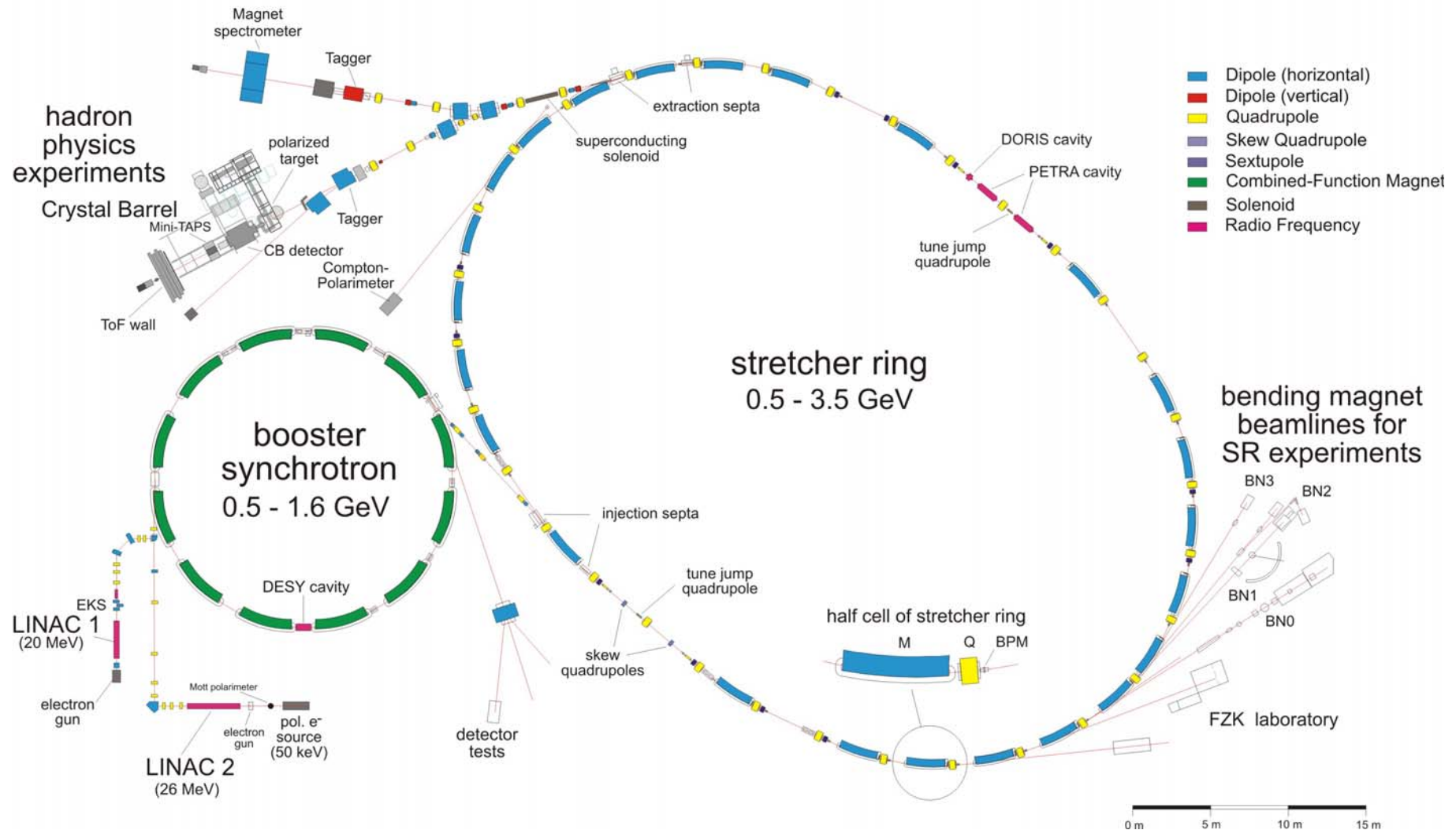
Comparing this with the formulas for light optics,  $w(s) = w_0 \cdot \sqrt{1 + \left( \frac{s}{z_R} \right)^2}$ ,  $z_R = \frac{\pi \cdot w_0^2}{\lambda}$

we obtain the import relation

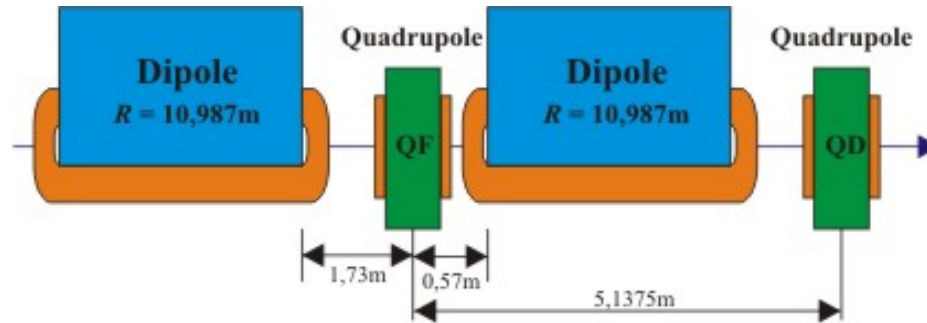
$$\pi \cdot \varepsilon \hat{=} \lambda$$

## 5. Circular Accelerators

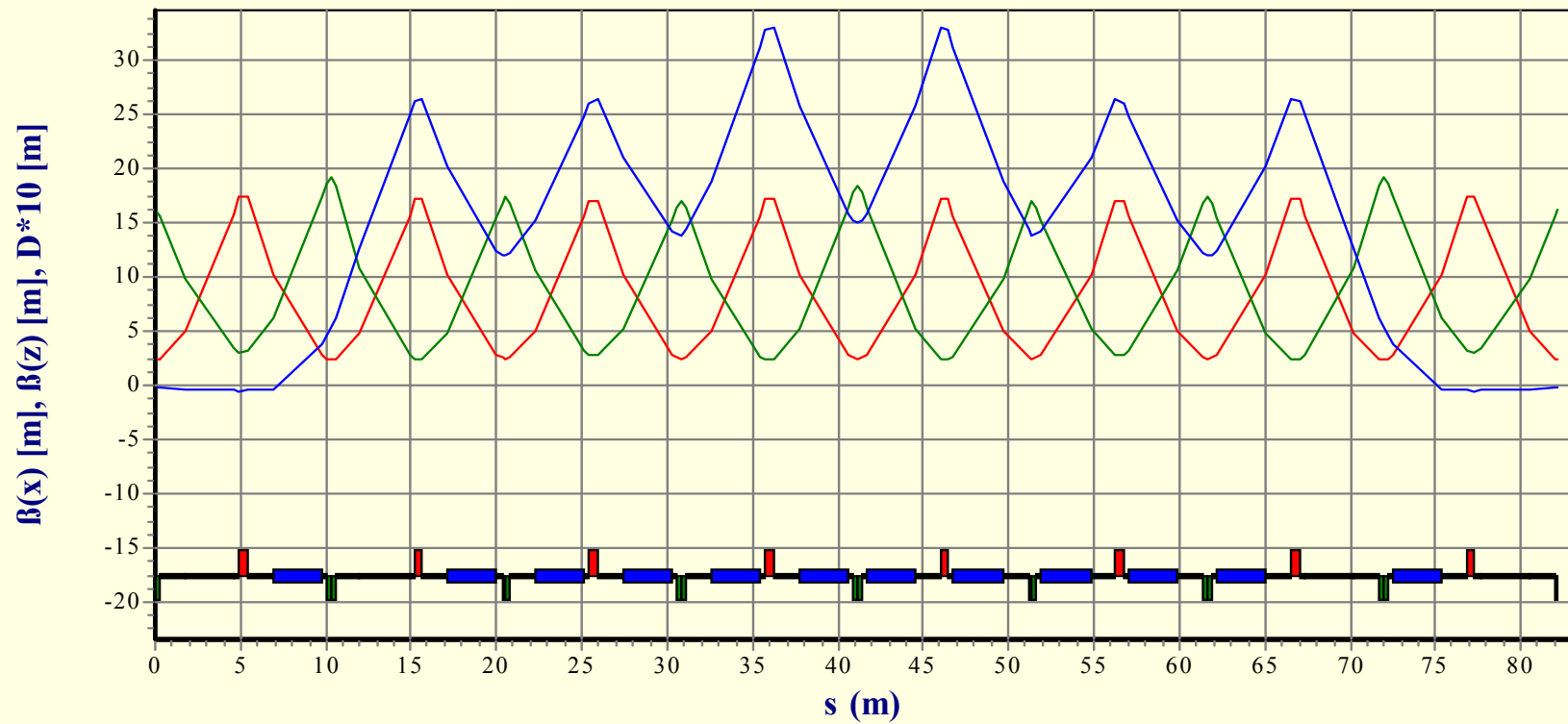
Example: **Electron Stretcher Accelerator ELSA**



# Accelerator Physics



## Betatron Functions and Dispersion



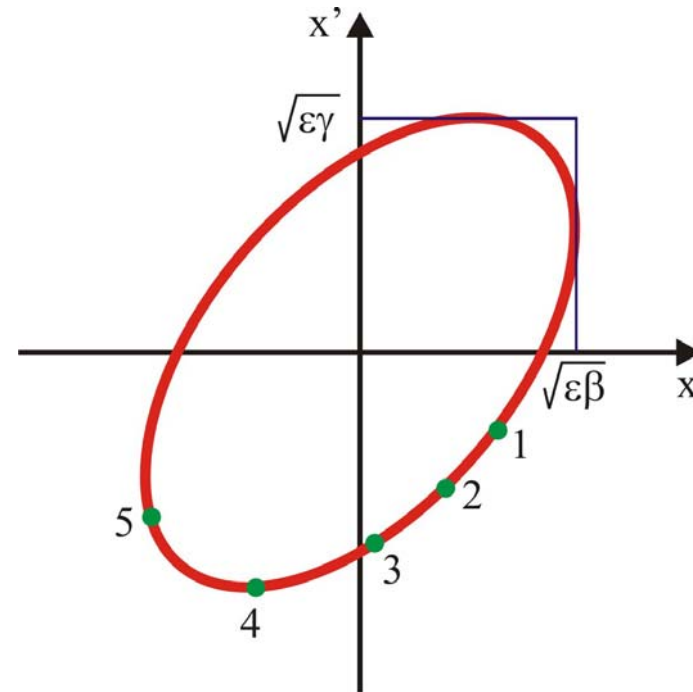
RED:  $\beta_x$ ; GREEN:  $\beta_y$ ; BLUE: dispersion\*10

## 5.1. Betatron Tune

The betatron tune  $Q$  is defined as the number of oscillations per revolution:

$$Q_{x,z} = \frac{1}{2\pi} \cdot \oint \frac{ds}{\beta_{x,z}(s)}$$

If one regards the phase space at an arbitrarily chosen point, a single particle moves on its phase space ellipse, where the points represent the parameters after 1, 2, ... 5 revolutions.

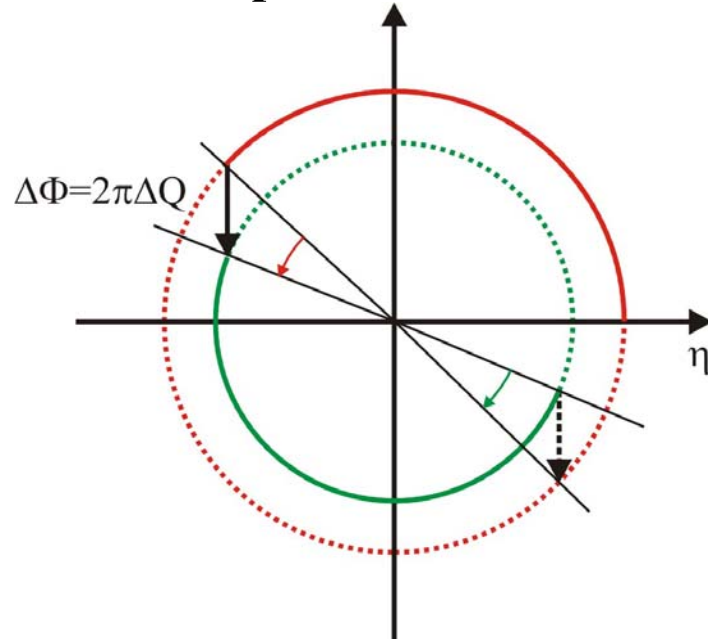




## 5.2. Optical Resonances

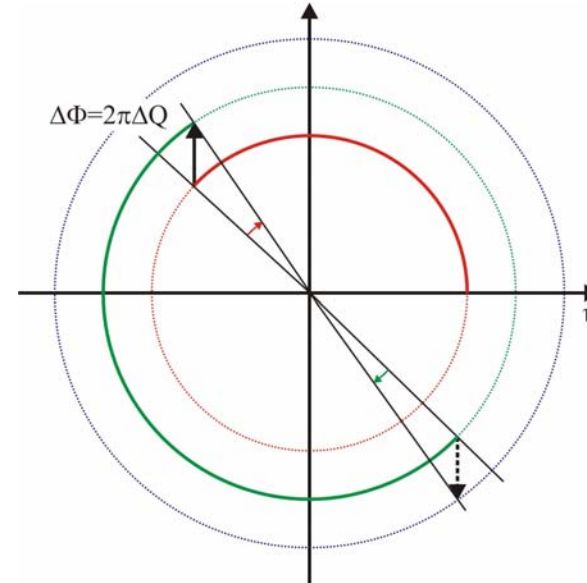
Descriptive discussion in **normalized phase-space** (ellipse  $\rightarrow$  circle):

### Dipole Errors:



No average tune shift  $\Delta Q = 0$   
 Tune modulation amplitude  $dQ$

### Gradient Errors:



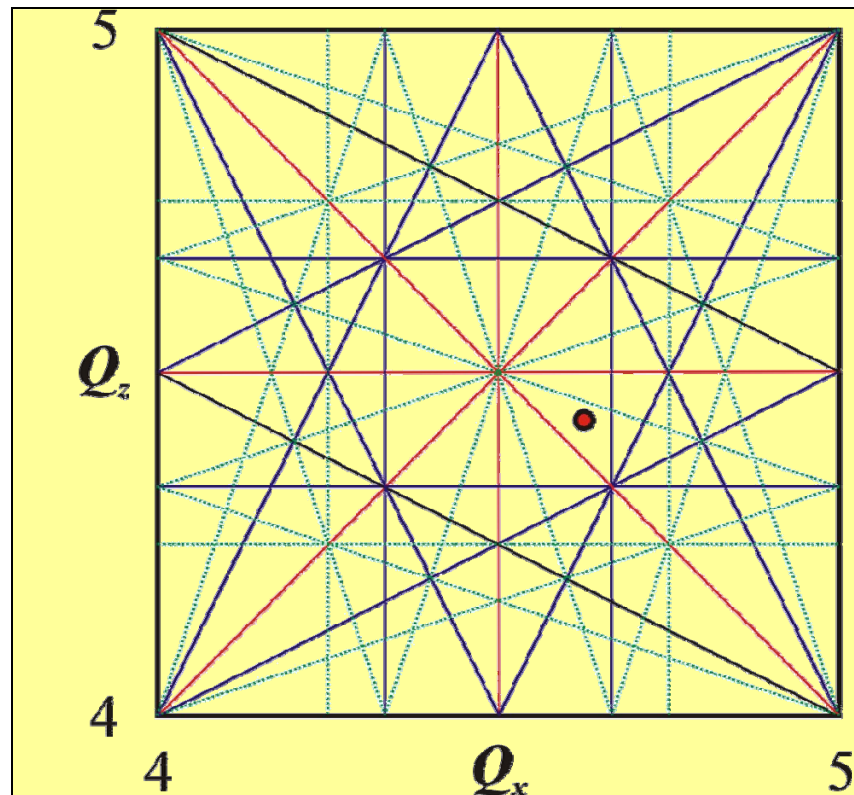
Average tune shift  $\Delta Q = \frac{1}{4\pi} \beta \delta(kl)$   
 Tune modulation amplitude  $dQ = \Delta Q$

**Any particle whose unperturbed  $Q$  lies in the stop band width  $dQ$  will lock into resonance and is lost.**

We may generalize and give a list of resonances and their driving multipoles:

| resonance type                          | driving multipole |
|---|-------------------|
| integer resonance: $Q = n$              | dipole errors     |
| half-integer resonance $2 \cdot Q = n$  | quadrupole errors |
| third-integer resonance $3 \cdot Q = n$ | sextupole errors  |

...



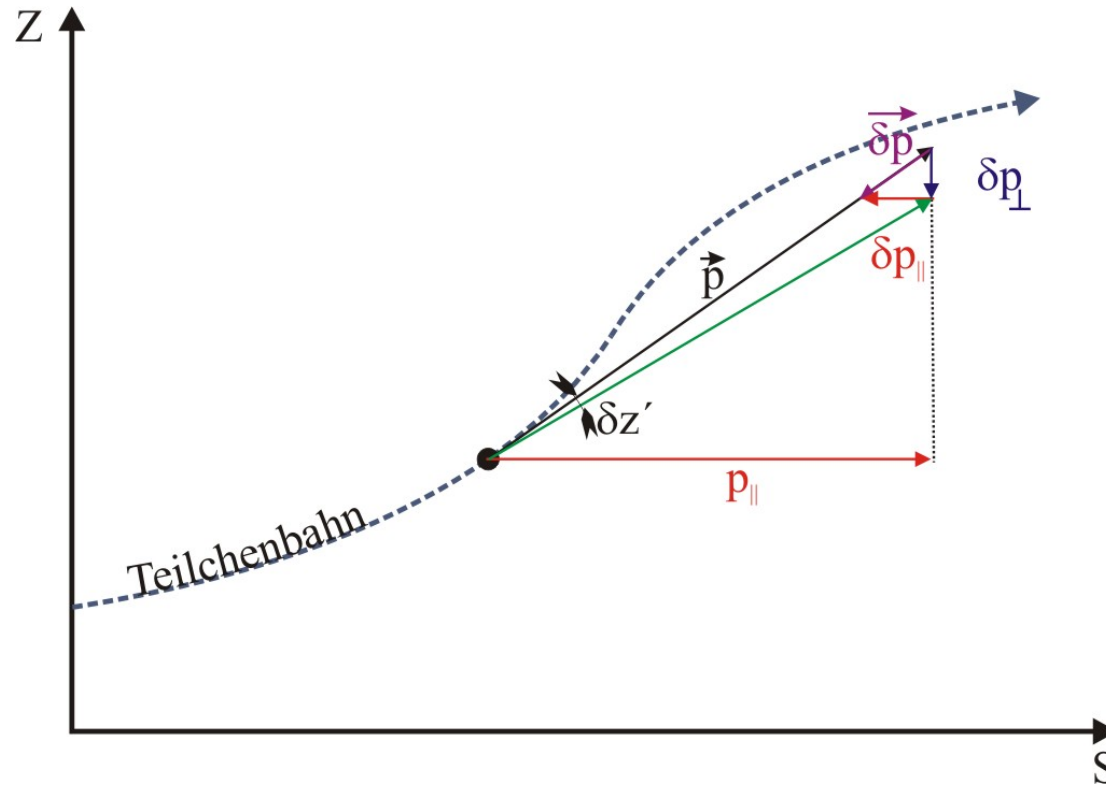
Due to betatron coupling, perturbations may depend on the betatron amplitude in both planes. These coupling terms lead to the generalized resonance condition

$$j \cdot Q_x + k \cdot Q_z = N$$

where  $j+k$  indicates the order of the resonance.

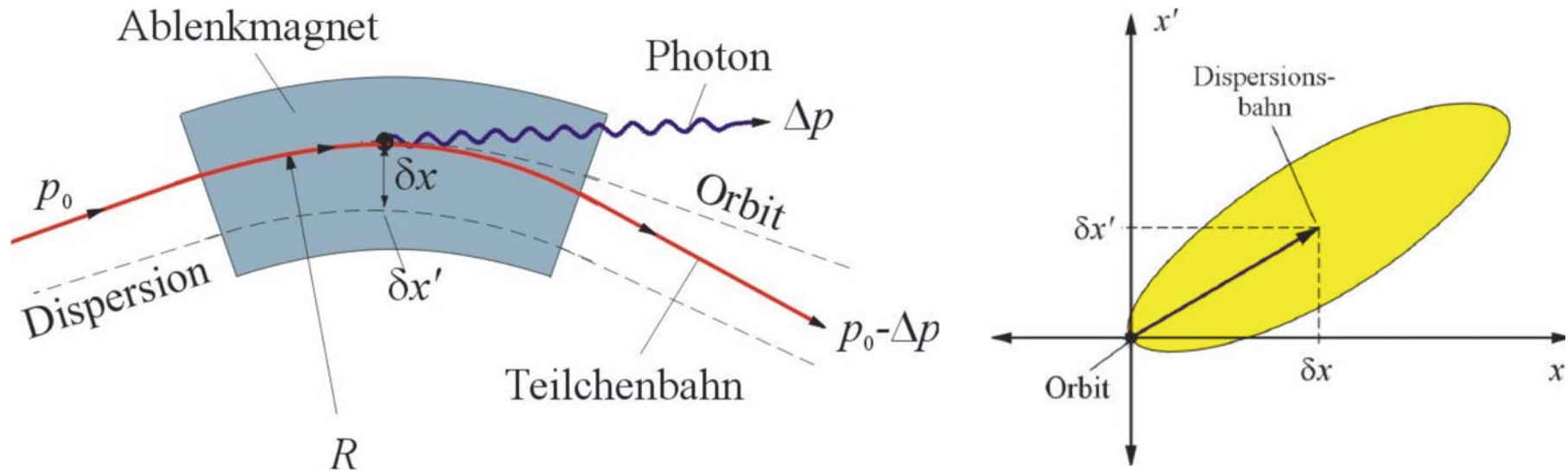
## 5.3. Radiation Damping – Natural Emittance

Damping of betatron oscillations:



$$\frac{d^2 \Delta \varphi}{dt^2} + 2\alpha_s \cdot \frac{d\Delta \varphi}{dt} + \Omega_S^2 \cdot \Delta \varphi = 0, \quad \text{damping time } \tau = \frac{1}{\alpha_s} \sim \frac{T_0}{R \cdot E^3}$$

## Excitation of betatron oscillations:



natural emittance:

$$\varepsilon_{\text{nat}} \sim \frac{E^2}{R \cdot l_m} \cdot \int_0^{l_m} \mathcal{H}$$

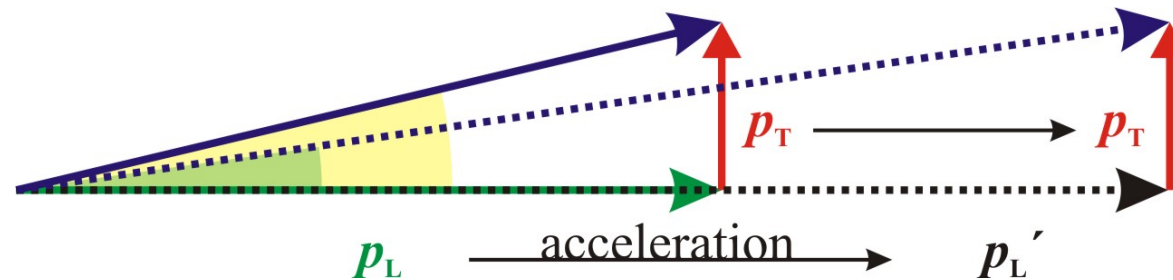
natural energy spread:

$$\frac{\sigma_E}{E_0} \sim \frac{E}{\sqrt{R}}$$

**Proton Beams: negligible influence of synchrotron radiation!**

*“A proton machine remembers everything done to the beam like an elephant!”*

What remains is adiabatic damping:



$$\text{beam emittance } \varepsilon \sim \frac{1}{E}$$

**Further ways out (additional cooling):**

- electron cooling
- stochastic cooling

## 6. Summary

### **I have tried to discuss the following topics:**

- RF-based acceleration, longitudinal focusing and synchronization
- Beam guidance and transverse focusing (AG focusing)
- Linear beam optics, matrix formalism
- Phase space and emittance
- Optical resonances
- Beam excitation and cooling

### **Topics which could not have been touched within 45 minutes:**

- Slow beam extraction
- Production and acceleration of polarized beams
- Intensity limitations (space charge, wake-fields, ...)
- ...